

Patent Public Advisory Committee Meeting

Patent Operations



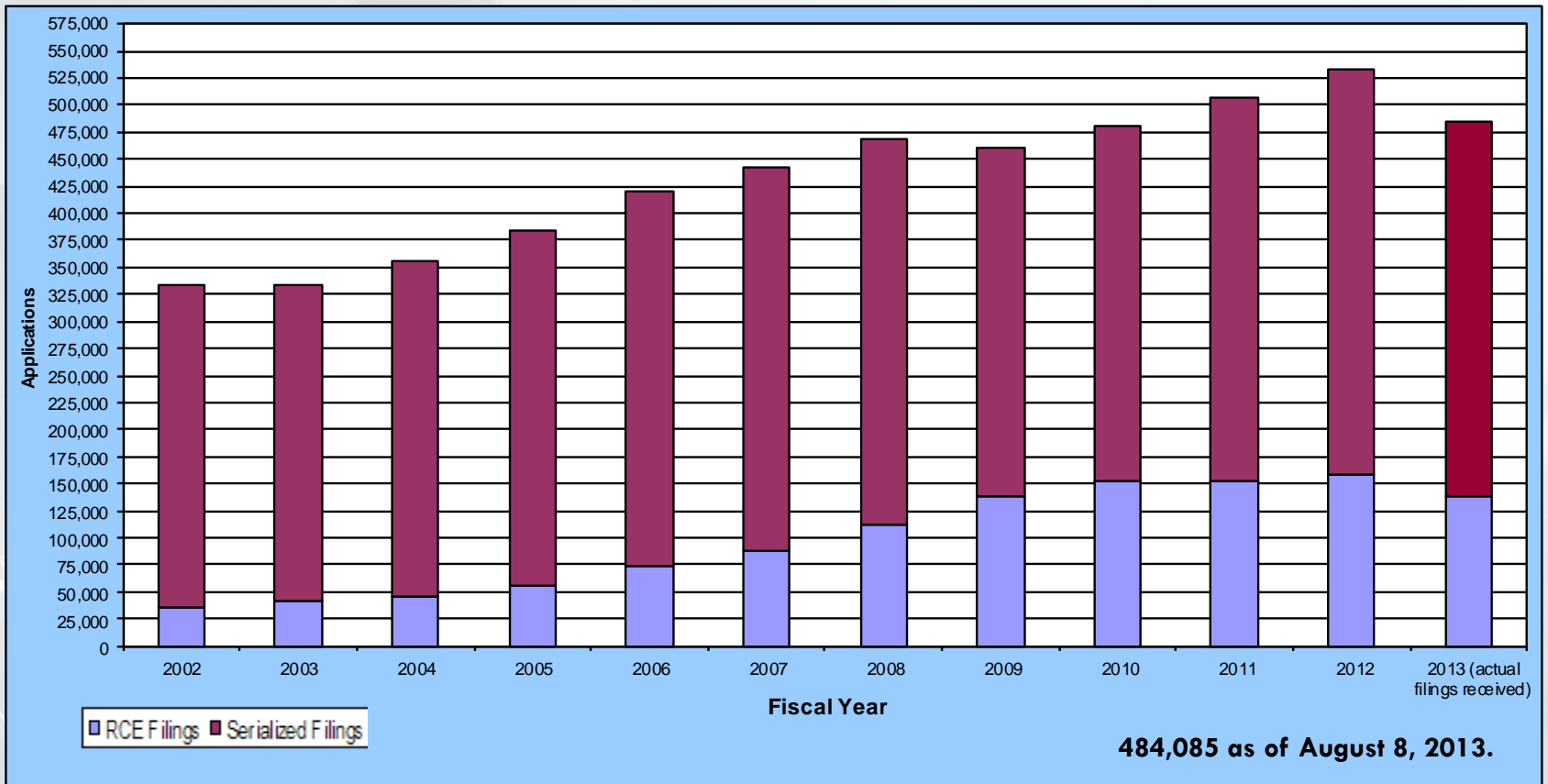
James Dwyer

Assistant Deputy Commissioner for Patent Operations

August 15, 2013



Total Serialized and RCE Filings FY 2002 – FY 2013 (through August 8)

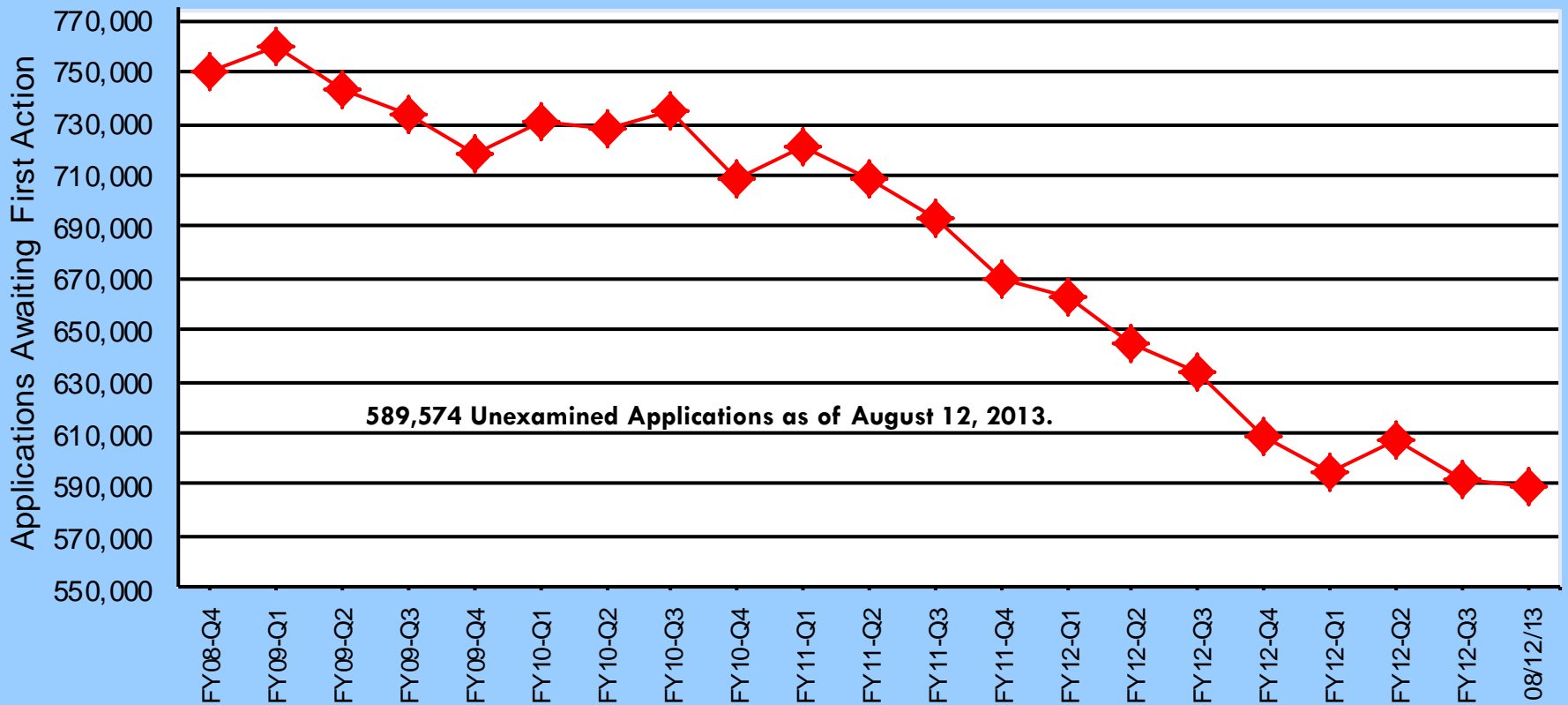


FY 2012 Results: 5.2% total UPR growth rate over FY 2011. Serialized filings grew 6% over FY 2011. RCE filings grew 3.3% over FY 2011.

FY 2013 total UPR filing growth rate is projected to be 7% over FY 2012.



Unexamined Patent Application Backlog FY 2009 – FY 2013 (through August 12)

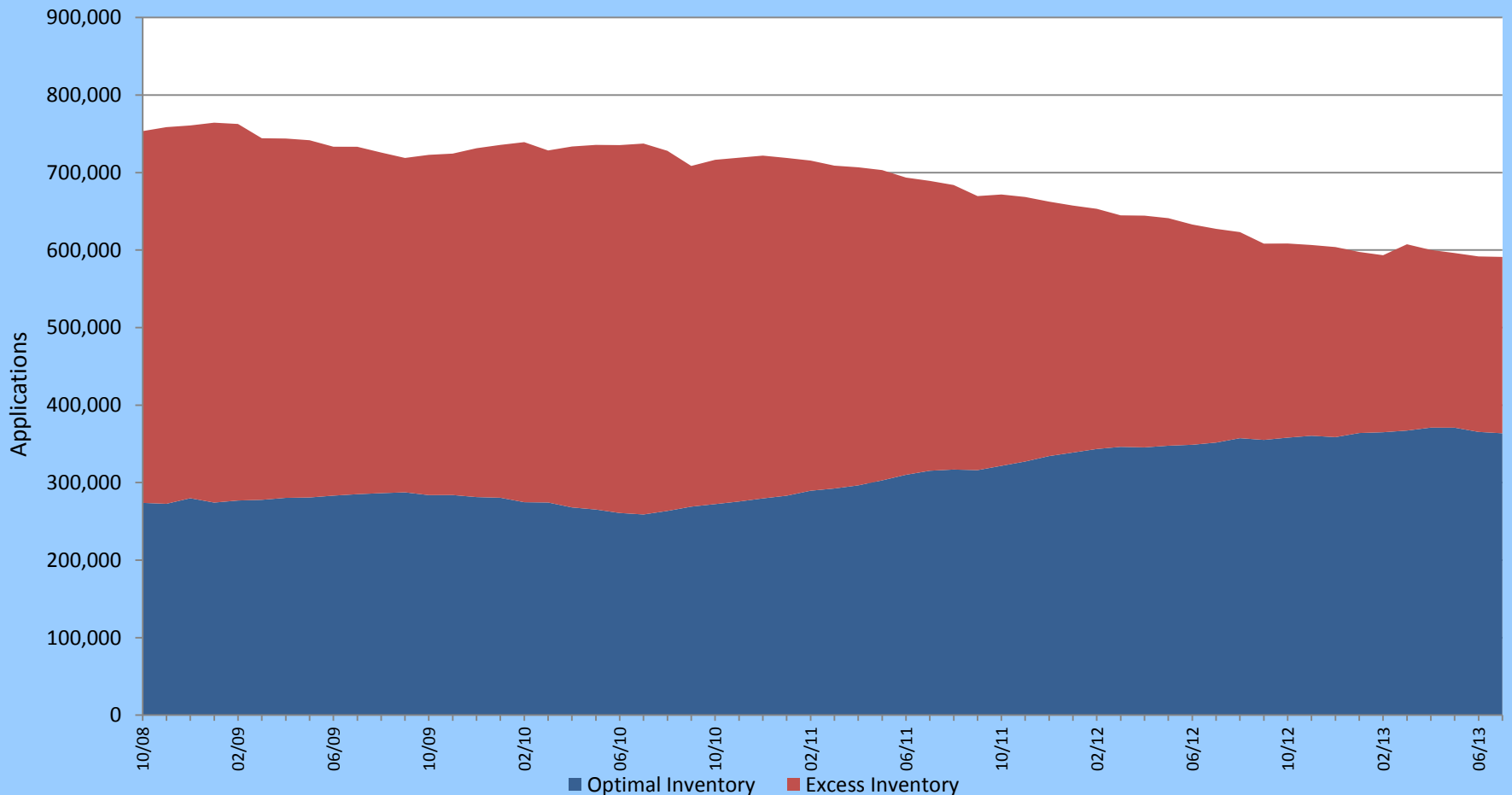


End of Fiscal Year 2012 backlog was 608,283.

FY 2013 target (2014 President's Budget): 566,800



Excess and Optimal Unexamined Patent Application Inventory FY 2009 – FY 2013 (through July)

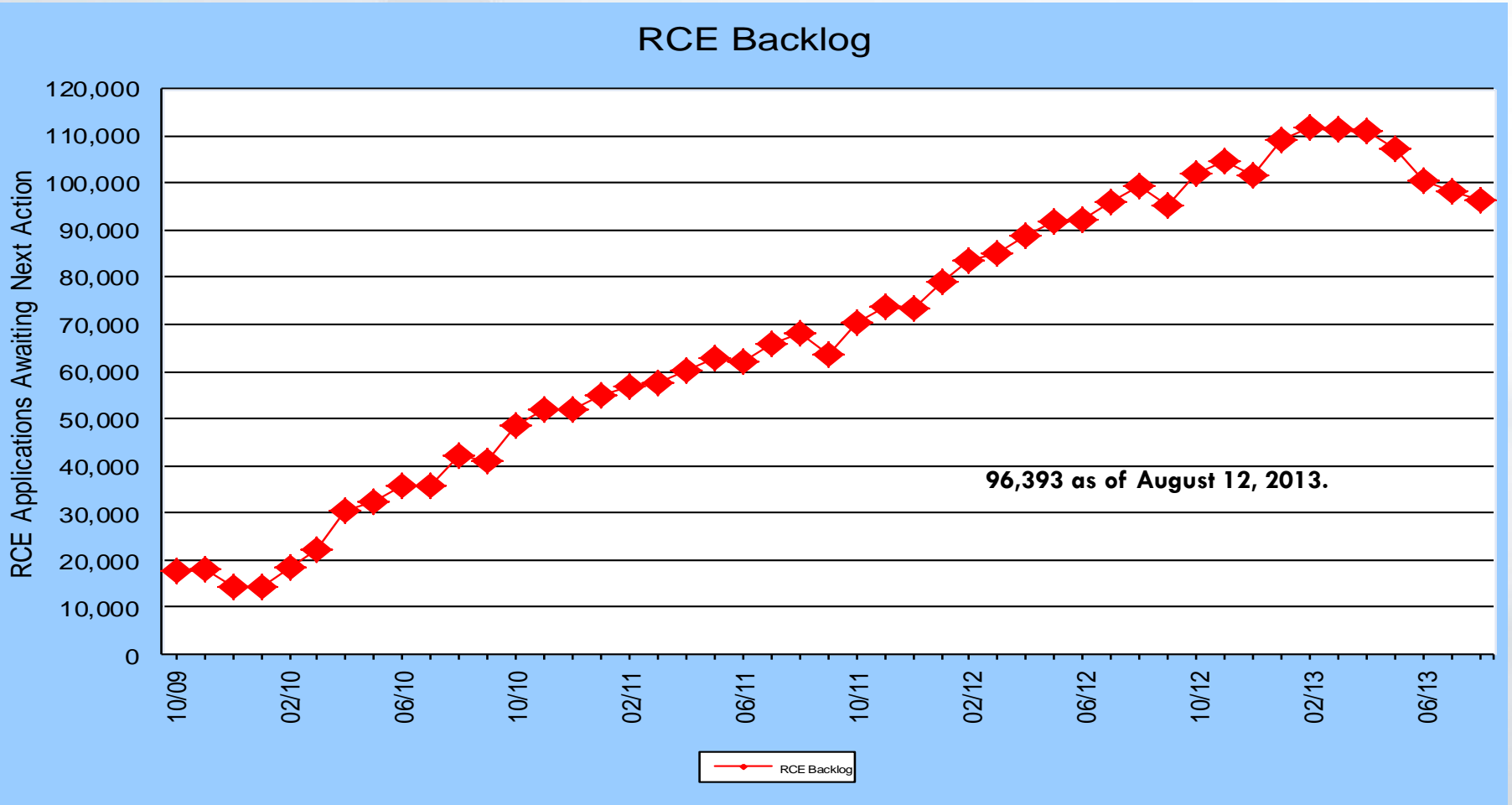


227,515 Excess Unexamined Applications as of July 2013.



RCE Backlog

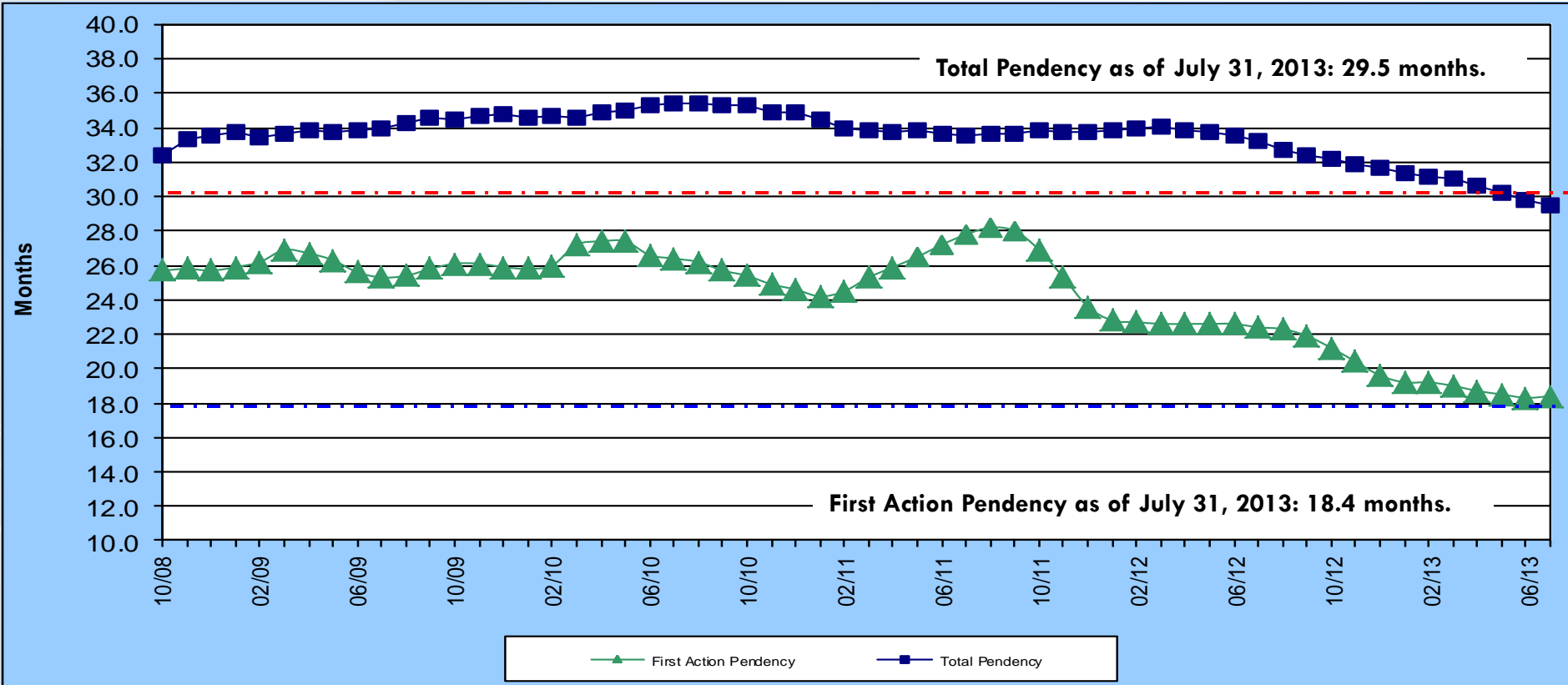
FY 2010 – FY 2013 (through August 12)



End of Fiscal Year RCE backlog was 95,200.



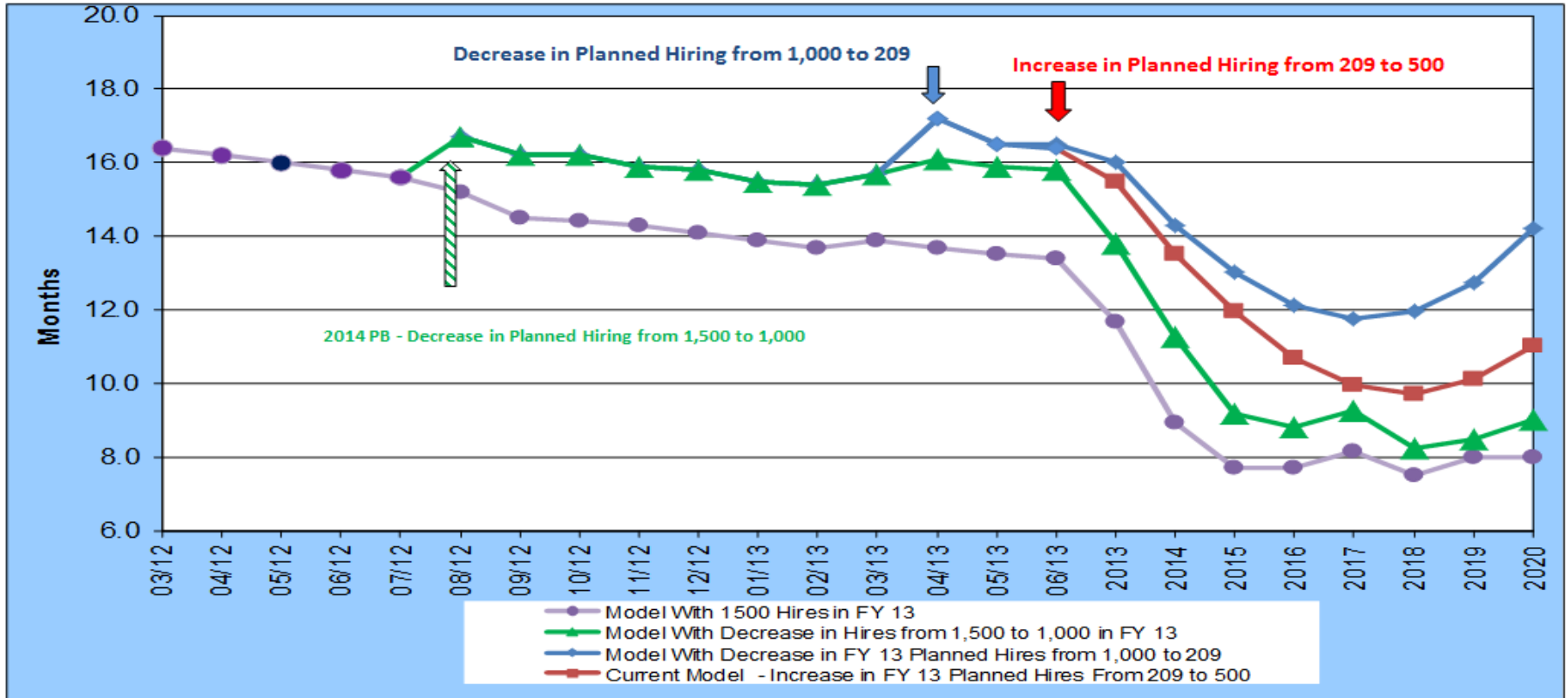
First Action Pendency and Total Pendency FY 2009 – FY 2013 (through July)



- - - **Average Total Pendency FY 2013 Target (2014 President's Budget): 30.1 months** (Actual as of July FY13 – 29.5 months)
- - - **Average First Action Pendency FY 2013 Target (2014 President's Budget): 18.0 months** (Actual as of July FY – 18.4 months)



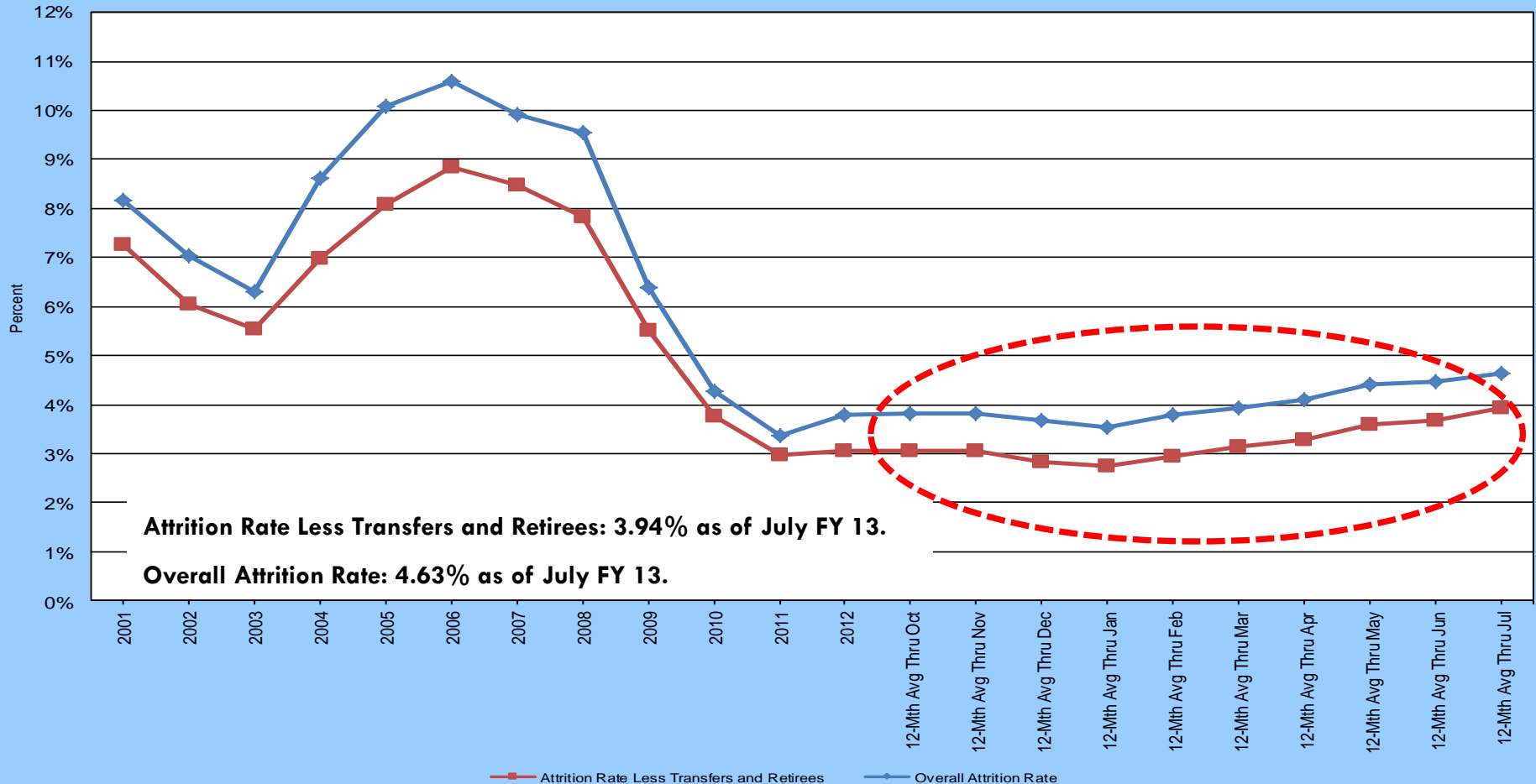
Forward Looking First Action Pendency FY 2009 – FY 2013 (through July)



Forward Looking First Action Pendency as of July 2013: 16.1 months



12-Month Rolling Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY2013 (through July)



 The oval represents when monthly data begins.



Track One Statistics (through August 12, 2013)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	512	576	504	469	1,030	400	508	530	583	221		5,838

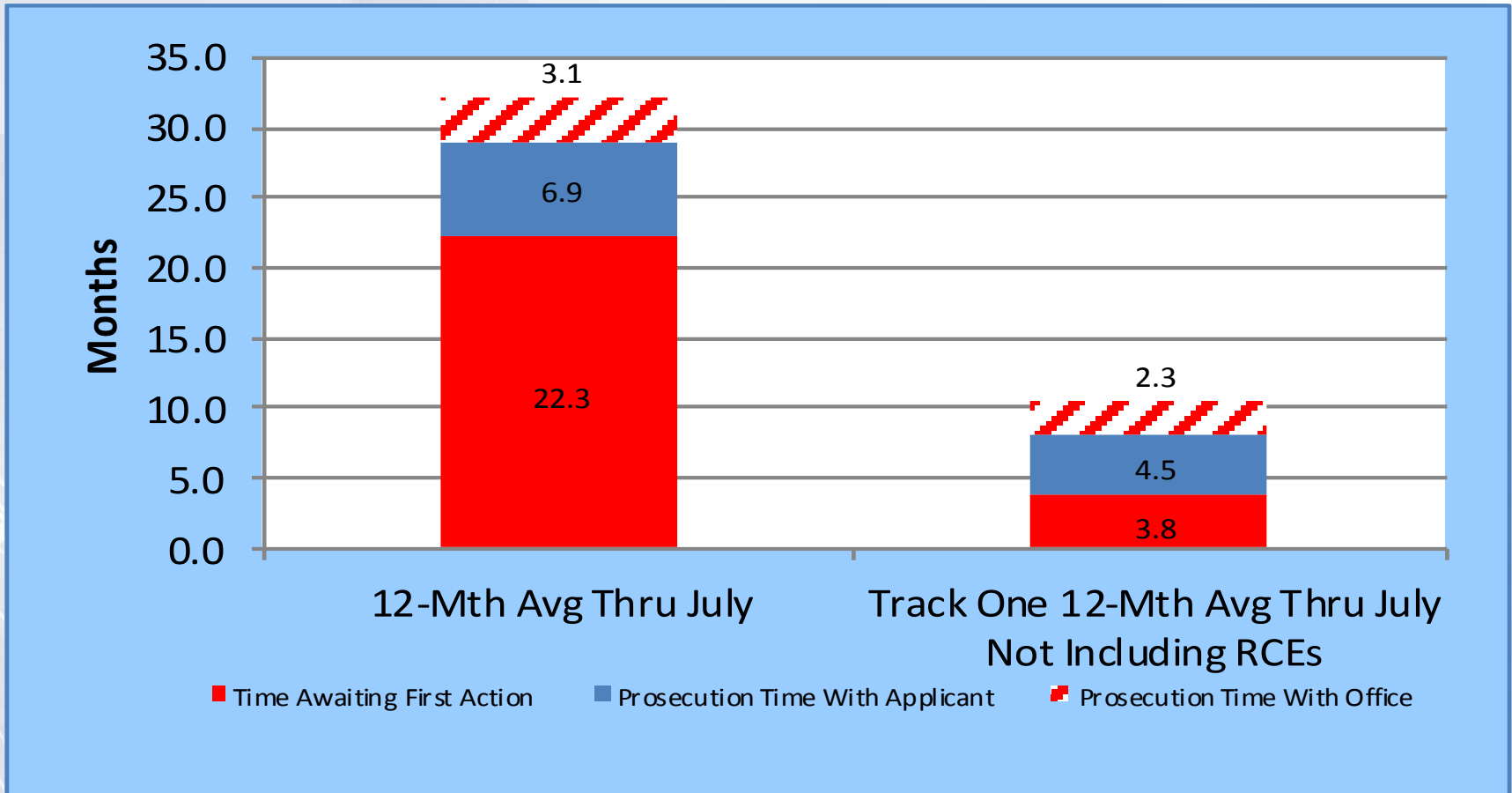
Percent of Petitions From Small Entities	Number of Micro Entity Applications	Average Days to Petition Decision	% of Decided Petitions Granted	Track One Requests Filed After RCE	% of Track One Serial Disposals Having at Least One Interview
47%	105	48.7	94%	754	52%

First Actions Completed	Average Days from Petition Grant to First Office action	Final Rejections	Issues	Number of Abandonments	Number of Allowances	Average Days From Petition Grant to Allowance	Average Days From Petition Grant to Final Disposition
8,253	61.6	3,043	2,514	121	2,935	148	182

First Patent Issued on January 10, 2012 from a September 30, 2011 Prioritized Examination filing



Office Time/Applicant Time – Total Pendency Vs. Track One Total Pendency (12-month Rolling Average through July)





Third Party Prior Art Submissions

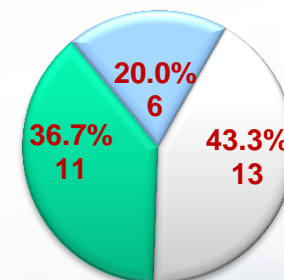
3rd Party Submissions

Proper	692
Improper	273
Not Yet Reviewed	12
Total	977

Total Breakdown of Documents of the 633 Proper Submissions

Patents	945
Published US. Apps	697
Foreign Reference	515
NPL	962
Total Documents	3,119

Type of Rejections Relying on Submissions



- 103 Rejections Based Actions
- 102 Rejections Based Actions
- Both 102 & 103 Rejections Based Actions

Reliance on Third Party Submissions

176 Applications where 3rd Party submissions were not relied upon

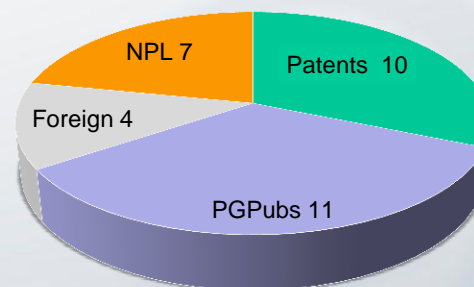


85.4%

14.6%

30 Applications using 3rd Party submissions in Office Actions

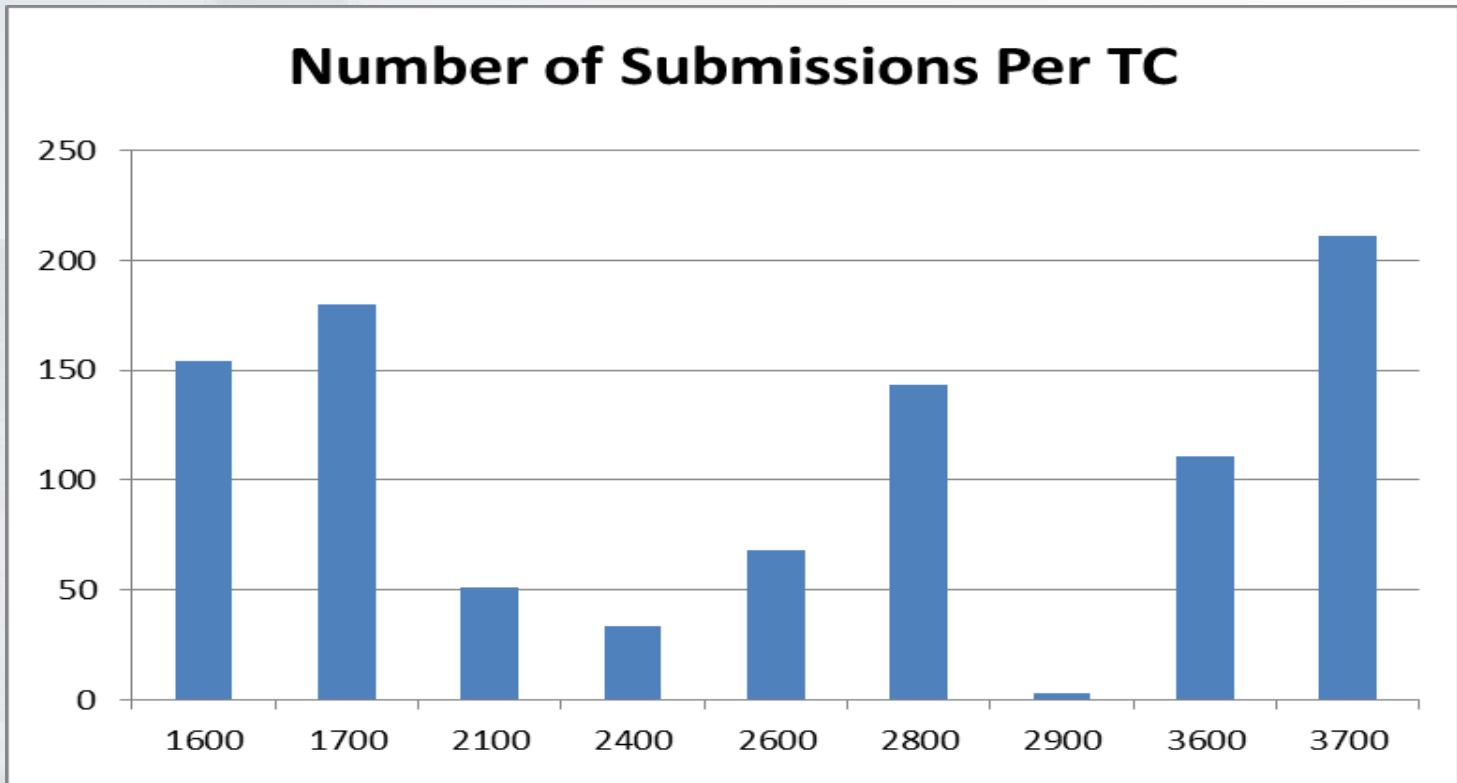
Number of References Used by Type



Represents 26 Total Applications



Third Party Prior Art Submissions

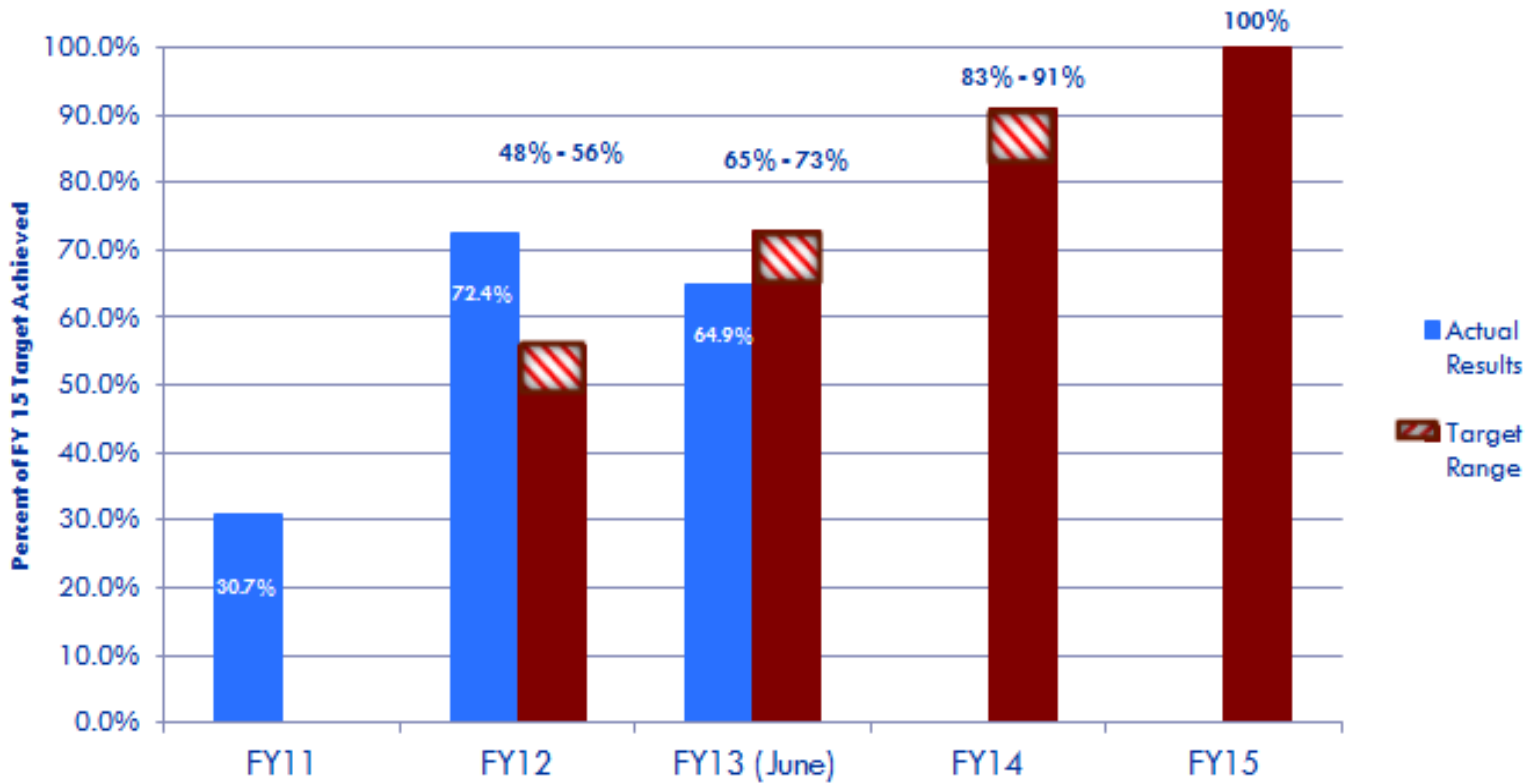


Total 3rd Party Submissions

977



Quality Composite



FY 2011 was baseline year.

Quality Composite Score as of July 2013: 64.9%



Questions and Comments?

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571-272-7220

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