

Patent Public Advisory Committee Meeting USPTO Budget Update



Tony Scardino
Chief Financial Officer
December 1, 2011



FY 2011 End of Year Status

	Patents	Trademarks	USPTO Total
Appropriated Fee Level	1,878.6	211.4	2,090.0
YTD Income			
Actual Fee Collection Through September 30	2,066.5	232.3	2,298.8
Actual Carryover	122.2	100.5	222.7
Actual Surcharge and Prioritized Examination Collections	4.8	0.0	4.8
Actual Other Income	17.3	3.8	21.1
Collections in Excess of Appropriation ^{1/}	(188.1)	(20.8)	(208.9)
Total YTD Available Income	2,022.8	315.8	2,338.5
Total Spending	1,948.3	212.6	2,160.9
Final Carryover Amount	74.4	103.3	177.7



FY 2012 Status

Projected Fee Collection range: \$2,302.4 to 2,706.3 million

↗ Patents: \$ 2,070.1 to \$ 2,466.4 million

↗ Trademarks: \$ 232.3 to \$ 239.9 million

Projected end of year Patent surplus (as of October 31, 2011): \$(159.8) to \$ 236.5 million

Projected obligations (as of October 31, 2011): \$2,561.2 million

↗ Patents: \$2,311.9 million (90%)

↗ Trademarks: \$249.3 million (10%)



FY 2012 Status

Appropriated at \$2,706 million

↪ Minibus signed November 18, 2011

FY 2012 operating plans are being monitored closely in case fee collections come in below the appropriated level



FY 2013 Budget Process

December

- ↪ Develop the FY 2013 President's Budget Request
- ↪ FY 2013 OMB Passback
- ↪ PPAC review of President's Budget (end of month)

February

- ↪ Submit FY 2013 President's Budget Request



Contact Information

Tony Scardino, CFO

Tony.Scardino@uspto.gov

(571) 272-9200

Frank Murphy, Deputy CFO

Frank.Murphy@uspto.gov

(571) 272-9200