



Trademark Public Advisory Committee

USPTO Budget Update

November 18, 2011



FY 2011 End of Year Status

	Patents	Trademarks	USPTO Total
Appropriated Fee Level	1,878.6	211.4	2,090.0
YTD Income			
Actual Fee Collection Through September 30	2,066.5	232.3	2,298.8
Actual Carryover	122.2	100.5	222.7
Actual Surcharge and Prioritized Examination Collections	4.8	0.0	4.8
Actual Other Income	17.3	3.8	21.1
Collections in Excess of Appropriation ^{1/}	(188.1)	(20.8)	(208.9)
Total YTD Available Income	2,022.8	315.8	2,338.5
Total Spending	1,948.3	212.6	2,160.9
Final Carryover Amount	74.4	103.3	177.7



FY 2012 Status

Projected Fee Collection range: \$2,302.4 to 2,706.3 million

↪ Patents: \$ 2,070.1 to \$ 2,466.4 million

↪ Trademarks: \$ 232.3 to \$ 239.9 million

Projected end of year Trademark surplus (as of October 31, 2011): \$ 93.8 to \$ 101.4 million

Projected obligations (as of October 31, 2011): \$2,561.2 million

↪ Patents: \$2,311.9 million (90%)

↪ Trademarks: \$249.3 million (10%)



FY 2012 Status (continued)

Current CR through November 18, 2011. USPTO held at the \$2,090 million level

- ↪ Subject to the rate of operations reduction of 1.503%
- ↪ Contracts are currently funded on a monthly basis
- ↪ With the exception of Patent Examiners, Trademarks, TTAB and positions related to implementing the American Invents Act, all hires are subject to an approval process



FY 2013 Budget Process

November - December

- ↪ Develop the FY 2013 President's Budget Request
- ↪ FY 2013 OMB Passback
- ↪ TPAC review of President's Budget (end of December)

February

- ↪ Submit FY 2013 President's Budget Request



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