



Trademark Public Advisory Committee  
USPTO Budget Update  
August 19, 2011



# FY 2011 Status

Projected Fee Collection range (as of July 31, 2011): \$ 2,106 to \$2,491 million

- ↪ Patents: \$1,894 to \$2,255 million
- ↪ Trademarks: \$212 to \$236 million

Projected end of year Trademark surplus (as of July 31, 2011): \$97 - \$121 million

Projected obligations (as of July 31, 2011): \$2,193 million

- ↪ Patents: \$1,973 million (90%)
- ↪ Trademarks: \$219 million (10%)



# FY 2012 Status

## FY 2011 funding level is critical to FY 2012

- ↪ If USPTO is held to a full year CR level of \$2,090 million, the agency will be forced to implement significant cuts to avoid a budget deficit.
- ↪ FY 2011 reductions have been incorporated to secure a carryover balance for October 1, 2011.

## Preparing for various FY 2012 scenarios

- ↪ Ranges from a full year CR to the President's Budget level.
- ↪ Scenarios are with and without the passage of the American Invents Act.



# FY 2013 Budget Formulation Process

## August

- ↪ Submit draft FY 2013 Budget to DoC and TPAC for review.
- ↪ DoC and TPAC's feedback incorporated into budget document.

## September

- ↪ FY 2013 OMB Budget Request is finalized.



# FY 2011 Status

## Current Obligations by Business Line (as of July 31, 2011)

	Patents		Trademarks		Total USPTO
Trademarks			\$ 82,168,390	45.6%	\$ 82,168,390
Patents	\$ 1,193,225,033	71.7%			\$ 1,193,225,033
Miscellaneous General Expense	\$ 157,949,218	9.5%	\$ 17,211,106	9.5%	\$ 175,160,324
Appeals Boards	\$ 25,791,653	1.5%	\$ 7,720,875	4.3%	\$ 33,512,528
OGC	\$ 9,439,557	0.6%	\$ 1,948,553	1.1%	\$ 11,388,110
CIO	\$ 213,587,579	12.8%	\$ 52,818,976	29.3%	\$ 266,406,555
CFO	\$ 21,292,727	1.3%	\$ 4,306,692	2.4%	\$ 25,599,419
CAO	\$ 22,739,078	1.4%	\$ 3,121,377	1.7%	\$ 25,860,455
EA	\$ 15,799,165	0.9%	\$ 9,789,290	5.4%	\$ 25,588,455
Director's Office, Equal Opportunity and Diversity, Chief Communications Officer	\$ 4,198,911	0.3%	\$ 1,177,525	0.7%	\$ 5,376,436
<b>Total</b>	<b>\$ 1,664,022,921</b>	<b>100.0%</b>	<b>\$ 180,262,784</b>	<b>100.0%</b>	<b>\$ 1,844,285,705</b>



# Contact Information

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