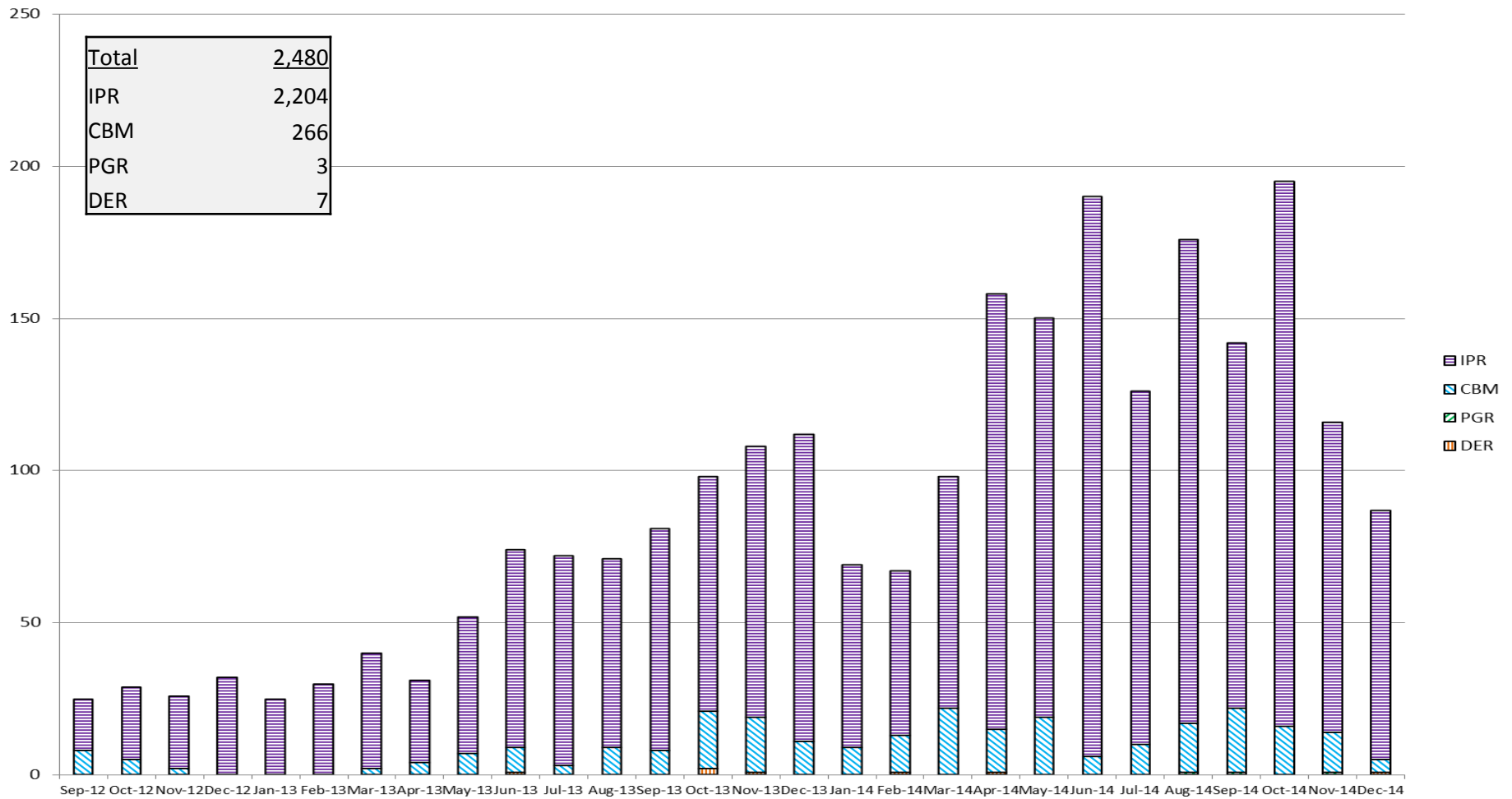




# AIA Progress (as of December 11, 2014)

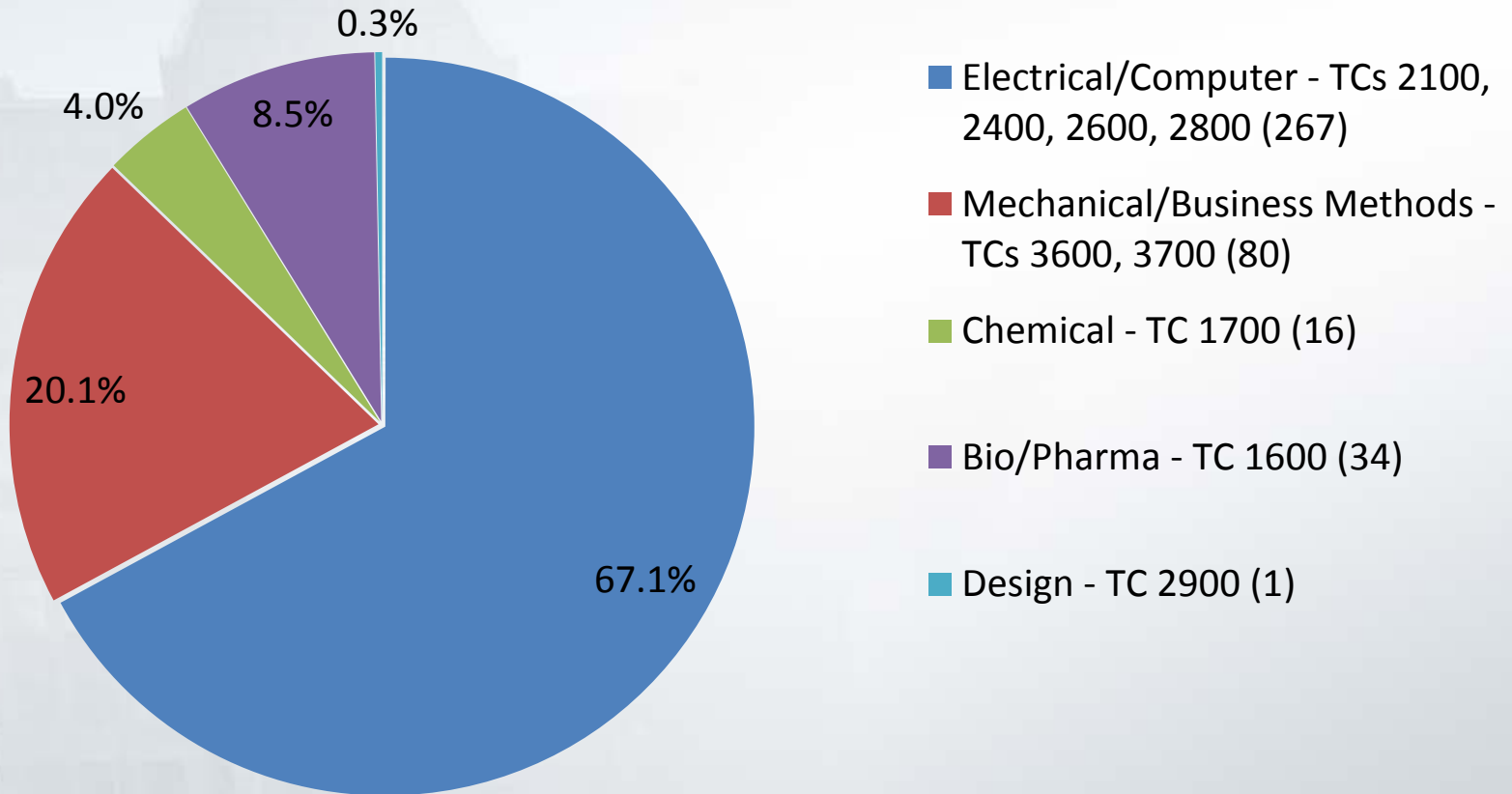
- AIA Monthly Filings





# AIA Progress (for FY15 through December 11, 2014)

- AIA Petition Technology Breakdown





# AIA Progress (as of December 11, 2014)

- Cumulative Patent Owner Preliminary Responses

|            | <b>Filed</b> | <b>Waived</b> |
|------------|--------------|---------------|
| <b>IPR</b> | 1,340        | 314           |
| <b>CBM</b> | 173          | 23            |
| <b>PGR</b> | 1            | -             |



# AIA Progress (as of December 11, 2014)

- AIA Petition Dispositions

|            |      | <b>Trials Instituted</b> | <b>Joinders</b> | <b>Percent Instituted</b> | <b>Denials</b> | <b>Total No. of Decisions on Institution</b> |
|------------|------|--------------------------|-----------------|---------------------------|----------------|--|
| <b>IPR</b> | FY13 | 167                      | 10+             | 87%                       | 26             | 203  |
|            | FY14 | 557                      | 15+             | 75%                       | 193            | 765  |
|            | FY15 | 152                      | 65+             | 79%                       | 56             | 273  |
| <b>CBM</b> | FY13 | 14                       | 0               | 82%                       | 3              | 17   |
|            | FY14 | 91                       | 1+              | 75%                       | 30             | 122  |
|            | FY15 | 16                       | -               | 80%                       | 4              | 20   |
| <b>DER</b> | FY14 | 0                        | 0               | 0%                        | 3              | 3  |



# AIA Progress (as of December 11, 2014)

- AIA Final Dispositions

|            |      | Settlements | Adverse Judgments | Final Written Decisions |
|------------|------|-------------|-------------------|-------------------------|
| <b>IPR</b> | FY13 | 38          | 2                 | 0                       |
|            | FY14 | 210         | 39                | 130                     |
|            | FY15 | 68          | 6                 | 55                      |
| <b>CBM</b> | FY13 | 3           | 0                 | 1                       |
|            | FY14 | 27          | 3                 | 13                      |
|            | FY15 | 13          | 2                 | 3                       |
| <b>PGR</b> | FY15 | 1           | -                 | -                       |