



Small Entity Compliance Guide

Setting and Adjusting Patent Fees

**U.S. Department of Commerce
United States Patent and Trademark Office
January 18, 2013**

Section 10 of the Leahy-Smith America Invents Act (AIA) authorizes the United States Patent and Trademark Office (hereinafter “USPTO” or “the Office”) to set or adjust by rule any patent fee established, authorized, or charged under Title 35, United States Code (U.S.C.) for any services performed by, or materials furnished by, the Office. Section 10 prescribes that fees may be set or adjusted only to recover the aggregate estimated costs to the Office for processing, activities, services, and materials relating to patents, including administrative costs to the Office. The Office sets 351 patent fees under section 10 of the AIA in the final rule, “Setting and Adjusting Patent Fees”, 78 FR 4212 (Jan. 18, 2013). Of these 351 fees, 93 apply to large entities (any reference herein to “large entity” includes all entities other than small or micro entities), 94 apply to small entities, 93 apply to micro entities, and 71 are not entity-specific.

The Office prepared this Compliance Guide in accordance with the requirements of section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996 (Public Law 104-121). The Office intends this Guide to serve as an introduction to the provisions of the final rule and more specifically the changes in patent fees most relevant to small entities (as defined in 37 CFR 1.27) and micro entities (as defined in 37 CFR 1.29), including independent patent applicants and holders.

This Guide is organized as follows:

- 1) Definitions
- 2) Fee Changes
- 3) Available Resources
- 4) How to Pay Fees
- 5) Effective Dates

This Guide does not supersede the final rule or any existing regulations or guidance issued in other agency regulations. The final rule remains the official record of the Office’s section 10 fee and procedural changes. For the full text of the final rule, *see* 78 FR 4212 (Jan. 18, 2013).

Definitions

The section 10 final rule does not alter the definition of a small entity established prior to the enactment of the AIA nor does it alter the definition of a micro entity established in the AIA. An overview of each definition is presented below.

Small Entity

The Office's definition of a small entity for the purpose of paying small entity patent fees is established in 37 CFR 1.27, which is entitled "Definition of small entities and establishing status as a small entity to permit payment of small entity fees; when a determination of entitlement to small entity status and notification of loss of entitlement to small entity status are required; fraud on the Office." Any party (person, small business concern who meets the size standard in 13 CFR 121.801 through 13 CFR 121.805, or nonprofit organization) who is entitled to small entity status in accordance with the definitions set forth in 37 CFR 1.27(a) is entitled to a 50 percent discount on eligible fees. For the purpose of paying reduced fees under the authority of section 10, this definition does not change.

Micro Entity

The Office's definition of a micro entity for the purpose of paying micro entity patent fees was created by section 10(g) of the AIA. 125 Stat. at 318-19; see also 35 U.S.C. 123. The definition of micro entity established in the AIA includes limitations based on the number of previously filed applications, gross income, and ownership interests, as well as a separate provision concerning institutions of higher education. For the purpose of paying reduced fees under the authority of section 10, the final rule primarily tracks the eligibility requirements set forth in the law. In a separate final rule, the Office set forth rules of practice pertaining to how an applicant can qualify for micro entity discounts. (*See Changes to Implement Micro Entity Status for Paying Patent Fees*, 77 FR 75019 (Dec. 19, 2012)).

Fee Reductions

Small Entities: The AIA provides that fees set or adjusted under section 10(a) "for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents shall be reduced by 50 percent" with respect to the application of such fees to any "small entity" (as defined in 37 CFR 1.27) that qualifies for reduced fees under 35 U.S.C. 41(h)(1). 125 Stat. at 316-17. 35 U.S.C. 41(h)(1), in turn, provides that certain patent fees "shall be reduced by 50 percent" for a small business concern as defined in section 3 of the SBA, and to any independent inventor or nonprofit organization as defined in regulations described by the Director. Of the 94 small entity fees in the section 10 final rule, 85 are adjusted, 5 are set at existing fee amounts, and 4 new small entity fees were first proposed in the section 10 notice of proposed rulemaking (NPRM).

Micro Entities: Section 10(b) of the Act provides that the fees set or adjusted under section 10(a) "for filing, searching, examining, issuing, appealing, and maintaining patent applications and patents shall be reduced . . . by 75 percent with respect to the application of such fees to any micro entity as defined by [new 35 U.S.C.] 123." 125 Stat. at 315-17. There are 93 new micro entity fees first proposed in the NPRM that are set at a reduction of 75 percent from the large entity fee amounts.

Fee Changes

The section 10 final rule sets or adjusts patent fees consistent with section 10 authority and the Office's rulemaking goals. The final rule does not implement any changes to the process of paying fees, nor does it introduce any new regulations by which entities eligible for fee reductions must comply.

See the Table of Patent Fee Changes appended to this Guide for the full list of current and prior fee amounts as well as the dollar change and percent change in large entity fees. The table lists "Current Fees" for both large and small entities and the "Final Fee Schedule" set in the final rule.

This section of the Guide offers an overview of both new and restructured fee changes as well as a specific discussion of changes for small and micro entities.

New and Restructured Fees

The section 10 final rule creates several new fees, alters the structure of some existing fees, and revises fees for proceedings created by the AIA (e.g., *inter partes* reviews and post-grant reviews). An overview of the new fees that the section 10 final rule creates is below.

Patent Examination Fees

- Correct inventorship after first action on the merits: The final rule sets a new fee (\$600 large/\$300 small/\$150 micro) to accompany a request to correct or change the inventorship filed after an Office action on the merits, unless the request is accompanied by a statement that the request to correct or change the inventorship is due solely to the cancellation of claims in the application.

Request for Continuing Examination Fees

- Request for continued examination (RCE) (2nd and subsequent): In the current fee schedule, the RCE fee (Fee Codes 1801 and 2801) is \$930 for a large entity (\$465 for a small entity) regardless of how many RCEs have already been filed for any single patent application. In the final rule, the Office divides the fee for RCEs into two parts: (1) a lower fee for a first RCE (\$1,200 large/\$600 small/\$300 micro; Fee Codes 1801/2801/3801); and (2) a second, higher fee for a second or subsequent RCE (\$1,700 large/\$850 small/\$425 micro).

Patent Appeal Fees

- Appeal Fees: The final rule alters the structure for appeal fees into a multipart and staged approach. Currently, appeal fees are set at \$630 (large entity) and \$315 (small entity) for filing a notice of appeal (Fee Codes 1401 and 2401), \$630 (large entity) and \$315 (small entity) for filing a brief in support of an appeal in an application or *ex parte* reexamination proceeding when filing a brief (Fee Codes 1402 and 2402), and \$1,260 to request an oral hearing (Fee Codes 1403 and 2403). In the final rule, the Office sets four fees (including a \$0 fee): (1) notice of appeal (\$800 large/\$400 small/\$200 micro; Fee Codes 1401, 2401, and 3401); (2) filing a brief in support of an appeal in an application or *ex parte* reexamination proceeding when filing a brief (\$0 large/\$0 small/\$0 micro; Fee Codes 1402, 2402, and 3402); (3) a new fee for forwarding an appeal in an

application or *ex parte* reexamination proceeding to the board (\$2,000 large/\$1,000 small/\$500 micro); and (4) request for oral hearing (\$1,300 large/\$650 small/\$325 micro).

- Filing a brief in support of an appeal in an *inter partes* reexamination proceeding: The final rule sets this fee at \$2,000 for large entities and sets small and micro entity fees as well (\$1,000 and \$500 respectively).

Patent Assignment Fees

- Recording each patent assignment, agreement or other paper, per property if submitted electronically: In the final rule, the Office sets two fees for recording an assignment. When an assignment is submitted using the Office’s electronic system, the fee is \$0 (effective January 1, 2014). When an assignment is sent to the Office in a manner other than using the Office’s electronic system, the fee is \$40 (the current amount). This fee is not eligible for small or micro entity discounts.

New AIA Contested Case Fees

- *Inter partes* review request fee, *inter partes* review post-institution fee, *inter partes* review request of each claim in excess of 20, and *inter partes* post-institution request of each claim in excess of 15: *Inter partes* review is a new trial proceeding created by the AIA with an effective date of September 16, 2012 (*see* Changes to Implement *Inter Partes* Review Proceedings, Post-Grant Review Proceedings, and Transitional Program for Covered Business Method Patents 77 FR 48680 (Aug. 14, 2012)). In the section 10 final rule, the Office sets four separate fees for *inter partes* review that are not entity-specific. These fees are not eligible for small or micro entity discounts under section 10(b).
- Post-grant or covered business method patent review request, post-grant or covered business method patent review post institution, post-grant or covered business method patent review request of each claim in excess of 20, and post-grant or covered business method patent review post-institution request of each claim in excess of 15: Post-grant review is a new trial proceeding created by the AIA with an effective date of September 16, 2012 (*see* Changes to Implement *Inter Partes* Review Proceedings, Post-Grant Review Proceedings, and Transitional Program for Covered Business Method Patents, 77 FR 48680 (Aug. 14, 2012)). In the section 10 final rule, the Office sets four separate fees for post-grant review. These fees are not eligible for small or micro entity discounts under section 10(b).

Small and Micro Entity Fee Changes

As noted above, all fees set or adjusted in the section 10 final rule are included in the Table of Patent Fee Changes appended to this Guide. The table lists “Current Fees” for both large and small entities and the “Final Fee Schedule” set in the final rule. All newly set small entity fees include a notation of “N/A” in the “Current Fees” column for “Small” and a fee amount in the “Final Fee Schedule,” “Small” column. All micro entity fees are new. For the purpose of discussing dollar and percent fee changes, the Office compares the fees for micro entities to

current fees for small entities where available or to current fees for large entities where no discounts were previously offered. Increases and decreases are summarized below.

- New Fee Discounts: Currently, the small entity discount is only available for statutory fees provided under sections 41(a) and 41(b). Section 10(b) extends the discount to some fees that were not previously eligible for fee reductions under sections 41(a) and 41(b). These newly reduced fees include: Fee Codes 1053/2053/3053 for Non-English specification; Fee Codes 1557/2557/3557 for surcharge after expiration – late payment is unavoidable and 1558/2558/3558 for surcharge after expiration – late payment is unintentional; Fee Code 1812 for request for *ex parte* reexamination and refused request for *ex parte* reexamination; several miscellaneous patent fees; several patent petition fees; and several Patent Cooperative Treaty (PCT) international stage fees. Nearly all fees that were previously eligible for small entity fee reductions are eligible for micro entity fee reductions (*see* discussion below of Statutory Disclaimer), and all fees that are newly eligible for small entity discounts are also eligible for micro entity discounts.
- Fee Decreases: Of the 85 small entity fees that the final rule adjusts, 41 (48 percent) of them decrease. Of the 87 micro entity fees set in the section 10 final rule, all but six of them (93 percent) decrease relative to what a micro entity would have paid as a small entity (if such fee reductions were available) or as a large entity. Some of these decreases are the result of the new fee discounts available to small and micro entities that are identified above. Others are the result of the Office’s decision to lower certain fees.
 - Fee Code 2011 “Basic filing fee – Utility,” is currently set at \$195. Under the final rule, this fee is \$140. However, applicants should note that filing, search, and examination fees must be paid in total at the time of filing. While the basic filing fee decreases under the final rule, the total filing fees (i.e., filing, search, and examination) increase. The net result of the changes to these three components is a 27 percent increase for large and small entities in the total filing, search, and examination fees for a utility application. By contrast, eligible micro entity applicants will see a 37 percent decrease in total filing fees for a utility application. Overall, once effective, the total fees paid by small entities to obtain a basic patent (i.e., filing, search, examination, publication, and issue) decreases when compared to the total fees paid for the same services under the current fee schedule. This decrease is substantial (23 percent) from application through issue. For micro entities, the decrease is even more substantial—62 percent from application through issue.
 - The request for prioritized examination is another notable fee decrease. In the section 10 final rule, the fee decreases from \$2,400 for small entities to \$2,000 for small entities and \$1,000 for micro entities.
 - The administrative trial fees are ineligible for small and micro entity discounts under section 10(b) of the AIA; however, the section 10 final rule reduced these non-entity specific fees when compared to the fees set when these services were introduced under a separate rule. *See* Changes to Implement *Inter Partes* Review

Proceedings, Post-Grant Review Proceedings, and Transitional Program for Covered Business Method Patents 77 FR 48680 (Aug. 14, 2012).

- **Fee Increases:** Of the 85 small entity fees that the final rule adjusts, 44 (52 percent) of them increase. Of the 87 micro entity fees set in the section 10 final rule, six (7 percent) of them increase relative to what a micro entity would have paid as a small entity (if such fee reductions were available) or as a large entity.

Notable fee increases for small and micro entities include:

- Examination fees including the examination fees for utility, design, plant, and reissue applications and the PCT National Stage examination fees increase for both small and micro entities under the section 10 final fee schedule. For example, Fee Code 2311 “Utility Examination Fee”, increases from \$125 for small entities in the current fee schedule to \$360 for small entities and \$180 for micro entities in the final rule. As noted above, filing, search, and examination fees must be paid together at filing, so the increased examination fee, when coupled with decreased filing and search fees, results in a 27 percent total increase for small entities but a 37 percent total decrease for micro entities. Despite the increased examination fee, the total fees paid through issue for a basic patent decrease by 23 percent for small entities and 62 percent for micro entities.
- Other notable increases to existing fees include Fee Code 2801 “Request for continued examination”; maintenance fees at every stage (Fee Codes 2551, 2552, and 2553); and excess claims fees (Fee Codes 2201, 2202, and 2203).
- One fee that is currently eligible for a small entity discount will lose that eligibility under the section 10 final rule. This is the fee for statutory disclaimer (Fee Codes 1814 and 2814). Under the authority of section 10(b) of the AIA, this fee is no longer eligible for a small entity discount, so all entities will pay the same fee, \$160.

How to Pay Fees

As noted above, the section 10 final rule does not change the way that an applicant or other patent stakeholder pays patent fees to the USPTO.

Any fee amount adjusted by the final rule that is paid on or after the effective date of the fee adjustment enacted by the final rule is subject to the new fees in effect. The amount of the fee to be paid for a given item will be determined by the time of filing of that item with the Office. The time of filing will be determined either according to the date of receipt in the Office (37 CFR 1.6) or the date reflected on a proper Certificate of Mailing or Transmission, where such a certificate is authorized under 37 CFR 1.8. Use of a Certificate of Mailing or Transmission is not authorized for items that are specifically excluded from the provisions of 37 CFR 1.8. Items for which a Certificate of Mailing or Transmission under 37 CFR 1.8 is not authorized include, for example, filing of national and international applications for patents. *See* 37 CFR 1.8(a)(2).

Patent-related correspondence delivered by the “Express Mail Post Office to Addressee” service of the United States Postal Service (USPS) is considered filed or received in the USPTO on the

date of deposit with the USPS. See 37 CFR 1.10(a)(1). The date of deposit with the USPS is shown by the “date-in” on the “Express Mail” mailing label or other official USPS notation.

Applicants should continue to follow the guidance issued by the USPTO concerning fee payment processes and procedures. See the USPTO websites listed under “Available Resources” for more information.

Effective Dates

The fees set in the section 10 final rule are effective on March 19, 2013, except for changes to the following fees, which will be effective on January 1, 2014: sections 1.18(a)(1), (b)(1), (c)(1), and (d)(1) (patent issue and publication fees); section 1.21(h)(1) (fee for recording a patent assignment electronically); sections 1.482(a)(1)(i)(A), (a)(1)(ii)(A), and (a)(2)(i) (international application filing, processing and search fees); and fees included in sections 1.445(a)(1)(i)(A), (a)(2)(i), (a)(3)(i), and (a)(4)(i) (international application transmittal and search fees).

Available Resources

Changes to Implement Micro Entity Status for Paying Patent Fees, 77 FR 75019 (Dec. 19, 2012).

Setting and Adjusting Patent Fees, 78 FR 4212 (Jan. 18, 2013).

USPTO, Fees and Payments Frequently Asked Questions (FAQ), available at http://www.uspto.gov/main/faq/index_feefaq.html.

USPTO, How to Pay Fees Guide, available at <http://www.uspto.gov/main/howtofees.htm>.

USPTO, Inventor Resources, available at <http://www.uspto.gov/inventors/index.jsp>.

USPTO, Leahy-Smith America Invents Act Implementation Information, “Fees and Budgetary Issues,” available at http://www.uspto.gov/aia_implementation/fees.jsp.

USPTO, Small Business Education Campaign, available at <http://www.uspto.gov/smallbusiness/about/>.

USPTO, USPTO Fee Information, available at <http://www.uspto.gov/about/offices/cfo/finance/fees.jsp>.

Appendix A

USPTO Section 10 Fee Setting – Table of Patent Fee Changes

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
Patent Application Filing Fees												
1011/2011/3011	Basic filing fee - Utility	390.00	195.00	280.00	140.00	70.00	-110.00	-28%	241.00	243.00	234.00	Yes
4011	Basic filing fee - Utility (electronic filing for small entities)	N/A	98.00	N/A	70.00	N/A	-28.00	-29%	241.00	243.00	234.00	Yes
1051/2051/3051	Surcharge - Late filing fee, search fee, examination fee or oath or declaration	130.00	65.00	140.00	70.00	35.00	10.00	8%	(d)			
1012/2012/3012	Basic filing fee - Design	250.00	125.00	180.00	90.00	45.00	-70.00	-28%	241.00	243.00	234.00	Yes
1017/2017/3017	Basic filing fee - Design (CPA)	250.00	125.00	180.00	90.00	45.00	-70.00	-28%	728.00	632.00	715.00	Yes
1013/2013/3013	Basic filing fee - Plant	250.00	125.00	180.00	90.00	45.00	-70.00	-28%	241.00	243.00	234.00	Yes
1014/2014/3014	Basic filing fee - Reissue	390.00	195.00	280.00	140.00	70.00	-110.00	-28%	239.00	242.00	233.00	Yes
1019/2019/3019	Basic filing fee - Design Reissue (CPA)	390.00	195.00	280.00	140.00	70.00	-110.00	-28%	728.00	632.00	715.00	Yes
1005/2005/3005	Provisional application filing fee	250.00	125.00	260.00	130.00	65.00	10.00	4%	126.00	122.00	124.00	
1052/2052/3052	Surcharge - Late provisional filing fee or cover sheet	50.00	25.00	60.00	30.00	15.00	10.00	20%	(d)			
1631/2631/3631	Basic National Stage Fee	390.00	195.00	280.00	140.00	70.00	-110.00	-28%	322.00	355.00	331.00	Yes
1053/2053/3053	Non-English specification	130.00	N/A	140.00	70.00	35.00	10.00	8%	(d)			
Patent Search Fees												
1111/2111/3111	Utility Search Fee	620.00	310.00	600.00	300.00	150.00	-20.00	-3%	1,520.00	1,694.00	1,521.00	
1112/2112/3112	Design Search Fee	120.00	60.00	120.00	60.00	30.00	0.00	0%	307.00	448.00	430.00	
1113/2113/3113	Plant Search Fee	380.00	190.00	380.00	190.00	95.00	0.00	0%	1,520.00	1,694.00	1,521.00	
1114/2114/3114	Reissue Search Fee	620.00	310.00	600.00	300.00	150.00	-20.00	-3%	1,523.00	1,694.00	1,521.00	
1640/2640/3640	National Stage Search Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00	0.00	0.00	0.00	0%	300.00	277.00	305.00	
1641/2641/3641	National Stage Search Fee - U.S. was the ISA	120.00	60.00	120.00	60.00	30.00	0.00	0%	300.00	277.00	305.00	
1642/2642/3642	National Stage Search Fee - search report prepared and provided to USPTO	500.00	250.00	480.00	240.00	120.00	-20.00	-4%	1,523.00	1,694.00	1,521.00	
1632/2632/3632	National Stage Search Fee - all other situations	630.00	315.00	600.00	300.00	150.00	-30.00	-5%	1,523.00	1,694.00	1,521.00	
Patent Examination Fees												
1311/2311/3311	Utility Examination Fee	250.00	125.00	720.00	360.00	180.00	470.00	188%	1,904.00	1,969.00	1,814.00	Yes
1312/2312/3312	Design Examination Fee	160.00	80.00	460.00	230.00	115.00	300.00	188%	586.00	517.00	587.00	Yes
1313/2313/3313	Plant Examination Fee	200.00	100.00	580.00	290.00	145.00	380.00	190%	1,904.00	1,969.00	1,814.00	Yes
1314/2314/3314	Reissue Examination Fee	760.00	380.00	2,160.00	1080.00	540.00	1,400.00	184%	1,906.00	1,969.00	1,814.00	Yes
1643/2643/3643	National Stage Examination Fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00	0.00	0.00	0.00	0%	1,906.00	1,969.00	1,814.00	
1633/2633/3633	National Stage Examination Fee - all other situations	250.00	125.00	720.00	360.00	180.00	470.00	188%	1,906.00	1,969.00	1,814.00	Yes
NEW	Correct Inventorship After First Action on the Merits	N/A	N/A	600.00	300.00	150.00	N/A	N/A	(d)			Yes
Request for Continuing Examination Fees												
1801/2801/3801	Request for continued examination (RCE) (1st Request) (see 37 CFR 1.114)	930.00	465.00	1,200.00	600.00	300.00	270.00	29%	1,881.00	1,696.00	2,070.00	Yes
NEW	Request for continued examination (RCE) (2nd and subsequent)	N/A	N/A	1,700.00	850.00	425.00	N/A	N/A	1,881.00	1,696.00	2,070.00	Yes
Track I Fees												
1817/2817/3817	Request for prioritized examination	4,800.00	2,400.00	4,000.00	2,000.00	1,000.00	-800.00	-17%	(c)			Yes

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
Pre-Grant Publication Fees												
1504	Publication fee for early, voluntary, or normal publication - Through 12/31/2013	300.00	N/A	300.00	N/A	N/A	0.00	0%	243.00	158.00	181.00	
1504	Publication fee for early, voluntary, or normal publication - Effective 1/1/2014	300.00	N/A	0.00	N/A	N/A	-300.00	-100%	243.00	158.00	181.00	Yes
1505	Publication fee for republication	300.00	N/A	300.00	N/A	N/A	0.00	0%	80.00	122.00	146.00	
Issue Fees												
1501/2501/3501	Utility issue fee - Through 12/31/2013	1,770.00	885.00	1,780.00	890.00	445.00	10.00	1%	224.00	231.00	257.00	
1501/2501/3501	Utility issue fee - Effective 1/1/2014	1,770.00	885.00	960.00	480.00	240.00	-810.00	-46%	224.00	231.00	257.00	Yes
1502/2502/3502	Design issue fee - Through 12/31/2013	1,010.00	505.00	1,020.00	510.00	255.00	10.00	1%	224.00	231.00	257.00	
1502/2502/3502	Design issue fee - Effective 1/1/2014	1,010.00	505.00	560.00	280.00	140.00	-450.00	-45%	224.00	231.00	257.00	Yes
1503/2503/3503	Plant issue fee - Through 12/31/2013	1,390.00	695.00	1,400.00	700.00	350.00	10.00	1%	224.00	231.00	257.00	
1503/2503/3503	Plant issue fee - Effective 1/1/2014	1,390.00	695.00	760.00	380.00	190.00	-630.00	-45%	224.00	231.00	257.00	Yes
1511/2511/3511	Reissue issue fee - Through 12/31/2013	1,770.00	885.00	1,780.00	890.00	445.00	10.00	1%	224.00	231.00	257.00	
1511/2511/3511	Reissue issue fee - Effective 1/1/2014	1,770.00	885.00	960.00	480.00	240.00	-810.00	-46%	224.00	231.00	257.00	Yes
Patent Maintenance Fees												
1551/2551/3551	Due at 3.5 years	1,150.00	575.00	1,600.00	800.00	400.00	450.00	39%	2.00	1.00	(d)	Yes
1552/2552/3552	Due at 7.5 years	2,900.00	1,450.00	3,600.00	1,800.00	900.00	700.00	24%	2.00	1.00	(d)	Yes
1553/2553/3553	Due at 11.5 years	4,810.00	2,405.00	7,400.00	3,700.00	1,850.00	2,590.00	54%	2.00	1.00	(d)	Yes
1554/2554/3554	Surcharge - 3.5 year - Late payment within 6 months	150.00	75.00	160.00	80.00	40.00	10.00	7%	(d)			
1555/2555/3555	Surcharge - 7.5 year - Late payment within 6 months	150.00	75.00	160.00	80.00	40.00	10.00	7%	(d)			
1556/2556/3556	Surcharge - 11.5 year - Late payment within 6 months	150.00	75.00	160.00	80.00	40.00	10.00	7%	(d)			
1557/2557/3557	Surcharge after expiration - Late payment is unavoidable	700.00	N/A	700.00	350.00	175.00	0.00	0%	110.00	68.00	95.00	
1558/2558/3558	Surcharge after expiration - Late payment is unintentional	1,640.00	N/A	1,640.00	820.00	410.00	0.00	0%	110.00	68.00	95.00	
Patent Appeals/Interference Fees												
1405	Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3	400.00	N/A	400.00	N/A	N/A	0.00	0%	110.00	68.00	312.00	
1401/2401/3401	Notice of appeal	630.00	315.00	800.00	400.00	200.00	170.00	27%				Yes
1402/2402/3402	Filing a brief in support of an appeal	630.00	315.00	0.00	0.00	0.00	-630.00	-100%	5,008.00	4,960.00	4,799.00	Yes
NEW	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board	N/A	N/A	2,000.00	1,000.00	500.00	2,000.00	100%				Yes
NEW	Filing a brief in support of an appeal in an inter partes reexamination proceeding	N/A	N/A	2,000.00	1,000.00	500.00	2,000.00	100%	(c)			Yes
1403/2403/3403	Request for oral hearing	1,260.00	630.00	1,300.00	650.00	325.00	40.00	3%	203.00	361.00	358.00	
1406	Petition for Inter Partes Review up to 20 claims	27,200.00	N/A	N/A	N/A	N/A	N/A	N/A	(c)			
NEW	Inter Partes Review Request Fee	N/A	N/A	9,000.00	N/A	N/A	N/A	N/A	(c)			
NEW	Inter Partes Review Post-Institution Fee	N/A	N/A	14,000.00	N/A	N/A	N/A	N/A	(c)			
	<i>Subtotal, Inter Partes Review</i>	<i>27,200.00</i>	<i>N/A</i>	<i>23,000.00</i>	<i>N/A</i>	<i>N/A</i>	<i>-4,200.00</i>	<i>-15%</i>	(c)			Yes
1407	Petition for Inter Partes Review, for each claim in excess of 20	600.00	N/A	N/A	N/A	N/A	N/A	N/A	(c)			
NEW	Inter Partes Review Request of Each Claim in excess of 20	N/A	N/A	200.00	N/A	N/A	N/A	N/A	(c)			
NEW	Inter Partes Post-Institution Request of Each Claim in excess of 15	N/A	N/A	400.00	N/A	N/A	N/A	N/A	(c)			
	<i>Subtotal, Inter Partes Review, per claim fee</i>	<i>600.00</i>	<i>N/A</i>	<i>600.00</i>	<i>N/A</i>	<i>N/A</i>	<i>0.00</i>	<i>0%</i>	(c)			

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
1408	Post Grant of Covered Business Method Patent Review up to 20 claims	35,800.00	N/A	N/A	N/A	N/A	N/A	N/A		(c)		Yes
NEW	Post Grant of Covered Business Method Patent Review Request	N/A	N/A	12,000.00	N/A	N/A	N/A	N/A		(c)		
NEW	Post Grant of Covered Business Method Patent Review Post Institution	N/A	N/A	18,000.00	N/A	N/A	N/A	N/A		(c)		
	<i>Subtotal, Post Grant of Covered Business Method Patent Review</i>	<i>35,800.00</i>	<i>N/A</i>	<i>30,000.00</i>	<i>N/A</i>	<i>N/A</i>	<i>-5,800.00</i>	<i>-16%</i>		(c)		
1409	Post Grant of Covered Business Method Patent Review, for each claim in excess of 20	800.00	N/A	N/A	N/A	N/A	N/A	N/A		(c)		
NEW	Post Grant of Covered Business Method Patent Review Request of Each Claim in excess of 20	N/A	N/A	250.00	N/A	N/A	N/A	N/A		(c)		
NEW	Post Grant of Covered Business Method Patent Review Post-Institution Request of Each Claim in excess of 15	N/A	N/A	550.00	N/A	N/A	N/A	N/A		(c)		
	<i>Subtotal, Post Grant of Covered Business Method Patent Review, per claim fee</i>	<i>800.00</i>	<i>N/A</i>	<i>800.00</i>	<i>N/A</i>	<i>N/A</i>	<i>0.00</i>	<i>0%</i>		(c)		
XXXX	Derivation Petition	400.00	N/A	400.00	N/A	N/A	0.00	0%		(c)		
1411	Request to make settlement agreement available	400.00	N/A	400.00	N/A	N/A	400.00	100%		(c)	Yes	
Re-exam Ex Partes Fees												
1812	Request for ex parte reexamination	17,750.00	N/A	12,000.00	6,000.00	3,000.00	-5,750.00	-32%	17,162.00	16,648.00	19,626.00	Yes
1812	Refused request for ex parte reexamination	4,320.00	N/A	3,600.00	1,800.00	900.00	-720.00	-17%		(c)		Yes
Excess Claims Fees												
1201/2201/3201	Independent claims in excess of three	250.00	125.00	420.00	210.00	105.00	170.00	68%		(b)		Yes
1202/2202/3202	Claims in excess of 20	62.00	31.00	80.00	40.00	20.00	18.00	29%		(b)		Yes
1203/2203/3203	Multiple dependent claim	460.00	230.00	780.00	390.00	195.00	320.00	70%		(b)		Yes
1204/2204/3204	Reissue independent claims in excess of three	250.00	125.00	420.00	210.00	105.00	170.00	68%		(b)		Yes
1205/2205/3205	Reissue claims in excess of 20	62.00	31.00	80.00	40.00	20.00	18.00	29%		(b)		Yes
1614/2614/3614	[PCT National Stage] Claims - extra independent (over three)	250.00	125.00	420.00	210.00	105.00	170.00	68%		(b)		Yes
1615/2615/3615	[PCT National Stage] Claims - extra total (over 20)	62.00	31.00	80.00	40.00	20.00	18.00	29%		(b)		Yes
1616/2616/3616	[PCT National Stage] Claims - multiple dependent	460.00	230.00	780.00	390.00	195.00	320.00	70%		(b)		Yes
Patent Extension of Time Fees												
1251/2251/3251	Extension for response within first month	150.00	75.00	200.00	100.00	50.00	50.00	33%		(d)		Yes
1252/2252/3252	Extension for response within second month	570.00	285.00	600.00	300.00	150.00	30.00	5%		(d)		Yes
1253/2253/3253	Extension for response within third month	1,290.00	645.00	1,400.00	700.00	350.00	110.00	9%		(d)		Yes
1254/2254/3254	Extension for response within fourth month	2,010.00	1,005.00	2,200.00	1,100.00	550.00	190.00	9%		(d)		Yes
1255/2255/3255	Extension for response within fifth month	2,730.00	1,365.00	3,000.00	1,500.00	750.00	270.00	10%		(d)		Yes
Application Size Fees												
1081/2081/3081	Utility Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
1082/2082/3082	Design Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
1083/2083/3083	Plant Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
1084/2084/3084	Reissue Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
1085/2085/3085	Provisional Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
1681/2681/3681	National Stage Application Size Fee - for each additional 50 sheets that exceeds 100 sheets	320.00	160.00	400.00	200.00	100.00	80.00	25%		(b)		Yes
Revival Fees												

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
1452/2452/3452	Petition to revive unavoidably abandoned application	630.00	315.00	640.00	320.00	160.00	10.00	2%	110.00	68.00	312.00	
1453/2453/3453	Petition to revive unintentionally abandoned application	1,890.00	945.00	1,900.00	950.00	475.00	10.00	1%	110.00	68.00	312.00	
Miscellaneous Patent Fees												
1808	Other publication processing fee	130.00	N/A	130.00	N/A	N/A	0.00	0%	39.00	37.00	33.00	
1803	Request for voluntary publication or republication	130.00	N/A	130.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
1802	Request for expedited examination of a design application	900.00	N/A	900.00	450.00	225.00	0.00	0%	110.00	68.00	95.00	
1806/2806/3806	Submission of an Information Disclosure Statement	180.00	N/A	180.00	90.00	45.00	0.00	0%	(e)			
1807	Processing fee for provisional applications	50.00	N/A	50.00	N/A	N/A	0.00	0%	39.00	37.00	33.00	
1809/2809/3809	Filing a submission after final rejection (see 37 CFR 1.129(a))	810.00	405.00	840.00	420.00	210.00	30.00	4%	1,553.00	1,329.00	1,533.00	
1810/2810/3810	For each additional invention to be examined (see 37 CFR 1.129(b))	810.00	405.00	840.00	420.00	210.00	30.00	4%	1,553.00	1,329.00	1,533.00	
1824	Reexamination Petition	1,930.00	N/A	1,940.00	970.00	485.00	10.00	1%	(c)			
1826	Supplemental Examination Request	5,140.00	N/A	4,400.00	2,200.00	1,100.00	-740.00	-14%	(c)			Yes
1827	Supplemental Examination Reexamination	16,120.00	N/A	12,100.00	6,050.00	3,025.00	-4,020.00	-25%	(c)			Yes
1828	Supplemental Examination document size fees; 21-50	170.00	N/A	180.00	90.00	45.00	10.00	6%	(c)			
1829	Supplemental examination document size fees; each additional 50	280.00	N/A	280.00	140.00	70.00	0.00	0%	(c)			
Post Issuance Fees												
1811	Certificate of correction	100.00	N/A	100.00	N/A	N/A	0.00	0%	61.00	68.00	74.00	
1816	Processing fee for correcting inventorship in a patent	130.00	N/A	130.00	N/A	N/A	0.00	0%	(e)			
1821/2821/3821	Reexamination independent claims in excess of three and also in excess of the number of such claims in the patent under reexamination	250.00	125.00	420.00	210.00	105.00	170.00	68%	(b)			Yes
1822/2822/3822	Reexamination claims in excess of 20 and also in excess of the number of claims in the patent under reexamination	62.00	31.00	80.00	40.00	20.00	18.00	29%	(b)			Yes
1814/2814	Statutory disclaimer, including terminal disclaimer	160.00	80.00	160.00	N/A	N/A	0.00	0%	(d)			
Patent Petition Fees												
1462/2462/3462	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	400.00	N/A	400.00	200.00	100.00	0.00	0%	110.00	68.00	312.00	
1463/2463/3463	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	200.00	N/A	200.00	100.00	50.00	0.00	0%	110.00	68.00	312.00	
1464/2464/3464	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	130.00	N/A	140.00	70.00	35.00	10.00	8%	110.00	68.00	95.00	
1454/2454/3454	Acceptance of an unintentionally delayed claim for priority, or for filling a request for the restoration of the right of priority	1,410.00	N/A	1,420.00	710.00	355.00	10.00	1%	110.00	68.00	312.00	
1455	Filing an application for patent term adjustment	200.00	N/A	200.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
1456	Request for reinstatement of term reduced	400.00	N/A	400.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
1457	Extension of term of patent	1,120.00	N/A	1,120.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
1458	Initial application for interim extension (see 37 CFR 1.790)	420.00	N/A	420.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
1459	Subsequent application for interim extension (see 37 CFR 1.790)	220.00	N/A	220.00	N/A	N/A	0.00	0%	110.00	68.00	95.00	
PCT Fees - National Stage												
1617/2617/3617	Search fee, examination fee or oath or declaration after thirty months from priority date	130.00	65.00	140.00	70.00	35.00	10.00	8%	(d)			

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
1618/2618/3618	English translation after thirty months from priority date	130.00	N/A	140.00	70.00	35.00	10.00	8%	(d)			
PCT Fees - International Stage												
1601/2601/3601	Transmittal fee - Through 12/31/2013	240.00	N/A	240.00	N/A	N/A	0.00	0%	913.00	424.00	354.00	
1601/2601/3601	Transmittal fee - Effective 1/1/2014	240.00	N/A	240.00	120.00	60.00	0.00	0%	913.00	424.00	354.00	
1602/2602/3602	Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) - Through 12/31/2013	2,080.00	N/A	2,080.00	N/A	N/A	0.00	0%	1,885.00	1,889.00	2,012.00	
1602/2602/3602	Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) - Effective 1/1/2014	2,080.00	N/A	2,080.00	1,040.00	520.00	0.00	0%	1,885.00	1,889.00	2,012.00	
1604/2604/3604	Supplemental search fee when required, per additional invention - Through 12/31/2013	2,080.00	N/A	2,080.00	N/A	N/A	0.00	0%	1,885.00	1,889.00	2,012.00	
1604/2604/3604	Supplemental search fee when required, per additional invention - Effective 1/1/2014	2,080.00	N/A	2,080.00	1,040.00	520.00	0.00	0%	1,885.00	1,889.00	2,012.00	
1605/2605/3605	Preliminary examination fee - U.S. was the ISA - Through 12/31/2013	600.00	N/A	600.00	N/A	N/A	0.00	0%	875.00	710.00	853.00	
1605/2605/3605	Preliminary examination fee - U.S. was the ISA - Effective 1/1/2014	600.00	N/A	600.00	300.00	150.00	0.00	0%	875.00	710.00	853.00	
1606/2606/3606	Preliminary examination fee - U.S. was not the ISA - Through 12/31/2013	750.00	N/A	760.00	N/A	N/A	10.00	1%	875.00	710.00	853.00	
1606/2606/3606	Preliminary examination fee - U.S. was not the ISA - Effective 1/1/2014	750.00	N/A	760.00	380.00	190.00	10.00	1%	875.00	710.00	853.00	
1607/2607/3607	Supplemental examination fee per additional invention - Through 12/31/2013	600.00	N/A	600.00	N/A	N/A	0.00	0%	875.00	710.00	853.00	
1607/2607/3607	Supplemental examination fee per additional invention - Effective 1/1/2014	600.00	N/A	600.00	300.00	150.00	0.00	0%	875.00	710.00	853.00	
1619	Late payment fee	VARIABLE	N/A	VARIABLE	VARIABLE	VARIABLE	N/A	N/A	(d)			
1621	Transmitting application to Intl. Bureau to act as receiving office - Through 12/31/2013	240.00	N/A	240.00	N/A	N/A	0.00	0%	7.00	9.00	9.00	
1621	Transmitting application to Intl. Bureau to act as receiving office - Effective 1/1/2014	240.00	N/A	240.00	120.00	60.00	0.00	0%	7.00	9.00	9.00	
Patent Service Fees												
8001	Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means	3.00	N/A	3.00	N/A	N/A	0.00	0%	(e)			
8003	Printed copy of plant patent in color	15.00	N/A	15.00	N/A	N/A	0.00	0%	(e)			
8004	Color copy of patent (other than plant patent) or SIR containing a color drawing	25.00	N/A	25.00	N/A	N/A	0.00	0%	(e)			
8005	Patent Application Publication (PAP)	3.00	N/A	3.00	N/A	N/A	0.00	0%	(e)			
8007	Copy of patent application as filed	20.00	N/A	20.00	N/A	N/A	0.00	0%	(e)			
8008	Copy of patent-related file wrapper and contents of 400 or fewer pages, if provided on paper	200.00	N/A	200.00	N/A	N/A	0.00	0%	(e)			
8009	Additional fee for each additional 100 pages of patent-related file wrapper and (paper) contents, or portion thereof	40.00	N/A	40.00	N/A	N/A	0.00	0%	(e)			
8010	Individual application documents, other than application as filed, per document	25.00	N/A	25.00	N/A	N/A	0.00	0%	(e)			
8011	Copy of patent-related file wrapper and contents if provided electronically or on a physical electronic medium as specified in 1.19(b)(1)(ii)	55.00	N/A	55.00	N/A	N/A	0.00	0%	(e)			

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
8012	Additional fee for each continuing physical electronic medium in single order of 1.19(b)(1)(ii)(B)	15.00	N/A	15.00	N/A	N/A	0.00	0%		(e)		
8041	Copy of patent-related file wrapper contents that were submitted and are stored on compact disk or other electronic form (e.g., compact disks stored in Artifact folder), other than as available in 1.19(b)(1); first physical electronic medium in a single order	55.00	N/A	55.00	N/A	N/A	0.00	0%		(e)		
8042	Additional fee for each continuing copy of patent-related file wrapper contents as specified in 1.19(b)(2)(i)(A)	15.00	N/A	15.00	N/A	N/A	0.00	0%		(e)		
8043	Copy of patent-related file wrapper contents that were submitted and are stored on compact disk, or other electronic form, other than as available in 1.19(b)(1); if provided electronically other than on a physical electronic medium, per order	55.00	N/A	55.00	N/A	N/A	0.00	0%		(e)		
8013	Copy of office records, except copies of applications as filed	25.00	N/A	25.00	N/A	N/A	0.00	0%		(e)		
8014	For assignment records, abstract of title and certification, per patent	25.00	N/A	25.00	N/A	N/A	0.00	0%		(e)		
8904	Library service	50.00	N/A	50.00	N/A	N/A	0.00	0%		(e)		
8015	List of U.S. patents and SIRs in subclass	3.00	N/A	3.00	N/A	N/A	0.00	0%		(e)		
8016	Uncertified statement re status of maintenance fee payments	10.00	N/A	10.00	N/A	N/A	0.00	0%		(e)		
8017	Copy of non-U.S. document	25.00	N/A	25.00	N/A	N/A	0.00	0%		(e)		
8050	Petitions for documents in form other than that provided by this part, or in form other than that generally provided by Director, to be decided in accordance with merits	AT COST	N/A	AT COST	N/A	N/A	N/A	N/A		(e)		
8020	International type search report	40.00	N/A	40.00	N/A	N/A	0.00	0%		(e)		
8902	Self-service copy charge, per page	0.25	N/A	0.25	N/A	N/A	0.00	0%		(e)		
8021	Recording each patent assignment, agreement or other paper, per property - when submitted other than electronically	40.00	N/A	40.00	N/A	N/A	0.00	0%		(e)		
NEW	Recording each patent assignment, agreement or other paper, per property - when submitted electronically - Effective 1/1/2014	40.00	N/A	0.00	N/A	N/A	-40.00	-100%		(e)	Yes	
8022	Publication in Official Gazette	25.00	N/A	25.00	N/A	N/A	0.00	0%		(e)		
8023	Labor charges for services, per hour or fraction thereof	40.00	N/A	40.00	N/A	N/A	0.00	0%		(e)		
8024	Unspecified other services, excluding labor	AT COST	N/A	AT COST	N/A	N/A	N/A	N/A		(e)		
8026	Handling fee for incomplete or improper application	130.00	N/A	130.00	N/A	N/A	0.00	0%		(e)		
8027	Handling fee for withdrawal of SIR	130.00	N/A	130.00	N/A	N/A	0.00	0%		(e)		
Patent Enrollment Fees												
9001	Application fee (non-refundable)	40.00	N/A	40.00	N/A	N/A	0.00	0%		(e)		
9003	Registration to practice or grant of limited recognition under §11.9(b) or (c)	100.00	N/A	100.00	N/A	N/A	0.00	0%		(e)		
9004	Reinstatement to practice	100.00	N/A	100.00	N/A	N/A	0.00	0%		(e)		
9005	Certificate of good standing as an attorney or agent	10.00	N/A	10.00	N/A	N/A	0.00	0%		(e)		
9006	Certificate of good standing as an attorney or agent, suitable for framing	20.00	N/A	20.00	N/A	N/A	0.00	0%		(e)		
9010	For test administration by commercial entity	200.00	N/A	200.00	N/A	N/A	0.00	0%		(e)		
9011	For test administration by the USPTO	450.00	N/A	450.00	N/A	N/A	0.00	0%		(e)		

USPTO Patent Fees												
USPTO Large/Small Fee Codes	Description	Current Fees		Final Fee Schedule			Change in Large Entity Fees		FY 2009 Unit Cost	FY 2010 Unit Cost	FY 2011 Unit Cost	(a) Change in Large Entity Fees is >5% AND >\$10
		Large	Small	Large	Small	Micro	Dollar Change	Percent Change				
9012	Review of decision by the Director of Enrollment and Discipline under §11.2(c)	130.00	N/A	130.00	N/A	N/A	0.00	0%		(e)		
9013	Review of decision of the Director of Enrollment and Discipline under §11.2(d)	130.00	N/A	130.00	N/A	N/A	0.00	0%		(e)		
9014	Application fee for person disciplined, convicted of a felony or certain misdemeanors under §11.7(h)	1,600.00	N/A	1,600.00	N/A	N/A	0.00	0%		(e)		
9015	Annual fee for registered attorney or agent in active status	118.00	N/A	120.00	N/A	N/A	2.00	2%		(e)		
9016	Annual fee for registered attorney or agent in voluntary inactive status	25.00	N/A	25.00	N/A	N/A	0.00	0%		(e)		
9017	Requesting restoration to active status from voluntary inactive status	50.00	N/A	50.00	N/A	N/A	0.00	0%		(e)		
9018	Balance of annual fee due upon restoration to active status from voluntary inactive status	93.00	N/A	100.00	N/A	N/A	7.00	8%		(e)		
9019	Annual fee for individual granted limited recognition	118.00	N/A	120.00	N/A	N/A	2.00	2%		(e)		
9020	Delinquency fee for annual fee	50.00	N/A	50.00	N/A	N/A	0.00	0%		(e)		
9024	Unspecified other services, excluding labor	AT COST	N/A	AT COST	N/A	N/A	N/A	N/A		(e)		
9025	Registration to practice for change of practitioner type	100.00	N/A	100.00	N/A	N/A	0.00	0%		(e)		

(a) - Discussed in detail in the "Individual Fee Rationale" section of the Supplementary Information in the Final Rule.
 (b) - Included within the average unit cost of search and exam. See "Individual Fee Rationale" section of the Supplementary Information of the Final Rule for additional information.
 (c) - Cost was not derived purely from historical ABI cost, but from like historical data used as a foundation to calculate the prospective cost of a new program or activity.
 (d) - Unit cost was not calculated for these fees because there are no significant, specific activities supporting them, other than collecting and depositing the fee.
 (e) - Recent unit costs were not calculated for these fees and the Office is therefore, not proposing changes to these fees until we are able to evaluate them against their current respective cost (with two exceptions: three enrollment fees are adjusted to correct rounding errors; and the Office is proposing a \$0 fee for assignments submitted electronically).
 (f) - See the Costing Methodology Appendix for calculation descriptions.