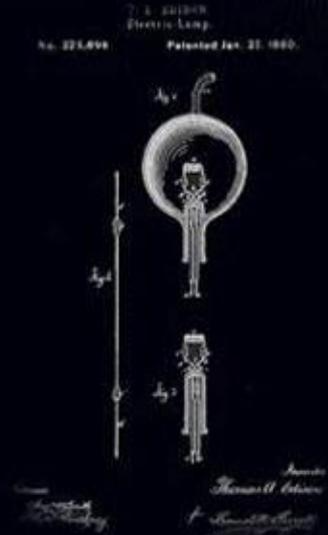




UNITED STATES PATENT AND TRADEMARK OFFICE

The Office of Innovation Development
Providing Outreach to America's Inventors,
Innovators, Entrepreneurs and Makers



Patent Public Advisory Committee

August 14, 2014

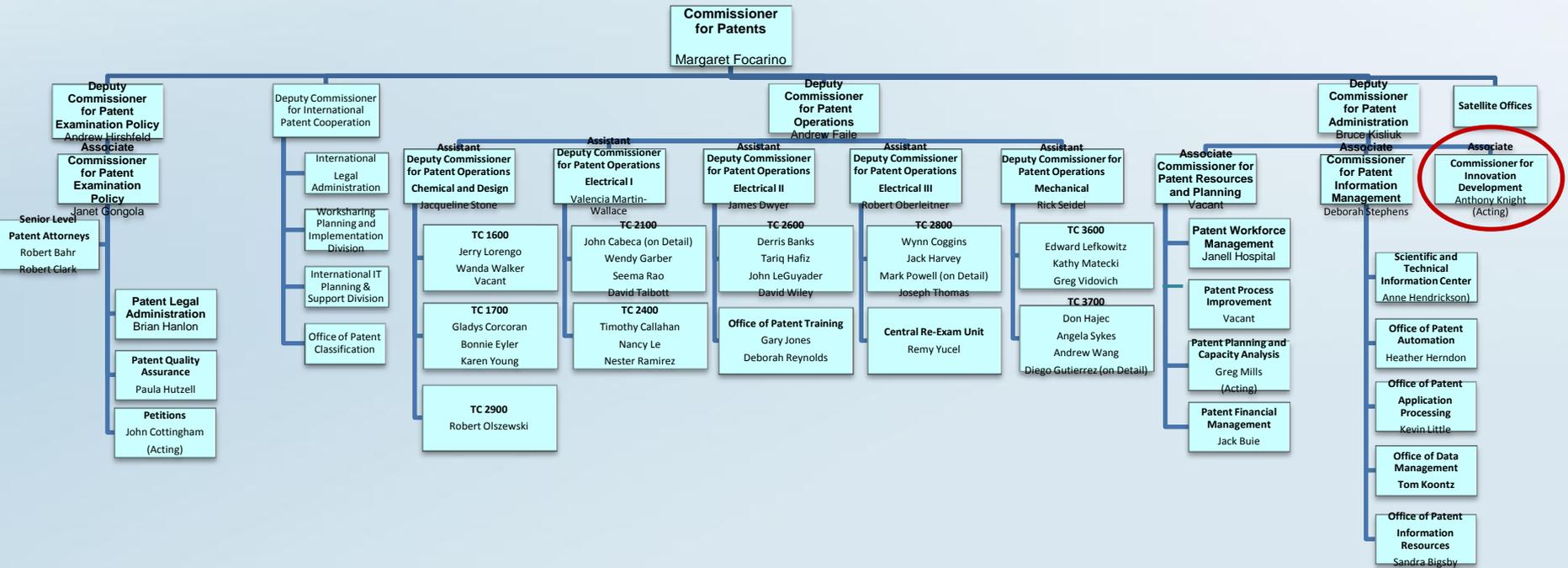
Meet the Team . . .



Members of the Office of Innovation Development (from left to right) David Jones, Cathie Kirik, John Calvert, Sue Purvis, Anthony Knight, Messina Smith, Dennis Forbes, Alexander Camarota, Elizabeth Dougherty, Bruce Mihalick, and Michael Razavi.

Patents Organization Chart

February 2014



Physically Located – Madison West, 1st Floor



Madison West, 1st Floor – Come Visit!



Basic Facts - OIIP

- Formed in 2011
- Objective: ensuring independent inventors, small businesses/ entrepreneurs, university inventors, and minority/under served populations better understand, secure, and utilize IP, with a focus on patents
- Expansion of previous Office of Independent Inventor Programs

Broad Range of Long Term and Ad Hoc Engagements and Programs – Unique Stakeholders

Independent
Inventors

Pro Se Inventors

Small
Businesses

Entrepreneurs

Minority/Under
Served
Communities

University
Outreach

Other

Innovators

Independent
Inventors
Pro Se Inventors

- Inventor Conferences and Satellite Office Saturday Seminars
- Support for Inventor Organizations
- Participation in Inventor attended events
- Inventors Resource Page on USPTO Website
- Inventors Eye Bi-Monthly publication

Inventors on the USPTO Web Site

<http://www.uspto.gov/inventors/index.jsp>

uspto.gov search for patents | search for trademarks
 Search our site

The United States Patent and Trademark Office
 an agency of the Department of Commerce

PATENTS | TRADEMARKS | IP LAW & POLICY | PRODUCTS & SERVICES | INVENTORS | NEWS & NOTICES | FAQs | ABOUT US

Home Page » INVENTORS

Patents for Inventors
 Trademarks for Inventors
 Inventors Assistance
 Education and Information
 Scam Prevention
 Pro Se and Pro Bono
 Current Events
 State Resources
 Inventors Eye Newsletter

BusinessUSA

Inventors Resources

The Office of Innovation Development

The Office of Innovation Development oversees the USPTO's efforts to assist independent inventors, small business concerns and university-affiliated inventors. The office also works closely with other officials and agencies throughout the government in support of the administration's efforts to promote small business, entrepreneurship, and job creation. The Office of Innovation Development designs and implements outreach programs intended to reach a wide range of groups, including independent inventors, women, small business concerns, minorities, and other underserved communities.

Patents for Inventors
 Tools, FAQs, Downloads

Trademarks for Inventors
 Tools, FAQs

Inventors Assistance
 Local Assistance, Customer Service

Education and Information
 Online Chat Transcripts, Inventor News

Scam Prevention
 Published Complaints

Pro Se - Pro Bono

Current Events
 Conferences, Conventions

State Resources
 State Contact Info

Inventors Eye
 Bi-monthly newsletter

Is the inventor site for me?

Complaints

FAQs

Contact Us

Accessibility
 Privacy Policy
 Terms of Use
 Security
 International Privacy Policy
 Information Quality Guidelines

External Linking Directory
 Information and Federal Agency Information and Services
 Budget & Performance
 Freedom of Information Act (FOIA)

Department of Commerce
 Economic and Business Development
 Regulations.gov
 ETOE (EAS).gov
 Department of Commerce
 ICB.gov

Strategic Topics Covered
 Trade Policy
 Energy
 Site Index
 Contact Us
 USPTO Webmaster

AIA Videos

uspto.gov

[search for patents](#) | [search for trademarks](#)

The United States Patent and Trademark Office
an agency of the Department of Commerce

[PATENTS](#) | [TRADEMARKS](#) | [IP LAW & POLICY](#) | [PRODUCTS & SERVICES](#) | [INVENTORS](#) | [NEWS & NOTICES](#) | [FAQs](#) | [ABOUT US](#)

[Home Page](#) » [America Invents Act](#) » [Implementation Information](#)

Implementation Information

- Patent Examination
- Inter Partes Disputes
- Fees and Budgetary Issues
- AIA Studies and Reports
- Programs
- Implementation Status

AIA Resources

AIA Informational Videos

AIA Press Releases and Speeches

AIA Frequently Asked Questions

AIA Comments

AIA Blog

AIA Roadshow

Global Impact of AIA

AIA Statistics

Leahy-Smith America Invents Act Implementation



MESSAGE FROM JANEY GONGOLA, PATENT REFORM COORDINATOR: [USPTO Releases First-Inventor-to-File Training Materials, Including Videos](#)

As you all know, the America Invents Act became law eighteen months ago on September 16, 2011, to modernize the U.S. patent system. One of the problems in the AIA converted the United States to a first-inventor-to-file system from a first-to-invent system. The first-inventor-to-file provisions became effective on March 16, 2013—the eighteen month anniversary of the AIA.

The USPTO has implemented the first-inventor-to-file provisions through a series of rules as well as a guidance document. We presently are conducting first-inventor-to-file training for our examiners, and I'd like to share a bit about that training with you.

During the months of March and April 2013, we are educating our examiners on the new statutory framework for prior art found in AIA 25 U.S.C. 102 as well as explaining to them how to identify whether an application is subject to examination under the first-inventor-to-file provisions of the AIA or the first-to-invent provisions of pre-AIA law. We have created two videos and a one-hour live lecture to share the information.

This summer, we will delve deeper into the first-inventor-to-file provisions with more comprehensive training conducted with smaller groups of examiners. In particular, we will detail our first-inventor-to-file training rules along with numerous practical applications, among other topics. And between March and the summer, we will offer "lunch-time" training for those examiners who have applications on their desks to be examined under the first-inventor-to-file provisions. In case you are interested, we are posting all of our examiner training materials on the AIA micro-site on the "Patents Examination" page under the ["First-Inventor-to-File" category](#).

The agency has selected this staged training process for distinct reasons. First, most examiners will not have an application to examine under the first-inventor-to-file provisions for several months. Second, we aim to educate the first-inventor-to-file subject matter for examiners by using an iterative approach. That way, examiners will have multiple opportunities to master the subject matter and obtain answers to their questions.

In addition to training our examiners on the first-inventor-to-file provisions, we want to help all of you understand the new prior art framework. To do so, we have prepared a series of four videos. Each video explains a particular aspect of the new prior art regime. More specifically, the first two videos address what constitutes prior art under AIA 102(a)(1) and the second two videos cover exceptions that remove prior art from being available to apply against a claimed invention. Each video is less than 5 minutes and distills the new prior art framework into its basic elements for easy understanding.

- [Prior Art Under AIA 35 U.S.C. 102\(a\)\(1\)](#)
- [Exceptions to Prior Art Under AIA 35 U.S.C. 102\(a\)\(1\)](#)
- [Prior Art Under AIA 35 U.S.C. 102\(a\)\(2\)](#)
- [Exceptions to Prior Art Under AIA 35 U.S.C. 102\(a\)\(2\)](#)

Lastly, remember that the agency has a special AIA Help Center to answer your first-inventor-to-file questions. You can either call 1-625-423-4241 (1-625-423-7242) or email the help center at 625aia@uspto.gov.

Search AIA web content:



- [Accessibility](#)
- [Contact Us](#)
- [Privacy Policy](#)
- [Terms of Use](#)
- [Security](#)
- [Empowering America's Invention](#)
- [Information Quality Guidelines](#)

- [Federal Activities Inventory Reform \(FAIR\) Act](#)
- [Verification and Federal Acquisition Regulation and FAR](#)
- [Patent, Trademark, and Copyright](#)
- [Budget & Performance](#)
- [Freedom of Information Act \(FOIA\)](#)

- [Department of Commerce USPTO Site Search](#)
- [Subscriptions](#)
- [PTO/USPTO.gov](#)
- [Department of Commerce](#)
- [HHS.gov](#)

- [Privacy Training Required \(2013-2015\)](#)
- [FOIA/PA](#)
- [Site Today](#)
- [Contact Us](#)
- [USPTO Information](#)

AIA Videos on YouTube; more Videos to come!

This page is provided for informational purposes only. It is not intended to be construed as providing legal advice or legal opinions. Last Modified: 3/20/2013 9:08:51 AM

Inventors Eye Bi-monthly Publication

<http://www.uspto.gov/inventors/independent/index.jsp>



The Dreams Ideas Are Made Of

Ideas. They pop in and out of our minds a thousand times a day, and sometimes, if we're lucky, one of them is good enough to launch an invention, a story, or a life-long pursuit.

[Read More ▼](#)



Invention Ambassadors (from left to right) Vinod Veedu, Karen Burg, Paul Sanberg, Paul Stamets, Sorin Grama.

In this issue

- [The Dreams Ideas Are Made of](#)
- [Meet the Inaugural Class of Invention Ambassadors](#)
- [Patents Pick-5
Patent Cures for the Summertime Blues](#)
- [Spark of Genius
Growing the Distance](#)
- [advice
Patent Owners – Beware of Maintenance Fee Scam](#)
- [events and announcements
August and September](#)
- [network
Organizations](#)

past issues

- [April 2014](#)
- [February 2014](#)
- [December 2013](#)
- [November 2013](#)
- [August 2013](#)
- [June 2013](#)
- [April 2013](#)
- [February 2013](#)
- [December 2012](#)
- [November 2012](#)
- [August 2012](#)
- [June 2012](#)
- [April 2012](#)
- [February 2012](#)
- [December 2011](#)
- [October 2011](#)
- [August 2011](#)
- [June 2011](#)
- [March 2011](#)
- [January 2011](#)

Pro Se Pilot Program

Assistance and Examination Shops

- In response to White House Executive Action
- Target Launch Date, October 1, 2014
- Art Unit of approximately 15 examiners dedicated to examining predominantly pro se applications
- Estimated will handle 500 applications during year long pilot
 - Applications to be selected from oldest undocketed inventory of pro se applications
- Complimentary Pro se assistance shop to be located in OI

Assisting the Engine of the Economy

Small
Businesses
Entrepreneurs

- Annual Women's Entrepreneurship Symposium
- IP Assessment Tool
- SBIR/STTR Participation
- Support to Cornell Tech Partnership
- Support to Business Incubators

IP Awareness Assessment Tool

ipAwarenessAssessment

Beta II




A business and inventors IP evaluation tool

IP Awareness Assessment

The IP Awareness Assessment, developed under the joint efforts of United States Patent and Trademark Office (USPTO) and National Institute of Standards and Technology/Manufacturing Extension Partnership (NIST/MEP), allows you to assess your intellectual property awareness. Following the completion of the assessment, you will receive a customized training material. [Learn more](#)

Welcome to the Intellectual Property Awareness Assessment Tool. The IP Assessment includes the below five general categories, that are included in all assessments.

- IP Strategies & Best Practices
- International IP Rights
- IP Asset Tracking
- Licensing Technology to Others
- Using Technology of Others

There are five additional categories that all can take or, which may be customized through a Pre-assessment. These five categories include:

- Copyrights
- Design Patents
- Trademarks
- Trade Secrets
- Utility Patents

Not all businesses have all categories of IP Assets so they have an opportunity to opt out of certain categories by using the customizer or Pre-assessment or may opt to take the full assessment of ten categories containing 62 questions.

The full assessment requires about 20-30 minutes to complete. The customizer or Pre-assessment can reduce the required time by 10-15 minutes.

Feedback

Your **feedback** and comments are crucial for improving the IP Awareness Assessment.

Inventor Assistance Program

Important Notice

Please note that any information provided here does not constitute legal advice, but is intended to increase your IP awareness. When filing an application for obtaining specific IP rights, it is recommended that you obtain professional legal assistance. The IP Awareness Assessment contains links to external websites. USPTO does not maintain those external sites and is not responsible for the material found therein.

»» enter the IP Awareness Assessment

Before starting the assessment, please note:

- Save the link for this page as a favorite/bookmark on your browser.
- In the "Internet Options" of your browser, deselect/uncheck history on exit. This will allow you to return and resume your assessment session in case you cannot finish it in one sitting. This will also allow you to access your training materials and assessment results at your convenience.
- As you are answering the assessment questions, choose the answer that best applies to your business or circumstances as an independent inventor or individual. Where applicable, choose all the responses that apply to your situation.
- Responses or data collected in the assessment are not stored or used by the USPTO or NIST MEP.

Diverse Audiences

Minority/Under Served Communities

- Collaboration with DOC-MBDA
- White House Council on Women and Girls Working Group
- Library program
- Former collaboration with NAIPEC, continued efforts with TCUs
- Collaborations with HBCUs

MBDA on-going Webinar Series

uspto.GOV

The United States Patent and Trademark Office
an agency of the Department of Commerce

[search for patents](#) | [search for trademarks](#)

Search our site



[PATENTS](#) | [TRADEMARKS](#) | [IP LAW & POLICY](#) | [PRODUCTS & SERVICES](#) | [INVENTORS](#) | [NEWS & NOTICES](#) | [FAQs](#) | [KIDS](#) | [ABOUT US](#)

MBDA and USPTO Host Webinar Series for Business Owners

The Minority Business Development Agency (MBDA) and the USPTO will conduct a free three-part webinar series August 12-14 to help business owners understand the intellectual property process.



The Next Generation

University Outreach

- Conducted in cooperation with Patents and HR hiring efforts
 - Provide IP education to Freshman, Junior, and Senior level engineering/science classes. Some law school, business, and entrepreneurship classes.
- Meet with technology transfer offices, faculty, and student organizations

University Related Outreach

- Develop collaborations with National Academy of Inventors, NCIIA, and AUTM
- Participate Collegiate Inventors Competition
- Participate in National Conferences for Diverse Engineering/Science Societies, e.g. SHPE

General Public Engagement for IP Education



Other

- White House Executive Actions
- Smithsonian Institution Collaboration
- Maker Faires
- World IP Day
- Boy Scouts of America
- Celebration of Patent Milestones
- USPTO Tours and Visitor Educational Programs

White House Executive Actions

uspto.GOV
The United States Patent and Trademark Office
an agency of the Department of Commerce

search for patents | search for trademarks
Search our site >

PATENTS | TRADEMARKS | IP LAW & POLICY | PRODUCTS & SERVICES | INVENTORS | NEWS & NOTICES | FAQs | KIDS | ABOUT US

Home Page » PATENTS » Initiatives & Events

- Patent Search
- Patent Process
- Patent Classification
- Patent Forms
- Litigation
- Statistics
- Electronic Business Center
- Patent Laws, Regulations, Policies & Procedures
- Resources and Guidance
- Office of Data Management
- Announcements
- Initiatives & Events**
 - Biotechnology / Chemical / Pharmaceutical Conferences
 - Patent Cooperation Treaty
 - Patent Prosecution Highway
 - Patents for Humanity
- International Cooperation
- Employee Locator

USPTO-led Executive Actions on High Tech Patent Issues

On June 4, 2013, President Obama **announced** five executive actions "to help bring about greater transparency to the patent system and level the playing field for innovators." Four of these actions were undertaken by the USPTO. On February 20, 2014, the President **announced** three new initiatives aimed at encouraging innovation and strengthening the "quality and accessibility of the patent system." Below is a summary of the initiatives that the USPTO has implemented to realize the President's vision.

- [Announcements](#)
- [Executive Action 1: Attributable Patent Ownership \(formerly "Real Party in Interest"\)](#)
- [Executive Action 2: Clarity in Patent Claims \(formerly "Tightening Functional Claiming"\)](#)
- [Executive Action 3: Empowering Downstream Users](#)
- [Executive Action 4: Expanded Outreach and Focused Study](#)
- [Executive Action 5: Crowdsourcing Prior Art](#)
- [Executive Action 6: More Robust Technical Training and Expertise](#)
- [Executive Action 7: Patent Pro Bono and Pro Se Assistance](#)
- [Further Information](#)
- [Resources](#)
- [Contact Us](#)

Announcements

OID integrally involved developing content and implementation



Smithsonian Institution Collaboration

- Activities to occur over period of years at a variety of SI venues
- MOA between USPTO and SI
- Modification of previous MOA for collaboration at SI Arts and Industries Building

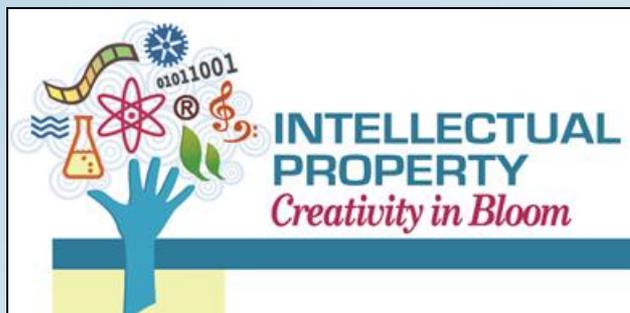
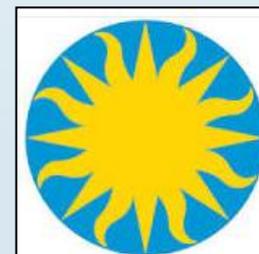
Maker Faire



How Do We Achieve?

- Through dedicated OID staff, USPTO persons on detail to OID, and collaborating with others within the USPTO and external to the USPTO.
 - Many thanks to USPTO colleagues in:
 - Patents
 - Trademarks
 - The Global Intellectual Property Academy
 - The Office of Policy and International Affairs
 - The Office of Education

Some of Our Many Collaborators



Contact Us

- Office of Innovation Development
www.uspto.gov/inventors
571.272.8877
independentinventor@uspto.gov
- Elizabeth.dougherty@uspto.gov
571.272.7733



UNITED STATES PATENT AND TRADEMARK OFFICE

Thank You

