

Patent Public Advisory Committee Quarterly Meeting

USPTO Budget Update



Tony Scardino
Chief Financial Officer
August 14, 2014



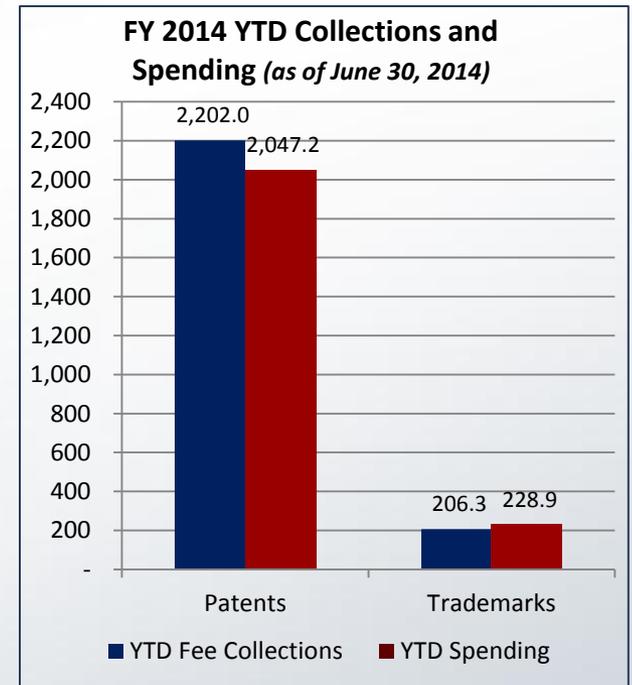
FY 2014

As of June 30, 2014

- ⇒ Full year working estimate for fees is \$3,286.2M
 - Current estimates indicate that we won't reach the full amount

- ⇒ Year to date fee collections are \$2,408.3M
 - Patents: \$2,202.0M, Trademarks: \$206.3M

- ⇒ Year to date spending is \$2,276.1M
 - Patents: \$2,047.2M, Trademarks: \$228.9M





FY 2014 *(continued)*

As of June 30, 2014

⇒ End of year projected spending is \$2,998.4M

- Patents: \$2,700.6M, Trademarks: \$297.8M

⇒ End of year projected carryover is \$749.5M

- Patents: \$606.5M, Trademarks: \$143.0M
- Includes funds to be carried over for use in FY 2015 and funds anticipated for deposit in the PTFRF
- The projected carryover is expected to decrease by year-end as Patents extends some contracts to the end of the task order period of performance and actual fee collections are realized

⇒ USPTO has hired:

- 611 of the targeted 1,000 patent examiners
- 31 of the targeted 63 PTAB judges



FY 2015 Budget

House and Senate have marked our appropriations bill at \$3,458.0M

⇒ This level is \$16.5M above the FY 2015 President's Budget request of \$3,441.5M

- 14.4% above our FY 2014 appropriated level

⇒ Fee estimates are anticipated to be less than the level reflected in FY 2015 President's Budget due to:

- Patent application filing growth rate
- Maintenance Fee payments
- Extension of time demand payments

⇒ Committee reports maintain support of:

- The Nationwide Workforce program and recognizes the value of creating additional satellite offices
- Continued patent examiner hires to help meet first and final action pendency goals of 10 and 20 months



FY 2015 Budget *(continued)*

USPTO will request access to fees deposited in the Patent and Trademark Fee Reserve Fund.

- ⇒ A reprogramming request is required to access these funds
- ⇒ Funds will be used to support critical, prioritized initiatives and operations of the agency



FY 2016 Budget

USPTO continues to work on its FY 2016 OMB Budget Submission.

- ⇒ The draft will be ready for external review in August 2014
- ⇒ Will reflect increased Information Technology requirements in response to customer and stakeholder input for system improvements
- ⇒ Final document will be completed by September 8, 2014



Questions and Comments?

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