Patent Public Advisory Committee Meeting

Introduction to the
Cooperative Patent Classification (CPC)
EPO and USPTO Bi-lateral Classification System

Bruce Kisliuk
Deputy Commissioner for Patent Administration
June 14, 2012
USPTO and EPO agree to cooperate on a joint classification system derived from IPC-based ECLA

USPTO to move from USPC to CPC; EPO to move from ECLA to CPC

CPC planned to be bilaterally operational at EPO and USPTO

USPTO and EPO Work Toward Joint Patent Classification System

"In view of the significant benefit to stakeholders of developing a transparent and harmonized approach to a global classification system for patent documents; in order to make the search process more effective; and in the belief that cooperation between their two offices will facilitate progress in undertaking classification harmonization projects under the IP5 Common Hybrid Classification initiative, the USPTO and the EPO have agreed together to work toward the formation of a partnership to explore the development of a joint classification system based on the European Classification system (ECLA) that will incorporate the best classification practices of the two offices. This system would be aligned with the World Intellectual Property Organization (WIPO) classification standards and the International Patent Classification (IPC) structure. Accordingly, they have initiated discussions on governance and operational aspects of such a partnership.

The IP5 partner offices will be continually apprised of progress at appropriate IP5 forums. Stakeholders will receive regular updates on the substance and progress of classification partnership discussions between the two offices."

October 25, 2010

David J. Kappos
Benoît Battistelli
Objectives

• Why the USPTO is pursuing this initiative
  • General features of Cooperative Patent Classification System (CPC)
  • Draft CPC timeline
Why the USPTO is pursuing this initiative

- Aligns with USPTO strategic goals
  - Implement IPC-based classification system at USPTO
    - USPTO is currently the only major IP office not using an IPC-based primary classification system
  - Accelerate classification harmonization efforts with other major IP offices
  - Promote resource sharing
- Improves global harmonization for examination and search
  - Classification is the foundation for all global harmonization efforts
- Increases document coverage for classified search
  - A single classification search yields results from the USPTO, EPO and EPO member states
Major Intellectual Property Offices and Patent Classification Systems

IPC Based Classification Systems

European Patent Office (EPO)

Japan Patent Office (JPO)

State Intellectual Property Office of China (SIPO)

Korean Intellectual Property Office (KIPO)

Non-IPC Based Classification Systems

United States Patent and Trademark Office (USPTO)
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General Features of CPC

• Ability to search a unified classification system
  – Reduces searching redundancy by providing a comprehensive patent document database
    • Merges PGPub and U.S. Patent databases
    • Patent documents classified by patent family
  – Permits search of foreign language document collection

• US and EP patent documents are already classified in ECLA and this back-file will be used as a basis for CPC

• It provides for more detailed breakdowns
  – At least 200,000 breakdowns in CPC vs. 150,000 breakdowns in CPC
General Features of CPC

- It provides an important step towards an internationally harmonized search and examination process, by:
  - Increasing document coverage for a single classified search
    - A single classification search yields results from the USPTO, EPO and EPO member states
- Sharing resources between EPO and USPTO
- Eliminating document reclassification from one office to another
- Providing a classification scheme/system that is adaptive and more actively maintained
- Providing a single classification symbol set for all USPTO and EPO documents
## Comparison of Patent Classification Systems

<table>
<thead>
<tr>
<th></th>
<th>USPC</th>
<th>IPC</th>
<th>ECLA</th>
<th>FI</th>
<th>CPC</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Documents classified</strong></td>
<td>USPTO</td>
<td>IPC Union Members (61)</td>
<td>EPO, member states</td>
<td>Japan Patent Office</td>
<td>USPTO/EPO</td>
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<tr>
<td><strong>into system by</strong></td>
<td></td>
<td></td>
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<tr>
<td><strong>Relationship to IPC</strong></td>
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<td>----</td>
<td>ECLA is an extension of IPC</td>
<td>FI is an extension of IPC</td>
<td>CPC is an extension of IPC</td>
</tr>
<tr>
<td><strong>Classification</strong></td>
<td>2 Standards for Classification*</td>
<td>Invention Information</td>
<td>Invention Information</td>
<td>Invention Information</td>
<td>Invention Information</td>
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<td><strong>Number of Subdivisions</strong></td>
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<td>69,000</td>
<td>145,000</td>
<td>180,000</td>
<td><strong>200,000</strong></td>
</tr>
</tbody>
</table>

* **PG Publication** – Invention Information (i.e., Disclosed Invention or Inventive Concept)
  - **Routing/Docketing** & **U.S. Patent Grant** – Claim Scope
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**Draft CPC timeline & next steps**
**CPC Timeline & Milestones**

25 October 2010: Joint statement launching the project

1 November 2010: Freeze of the USPC scheme

25 October 2011: Launch of the CPC website

**June 2012:** Freeze of the ECLA scheme

**July 2012:** USPTO User Day

**Until October 2012:**
- Develop USPTO training in collaboration with EPO
- Document classification practices
- Design the collaborative environment

1 October 2012: "CPC launch scheme" available

**1 January 2013:**
- Launch of CPC – USPC & CPC symbols searchable in EAST/WEST, routing remains based on USPC
- Contractor starts applying CPC symbols to US applications (A docs)
- Begin USPTO Corps and early adopter training

**After January 2013:**
- Quality assurance in place
- CPC used by EPO and USPTO
- Harmonized classification practices
- Joint CPC revisions
- CPC available for use by other IP offices & public
- Auto populate symbols on issuing applications (‘B’ docs) based on ‘A’ docs
- Examiners can add classifications (optional)

**Until January 2014:**
- Conduct USPTO Corps training
- IT implementation at EPO and USPTO

**After January 2015:**
- Static USPC data available, Search in CPC mandatory
Conclusion: Next Steps

• Collaborate with POPA throughout the development and implementation phases
• Provide opportunities for examiners to participate and share feedback
• Develop CPC training
• Finalize IT requirements to accommodate CPC
Conclusion: CPC Resources

• CPC General Website:
  – http://www.CPCinfo.org

• Until CPC is available, ECLA searches can be conducted online using ESPACENET, the EPO’s public search tool:

• CPC Users Day at USPTO: July 10, 2012 – Fed Reg. notice publishing soon