

Patent Public Advisory Committee Meeting

Patent Operations Update



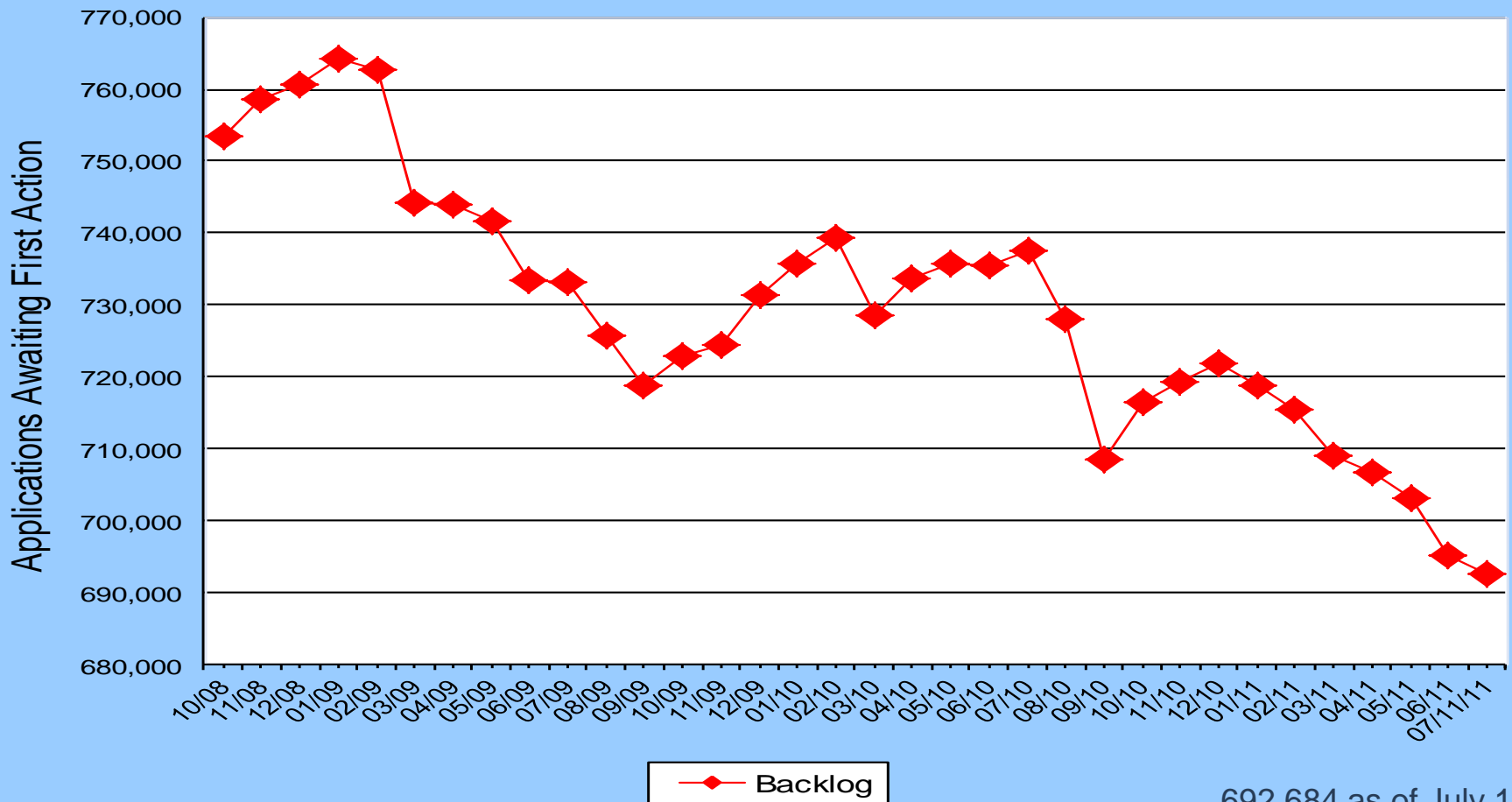
Peggy Focarino

Deputy Commissioner for Patents

July 14, 2011



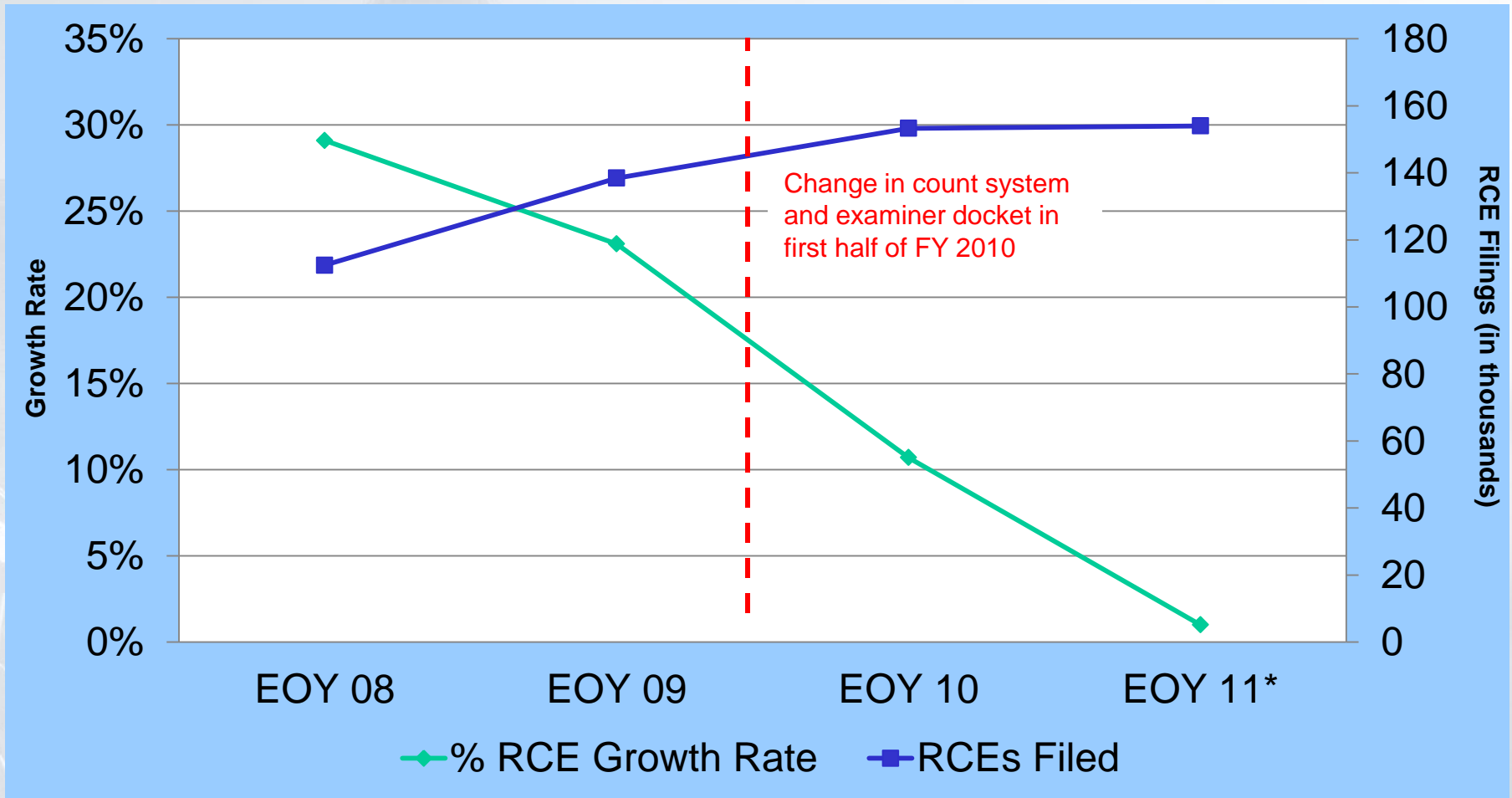
Applications Awaiting First Action



692,684 as of July 11th.



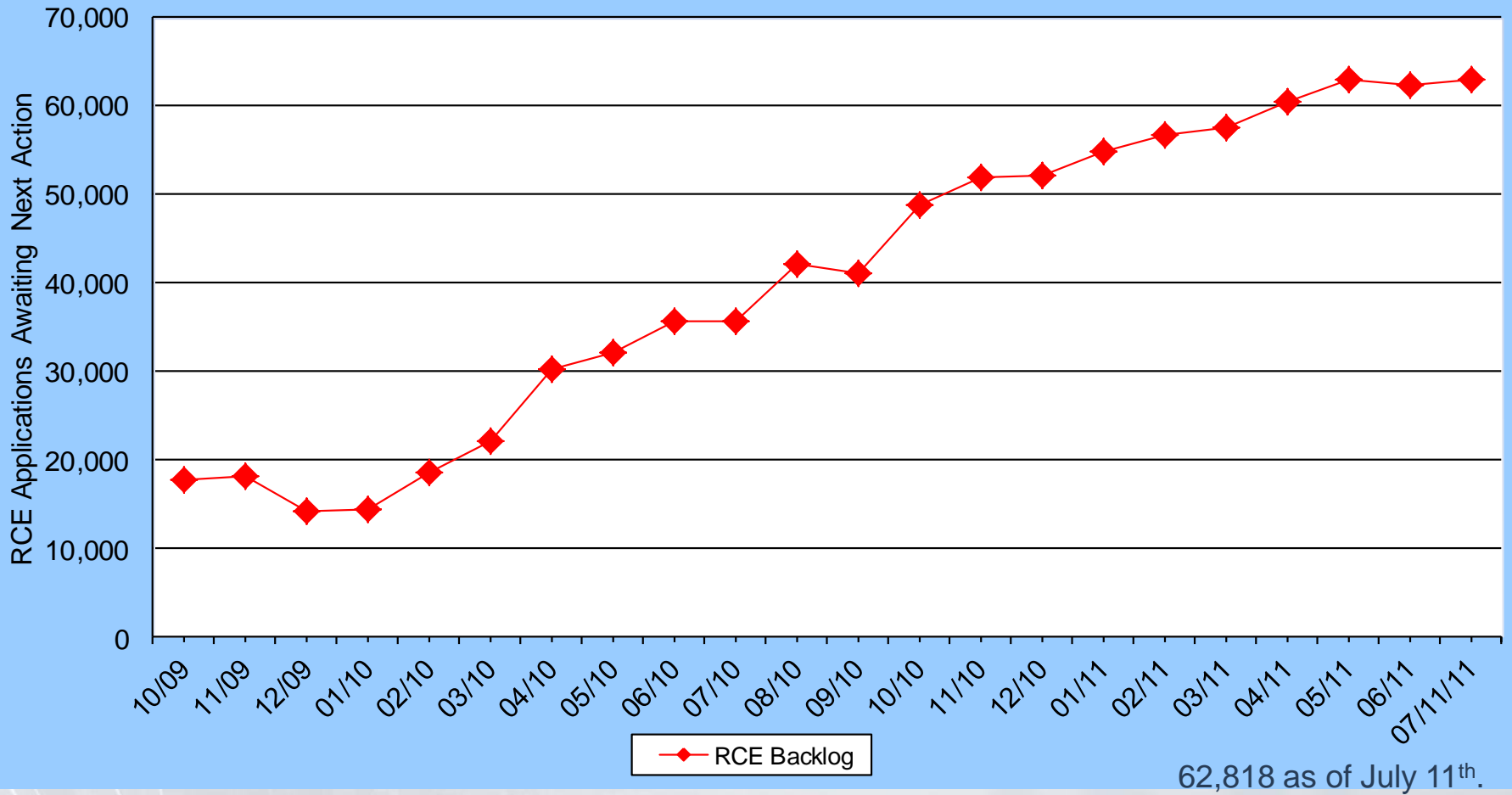
Growth Rate of RCE Filings and Total RCE Filings



* FY 2011 Projected



RCE Backlog

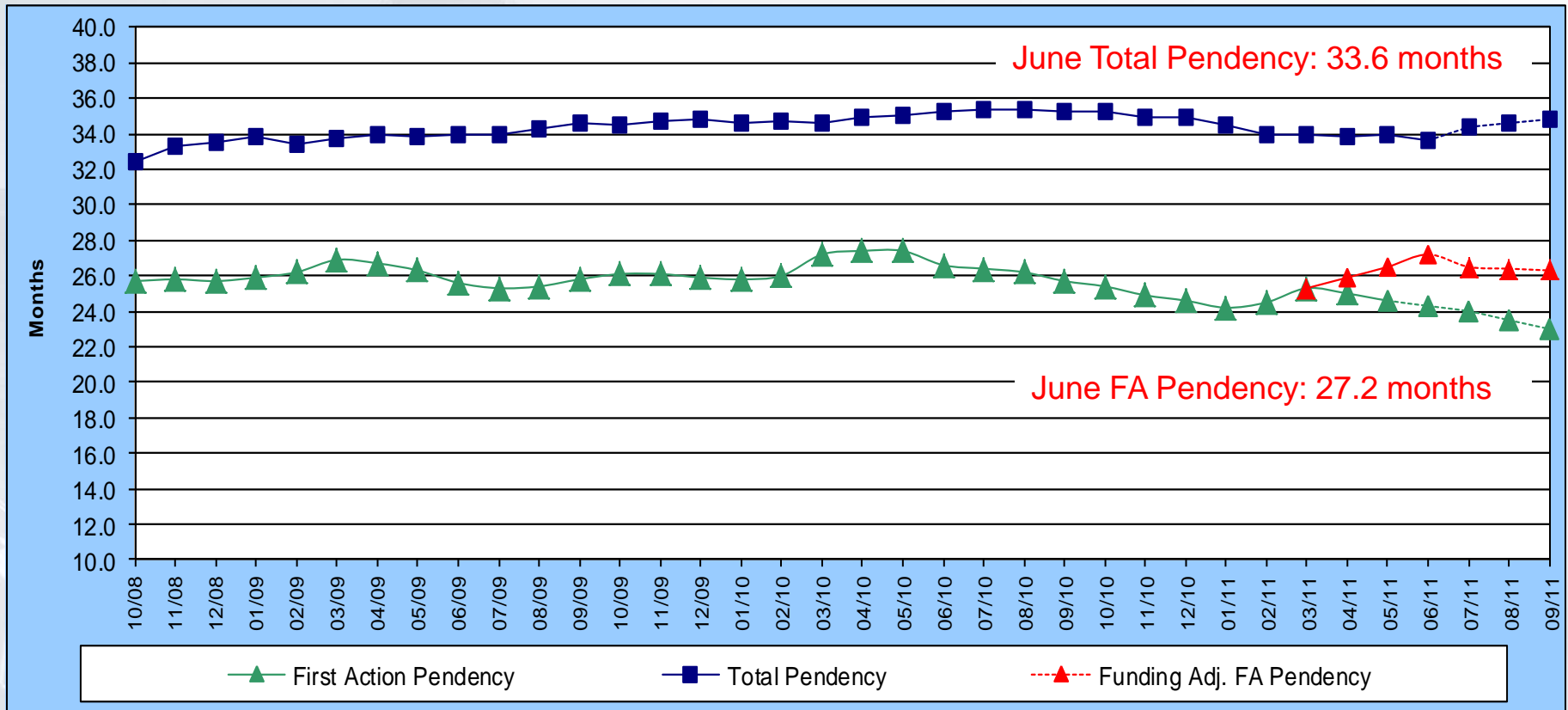




First Action Pendency and Total Pendency

FY 2009 – FY 2011 (projections)

Updated Through June 2011



First Action Pendency FY 2011 Original Plan Target: 23 (Q2 Actual – 25.3 months)

Overall Pendency FY 2011 Target: 34.5 (Q2 Actual – 33.9 months)

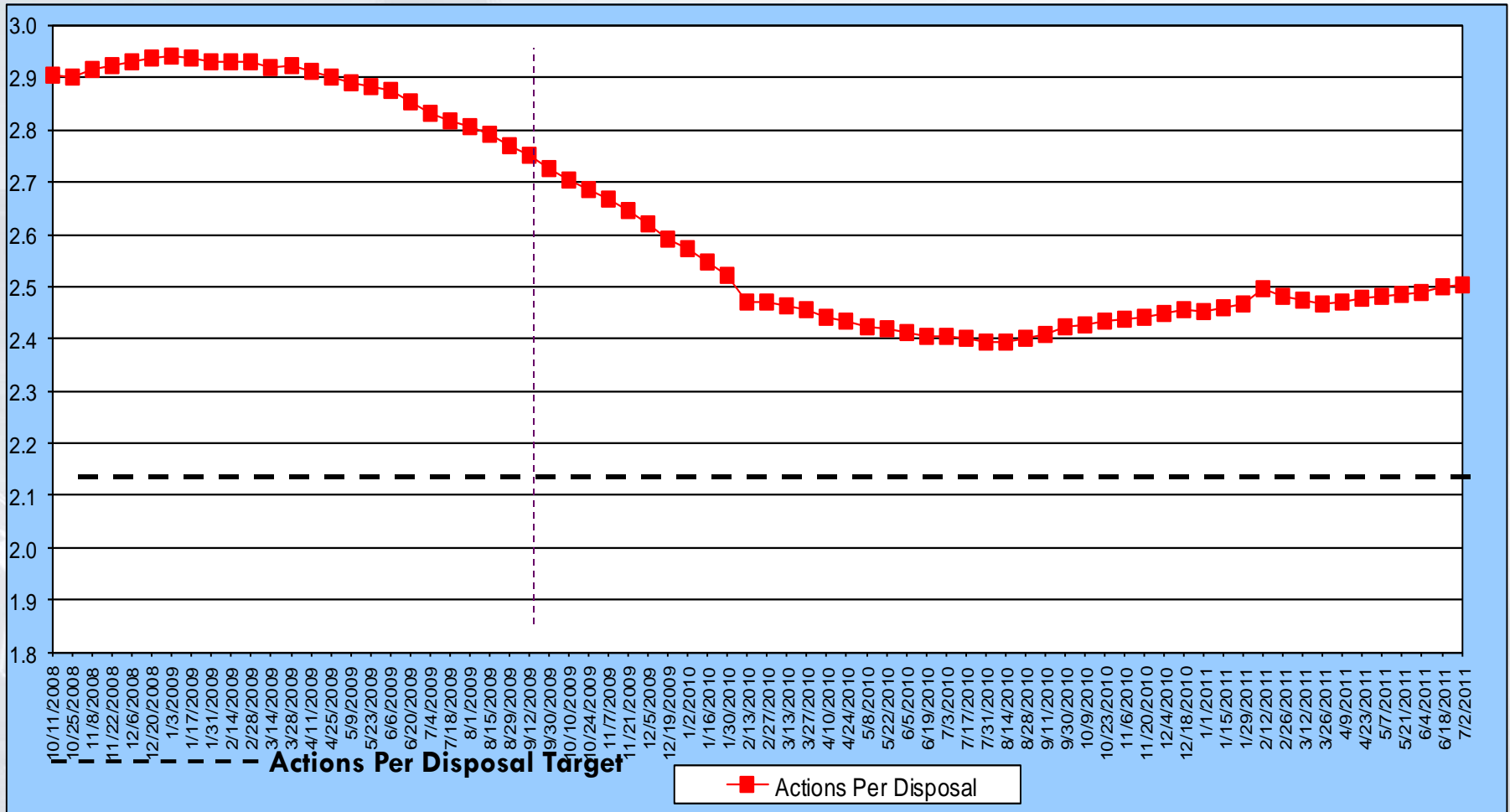
Estimated FY 2011 Actual First Action Pendency after Funding Adjustments: 26.3 months

Estimated FY 2011 Actual Overall Pendency after Funding Adjustments: 34.8 months



12 Month Rolling Average Actions Per Disposal

FY 2009 – FY 2011 by Bi-Week
(updated through pay period 1120)

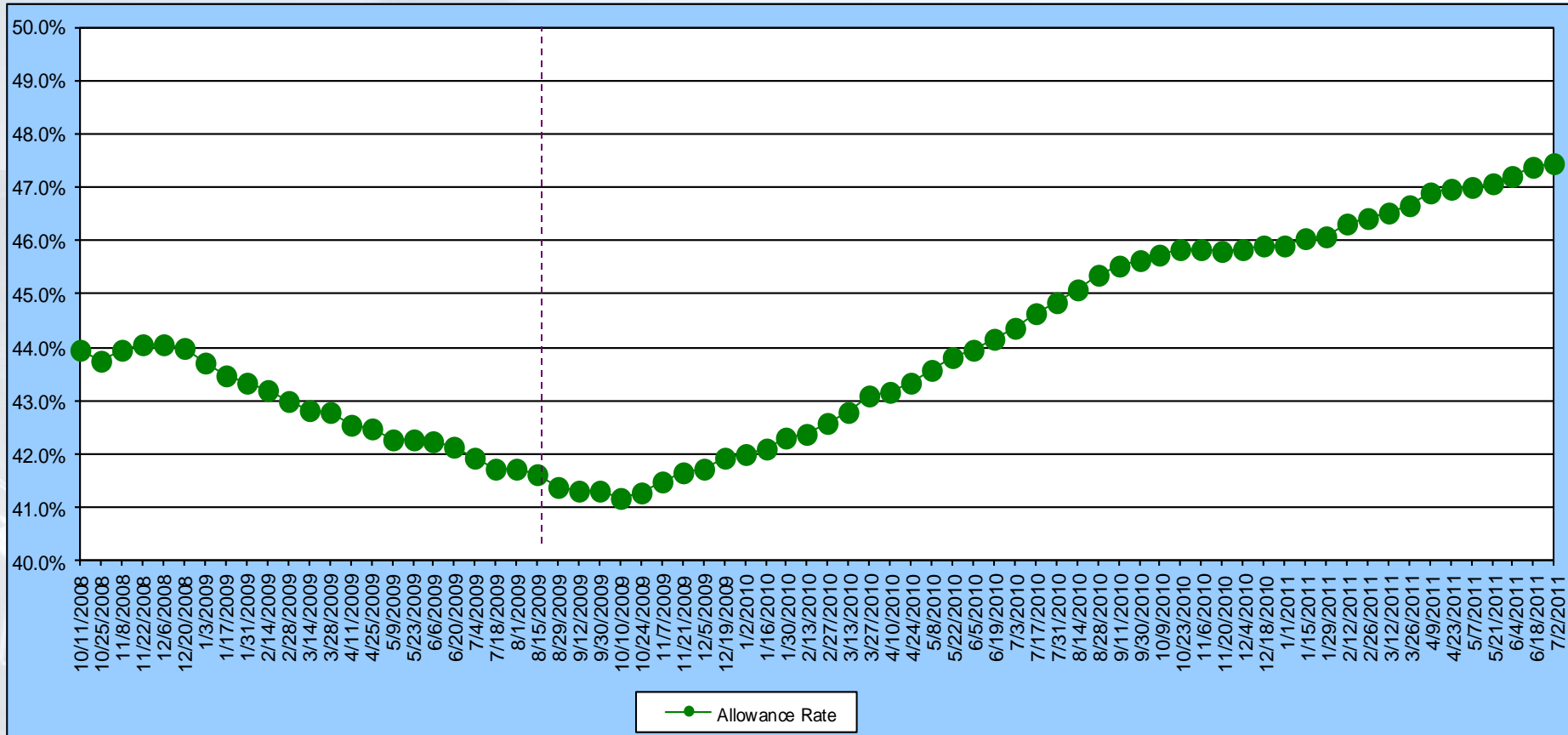


Sustained decrease in actions per disposal is a positive indicator – issues are being resolved efficiently.



12 Month Rolling Average Allowance Rate

FY 2009 – FY 2011 by Bi-Week
(updated through pay period 1120)



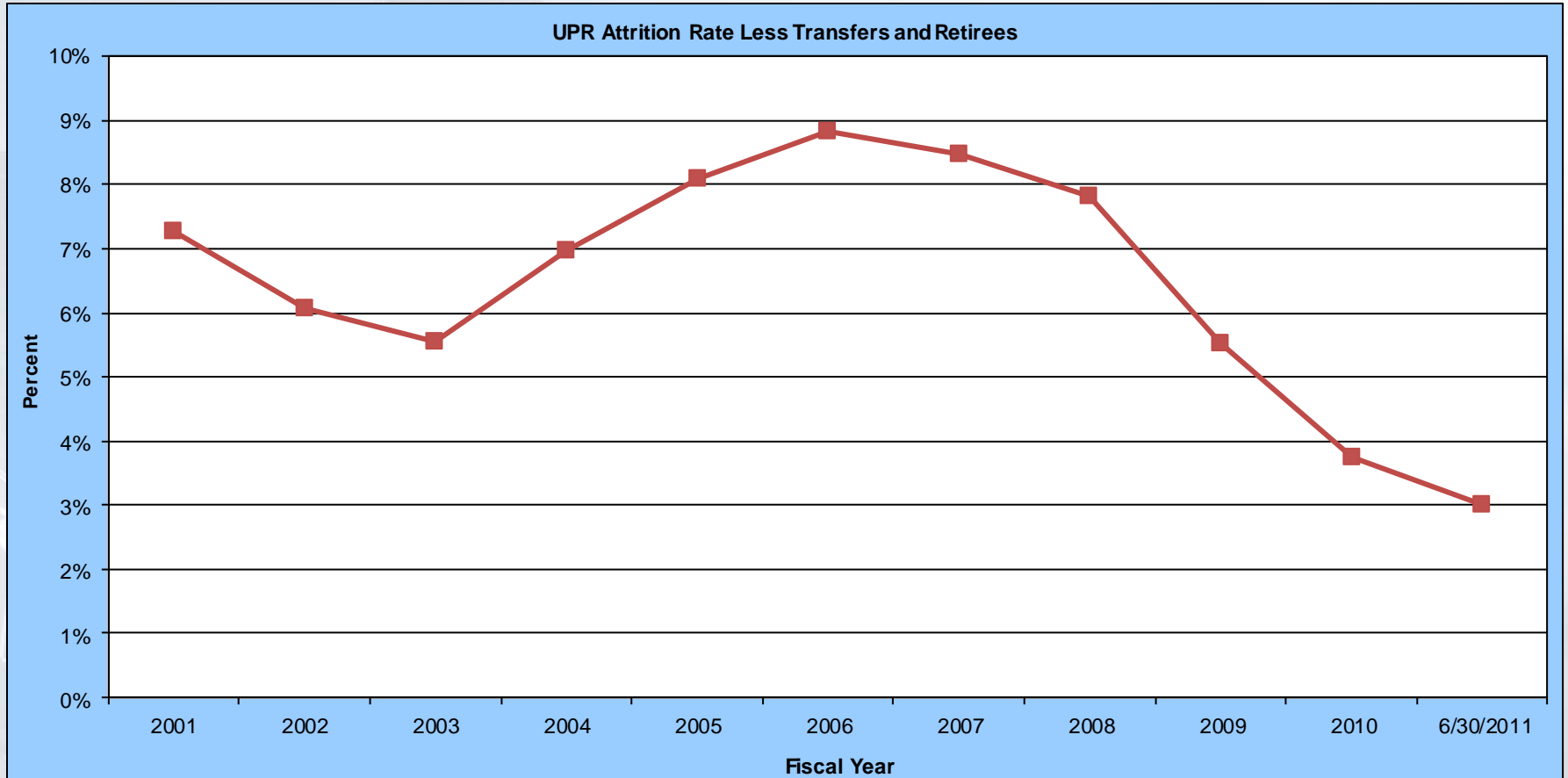
Sustained increase in allowance rate is a positive indicator – it shows increased efficiency of the workforce.



UPR Attrition Rate Less Transfers and Retirees

FY 2001 – FY 2011

Updated through June 2011

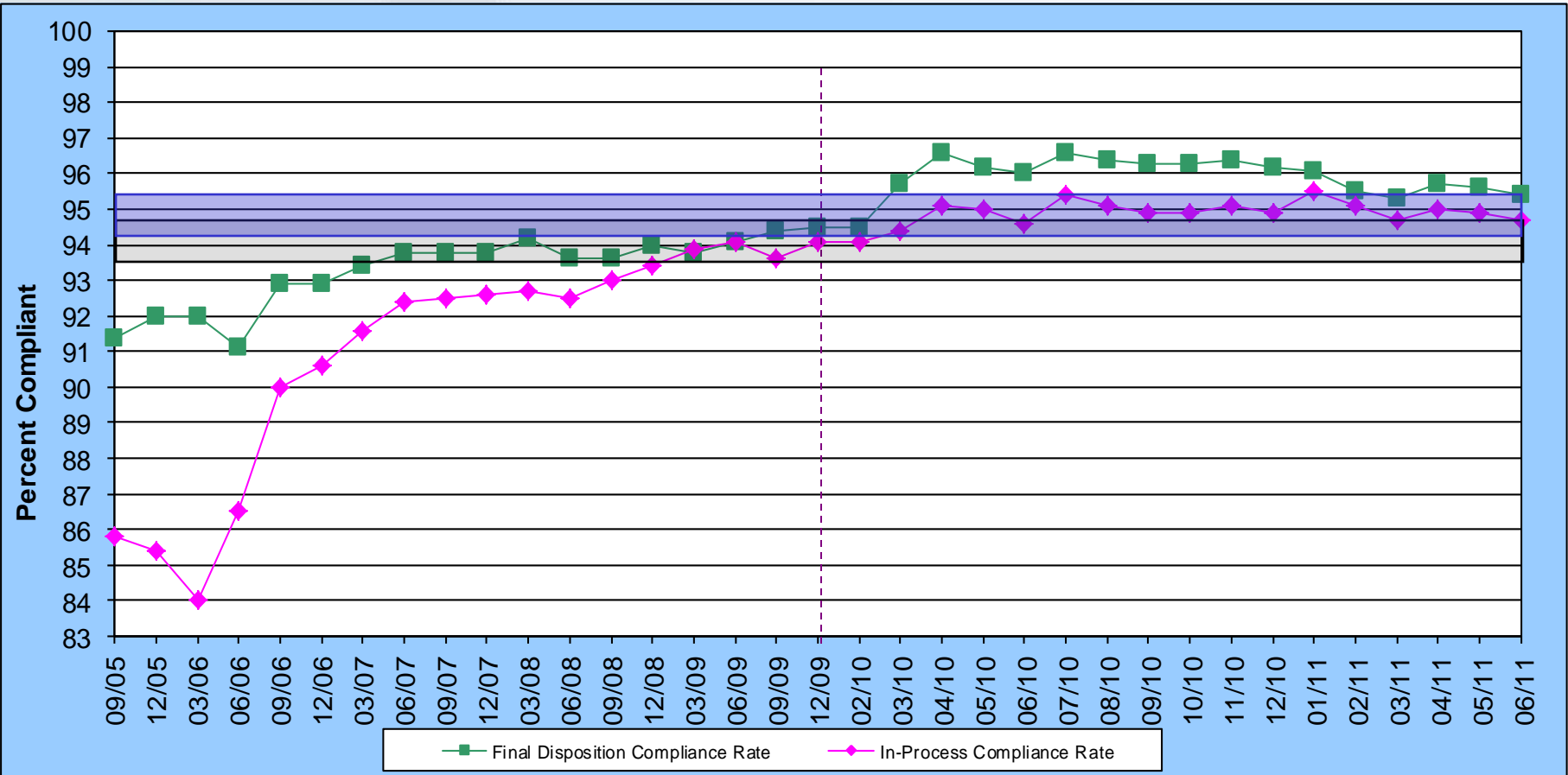




Quality Measures

12 Month Rolling Average

FY 2005 – FY 2011 (updated through June)



•2011 Final Disposition Compliance Rate Target Range

•(95.6% - 96.5%)

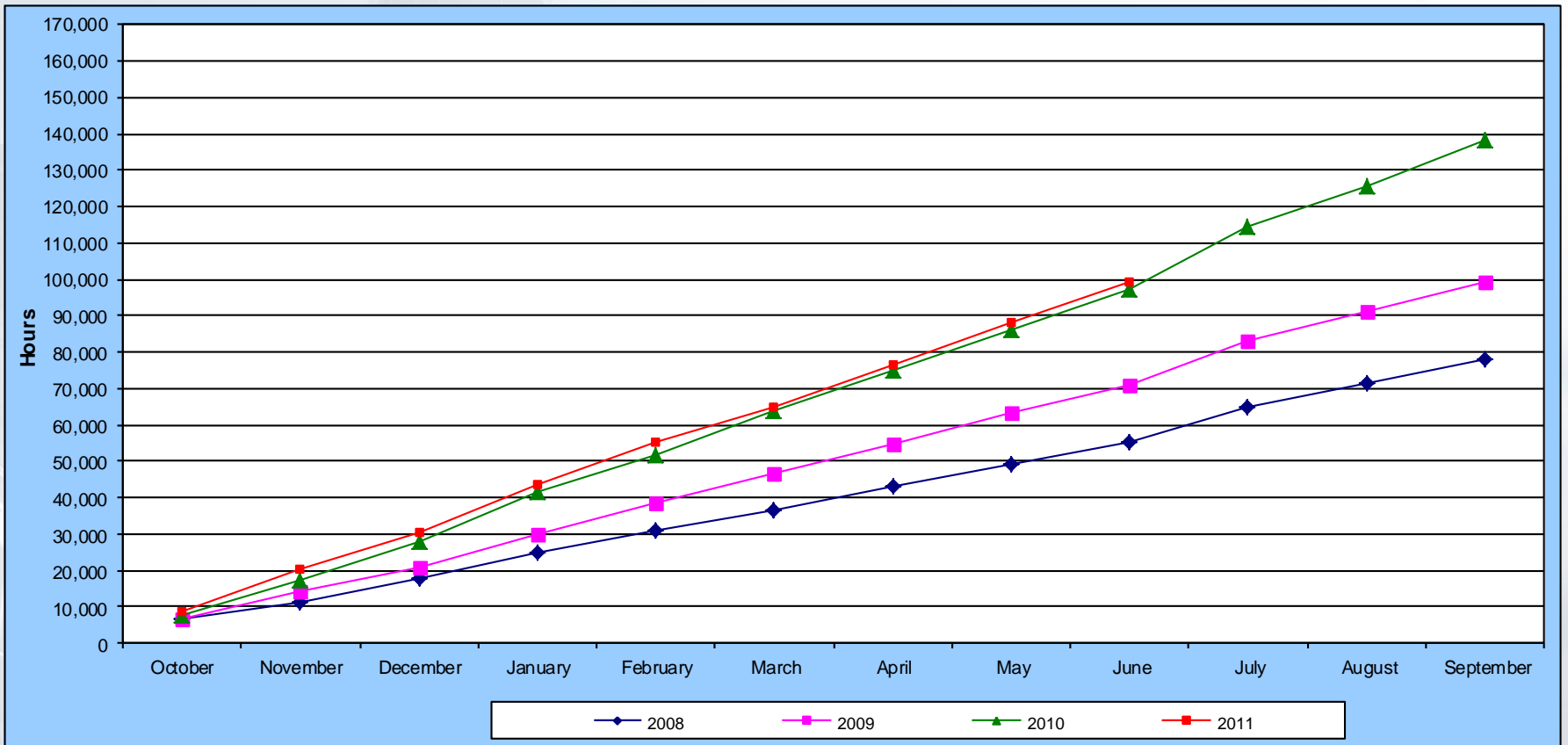
•2011 In-Process Compliance Rate Target Range

•(94.6% - 95.6%)



Interview Time

FY 2008 – FY 2011
(updated through June)



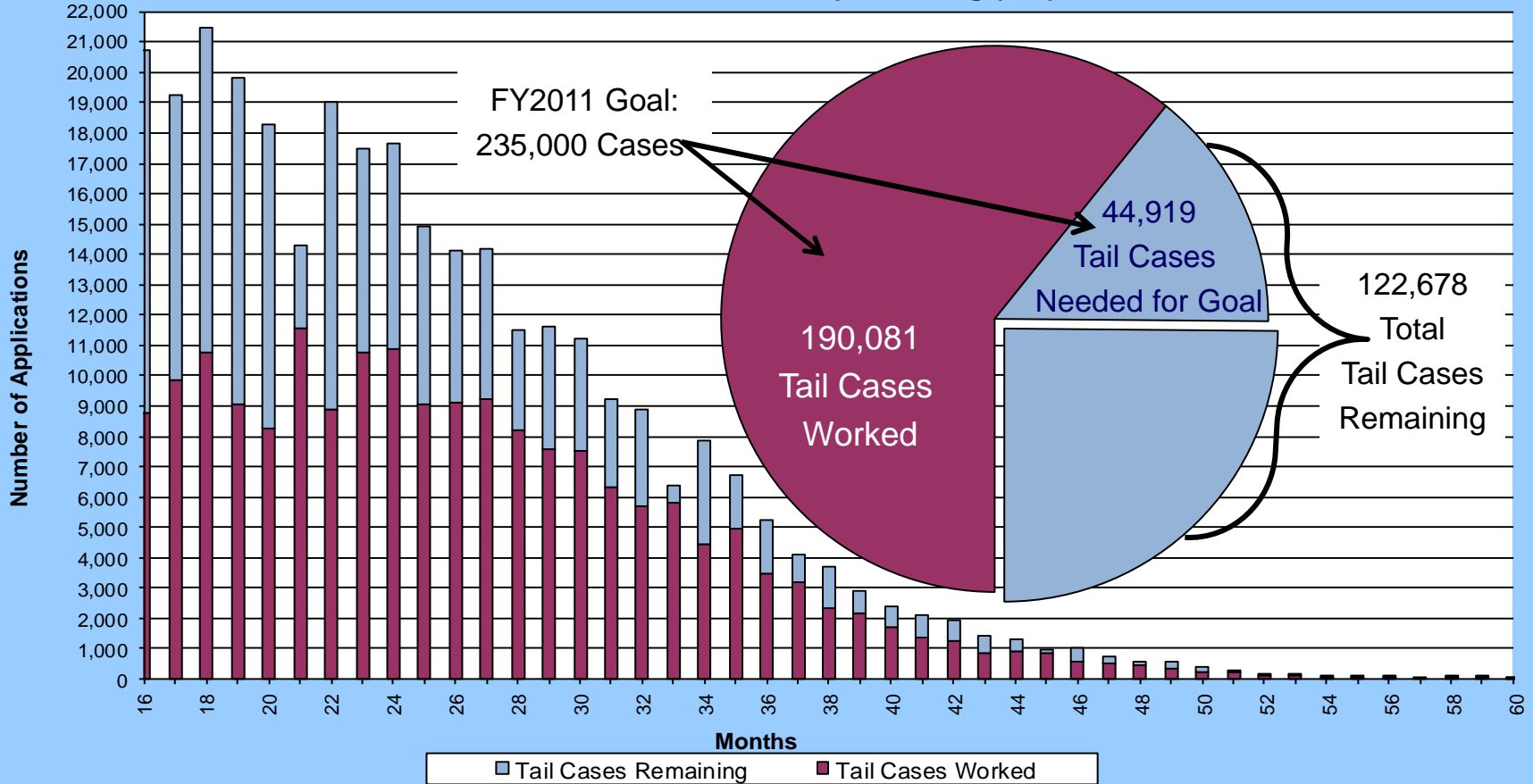
Year-to-date FY 2011 interview hours are 99,156, compared with 97,489 in the same **time** last fiscal year.



Clearing the Oldest Patent Applications (COPA)

(updated through pay period 1120)

Distribution of Corps Backlog (Tail)



FY 2011 COPA Backlog (Tail): Applications with filing dates on or before June 7, 2009 (312,759 cases on Oct. 1, 2010)

FY 2011 Goal: Reduce COPA Backlog (Tail) by 235,000 applications

(As of June – 122,678 applications remaining in the tail. 60.8% of the tail worked)



Updates on Other Initiatives

- Patent Pipeline Optimization Project (PPOP)
 - 1317 cases moved from inactive statuses to pending statuses
 - Reduced the backlog of oldest overdue applications by 5710
 - Cases in status for over 1000 days reduced from 300 to 39
- Over 3,000 examiners and upwards of 30 organizations participated in the Patent Examiner Technical Training Program (PETTP)
- Authorized up to 25 hours per examiner in FY2011 for refresher and leadership development training
- All SPEs received Coaching and Mentoring training
- Negotiating in the Patent Examination Process training offered for all examiners
 - Emphasizes cooperative problem-solving techniques when negotiating on the allowability of claimed subject matter