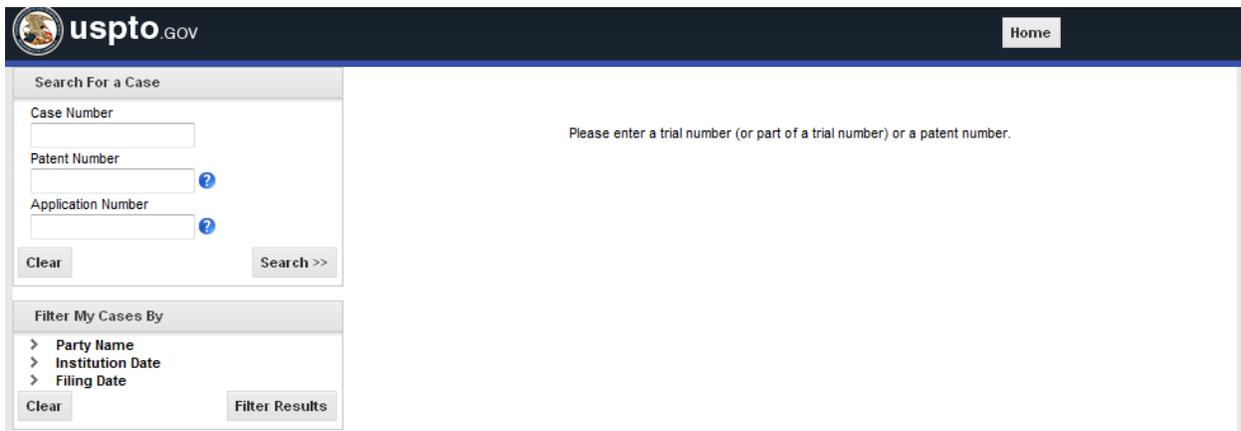


THE PATENT REVIEW PROCESSING SYSTEM (PRPS) – QUICK START GUIDE

I. Searching

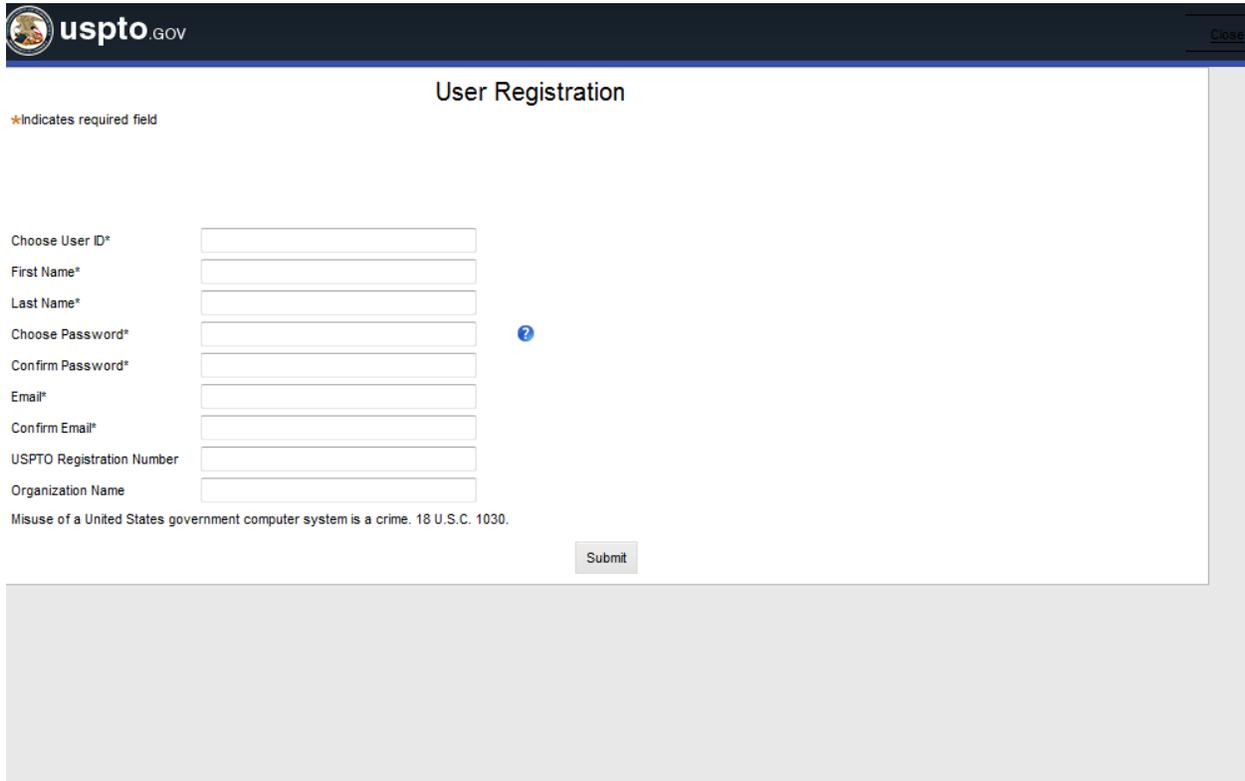
- Select “Search for a proceeding / Browse the proceedings” from the main page
- Available to the public without registration
- Search by case number, patent number, or application number (for derivation proceedings)
- Search for all cases of a particular type by entering the trial type (e.g., “IPR,” “PGR,” “CBM,” or “DER”)
- Filter results by party name, institution date, and filing date



The screenshot displays the USPTO search interface. At the top left is the USPTO logo and the text "uspto.GOV". At the top right is a "Home" button. The main content area is divided into two columns. The left column contains two sections: "Search For a Case" and "Filter My Cases By". The "Search For a Case" section has three input fields: "Case Number", "Patent Number" (with a help icon), and "Application Number" (with a help icon). Below these fields are "Clear" and "Search >>" buttons. The "Filter My Cases By" section has three expandable options: "Party Name", "Institution Date", and "Filing Date". Below these are "Clear" and "Filter Results" buttons. The right column contains a message: "Please enter a trial number (or part of a trial number) or a patent number."

II. User Registration

- Select “Register” from the main page
- Users must register to be able to file a petition and participate in a case as a petitioner or patent owner/respondent
- Anyone can register (not just registered practitioners)
- Do not need to register to search cases and view public documents



The screenshot shows the USPTO user registration interface. At the top left is the USPTO logo and the text "uspto.GOV". At the top right is a "Close" button. The main heading is "User Registration". Below the heading is a legend: "★Indicates required field". The form contains the following fields:

- Choose User ID* (required)
- First Name* (required)
- Last Name* (required)
- Choose Password* (required) with a help icon (?)
- Confirm Password* (required)
- Email* (required)
- Confirm Email* (required)
- USPTO Registration Number
- Organization Name

At the bottom left of the form area, there is a disclaimer: "Misuse of a United States government computer system is a crime. 18 U.S.C. 1030." At the bottom right of the form area is a "Submit" button.

III. Filing a Petition

- Select the “New Petitions” tab and then the “Create New Petition” option

Create New Petition
You Will Need:

- The Trial Type
- The Patent Number
- The Number Of Claims
- Real Party In Interest
- Petition Documents
- Payment Information
- Counsel Information
- Related Matters

Create New Petition

Patent Owner/Respondent Participation
You Will Need To Provide Mandatory Notice Information, Including:

- Patent or Application Number
- Proceeding Number
- Real Party In Interest
- Counsel Information
- Related Matters

Start

- **For IPR:** Enter trial type, patent number, statutory ground(s) for challenge (35 U.S.C. §§ 102 and/or 103), number of challenged claims, and list of challenged claims

* Indicates Required Fields

Trial and Patent Information

Trial Type: IPR - Inter Partes Review

Patent Number:* 5000000 **Find Patent** ?

Patent Confirmation

Patent Number: 5000000
Application Number: 07352062
Patent Title: ETHANOL PRODUCTION BY ENGINEERED MICROBES
Patent Issue Date: 3/19/1991
Filing Date: 5/15/1989
First Named Inventor: LONNIE INGRAM
Patent Owner:
Number Of Claims: 34
Tech Center: 1800
Attorney Docket Number: UFS&S19C2

Please verify you entered the correct patent number.

Note: The trial type and patent number cannot be changed once the request has been submitted.

Statutory Ground(s) for Challenge (Check all that apply)

35 U.S.C. § 102
 35 U.S.C. § 103

Number of Challenged Claims:* 34

List of Challenged Claims:* Example: 1,2,3 or 5-10

Submit

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- **For PGR or CBM:** Enter trial type, patent number, statutory ground(s) for challenge (35 U.S.C. §§ 101, 102, 103, and/or 112), number of challenged claims, and list of challenged claims

 [Home](#) [My Docket](#) [New Petitions](#) [Finance](#) [Correspondence](#) [Search All Cases](#) [My Account](#) [New](#) [Close](#)

* Indicates Required Fields

Trial and Patent Information

Trial Type: ▼

Patent Number:* [?](#)

Patent Confirmation

Patent Number: 5000000

Application Number: 07352062

Patent Title: ETHANOL PRODUCTION BY ENGINEERED MICROBES

Patent Issue Date: 3/19/1991

Filing Date: 5/15/1989

First Named Inventor: LONNIE INGRAM

Patent Owner:

Number Of Claims: 34

Tech Center: 1800

Attorney Docket Number: UFS&S19C2

Please verify you entered the correct patent number.

Note: The trial type and patent number cannot be changed once the request has been submitted.

Statutory Ground(s) for Challenge (Check all that apply)

35 U.S.C. § 101

35 U.S.C. § 102

35 U.S.C. § 103

35 U.S.C. § 112

Number of Challenged Claims:*

List of Challenged Claims:*

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- **For DER:** Enter trial type, patent or application number to be challenged, and the petitioner's application number

uspto.GOV Home My Docket New Petitions Finance Correspondence Search All Cases My Account **New** Close

* Indicates Required Fields

Trial and Patent Information

Trial Type: DER - Derivation Proceeding

Patent Number:* 5000000 ?

or Application Number:*

Find Patent/Application

Patent Confirmation

Patent Number:	5000000
Application Number:	07352062
Patent Title:	ETHANOL PRODUCTION BY ENGINEERED MICROBES
Patent Issue Date:	3/19/1991
Filing Date:	5/15/1989
First Named Inventor:	LONNIE INGRAM
Patent Owner:	
Number Of Claims:	34
Tech Center:	1800
Attorney Docket Number:	UFS&S19C2

Petitioner Application Number*

Petitions to institute a derivation proceeding are kept confidential until they are reviewed by the Board.

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- Enter Party Information, Petition Documents, Payment, Counsel Information, and Related Matters using the sections appearing on the left menu
- Review petition using the “Review Petition” option
- After reviewing the petition, submit petition
- Save the petition by selecting the “Save” option (incomplete petitions kept for 30 days)
- Withdraw petition by selecting the “Withdraw” option
- Submitted petition will be reviewed by a Board paralegal for statutory and regulatory compliance

The screenshot shows the USPTO website interface for case IPR2013-00126. The top navigation bar includes links for Home, My Docket, New Petitions, Finance, Correspondence, Search All Cases, My Account, and the current case ID IPR2013-00126 with a Close button. Below the navigation bar is an 'Upload Document' button and a note: '* Indicates Required Fields'. A summary table provides case details:

Proceeding	IPR2013-00126	Patent Number	5000000	No of Challenged Claims	34	Status	Pending	Accorded Filing Date	
Petitioner		Patent Owner	Technology Center		1800	Filing Date		Institution Date	

The main content area is divided into a left sidebar and a main form. The sidebar contains a 'Petition Information' section with sub-links for Party Information, Petition Documents, Payment Information, Other Information, and Review Petition. The main form is titled 'Real Party In Interest' and contains the following fields:

- Party Type:* Organization (dropdown)
- Organization Name:*
- Country:* UNITED STATES (dropdown)
- Address Line 1:*
- Address Line 2:*
- City:*
- State:* Select... (dropdown)
- Postal Code:*
- Email:
- Phone Number:
- Fax Number:

Below these fields is an 'Additional Real Party in Interest' section, which is currently empty and shows 'No items'. An 'Add Real Party In Interest' button is located at the bottom of this section. At the very bottom of the page, there is a 'Saved on: 2/18/13 1:19 PM' timestamp and three buttons: 'Save', 'Next>>', and 'Withdraw'.

IV. Fee Payment

- Payment options: deposit account, credit card, debit card, and electronic funds transfer (EFT)
- PRPS will calculate the amount of fees due based on the number of claims entered in the first screen above (see FAQs E6-E7 regarding the new fees effective March 19, 2013)

 [Home](#) [My Docket](#) [New Petitions](#) [Finance](#) [Correspondence](#) [Search All Cases](#) [My Account](#) **IPR2013-70007** [Close](#)

* Indicates Required Fields

Proceeding	IPR2013-70007	Patent Number	5000000	No of Challenged Claims	2	Status	Pending	Accorded Filing Date	
Petitioner		Patent Owner	Technology Center	1800	Filing Date		Institution Date		

Petition Information

- Party Information
- Petition Documents

Payment Information

- Payment**

Other Information

- Counsel Information
- Related Matters

Review Petition

- Review Petition

Make Payment

Payment Instructions

Please have your payment details ready before starting the payment process.
Partial payment over multiple sessions is not acceptable.
Complete payment must be made in one session.
Payment must be made using one payment method only.

Petition Fee:	\$0.00
Total Amount:	\$0.00
Payment Due:	\$0.00
Number of Claims:	2

Payment Due **\$0.00**

Payment Method:* 

Amount:* \$

Payment must match fee amount

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V. Patent Owner/Respondent Participation

- Select the “New Petitions” tab and then the “Patent Owner/Respondent Participation” option

The screenshot shows the USPTO website navigation bar with the following tabs: Home, My Docket, **New Petitions**, Finance, Correspondence, Search All Cases, and My Account. Below the navigation bar, there are two main sections:

- Create New Petition**
You Will Need:
 - The Trial Type
 - The Patent Number
 - The Number Of Claims
 - Real Party In Interest
 - Petition Documents
 - Payment Information
 - Counsel Information
 - Related Matters[Create New Petition](#)
- Patent Owner/Respondent Participation**
You Will Need To Provide Mandatory Notice Information, Including:
 - Patent or Application Number
 - Proceeding Number
 - Real Party In Interest
 - Counsel Information
 - Related Matters[Start](#)

- Begin by entering the patent number for the proceeding (or application number in the case of a derivation proceeding)
- Select the correct proceeding and click “Next”
- Enter mandatory notice information in the following screens
- A Board paralegal will then verify the information and send an email notification
- Patent owner/respondent can then login, access the case, and begin uploading documents (e.g., preliminary response, motions) by selecting the “Upload Document” option

The screenshot shows the USPTO website navigation bar with the following tabs: Home, My Docket, New Petitions, Finance, Correspondence, Search All Cases, My Account, **New**, and Close. Below the navigation bar, there is a form titled "Patent Owner Identification".

* Indicates Required Fields

Patent Owner Identification

Enter Your Patent / Application Number: [Search](#)

Giving false or misleading information to the United States government is a crime. 18 U.S.C. 1001.