

Patent Public Advisory Committee Quarterly Meeting

Patent Operations



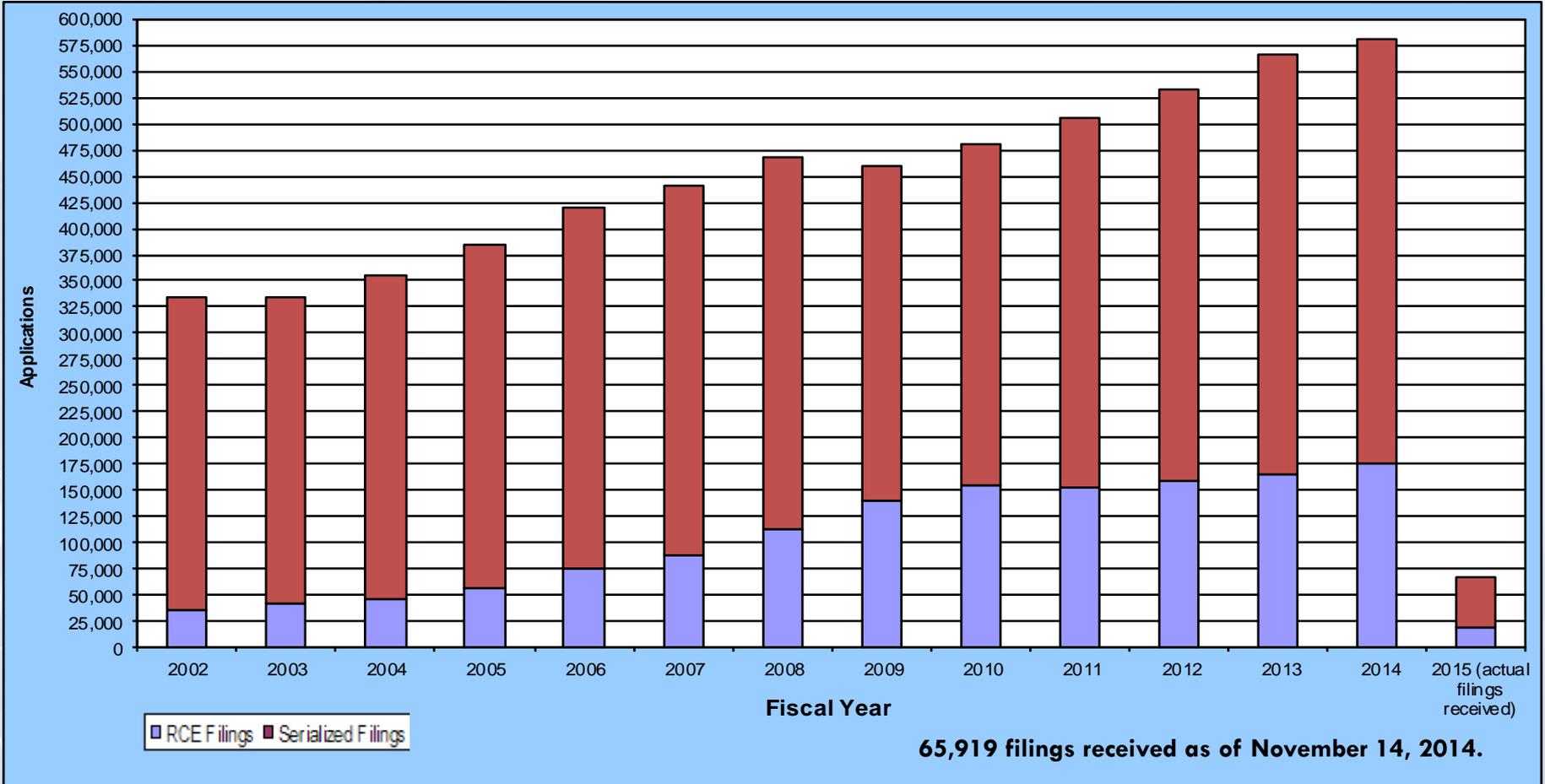
Richard Seidel

Assistant Deputy Commissioner for Patent
Operations

November 20, 2014



Total Serialized and RCE Filings FY 2002 – FY 2015 (through November 14)

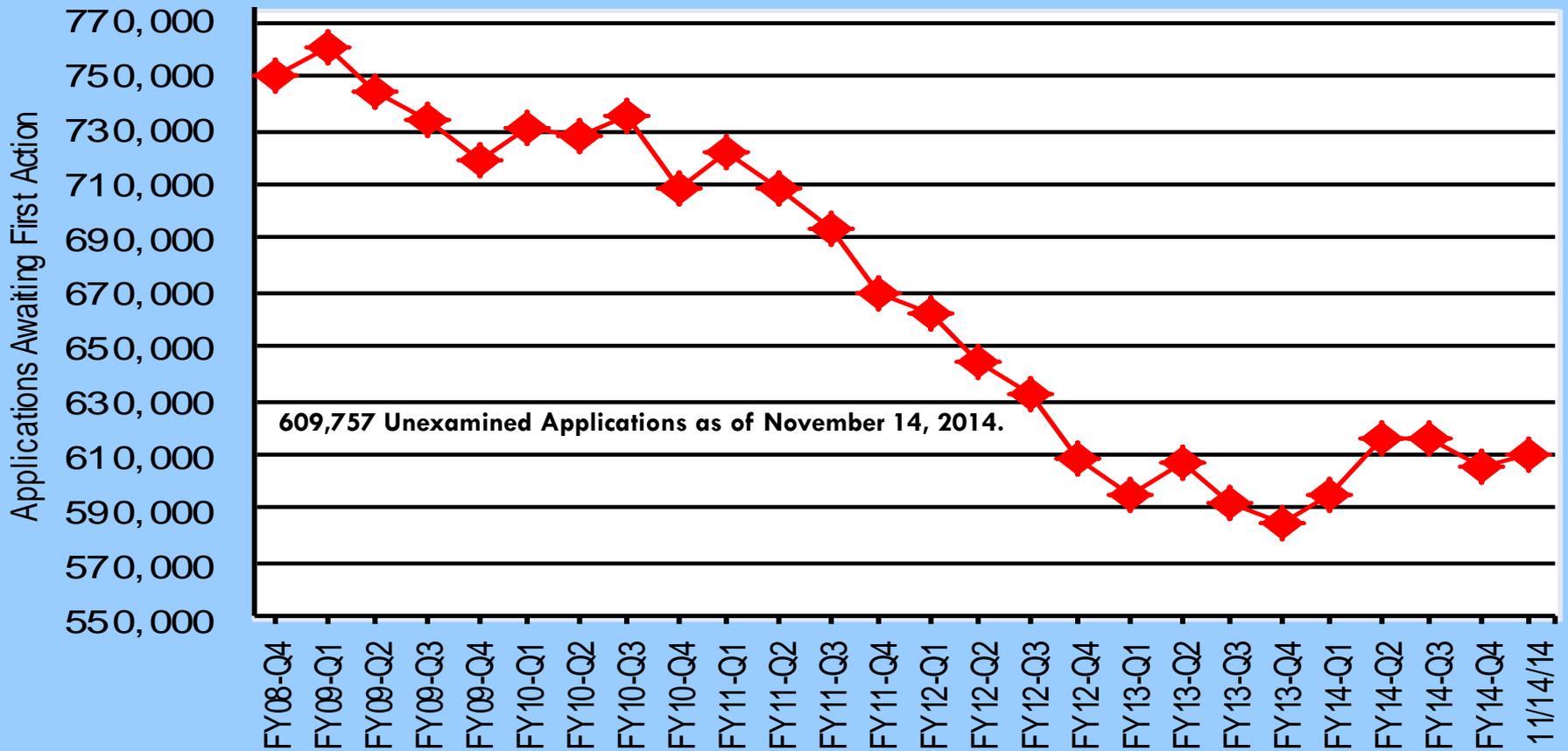


FY 2015 total UPR filing growth rate is projected to be 3% over FY 2014.

FY 2014 total UPR filing growth rate was 2.8% (582,203 applications).



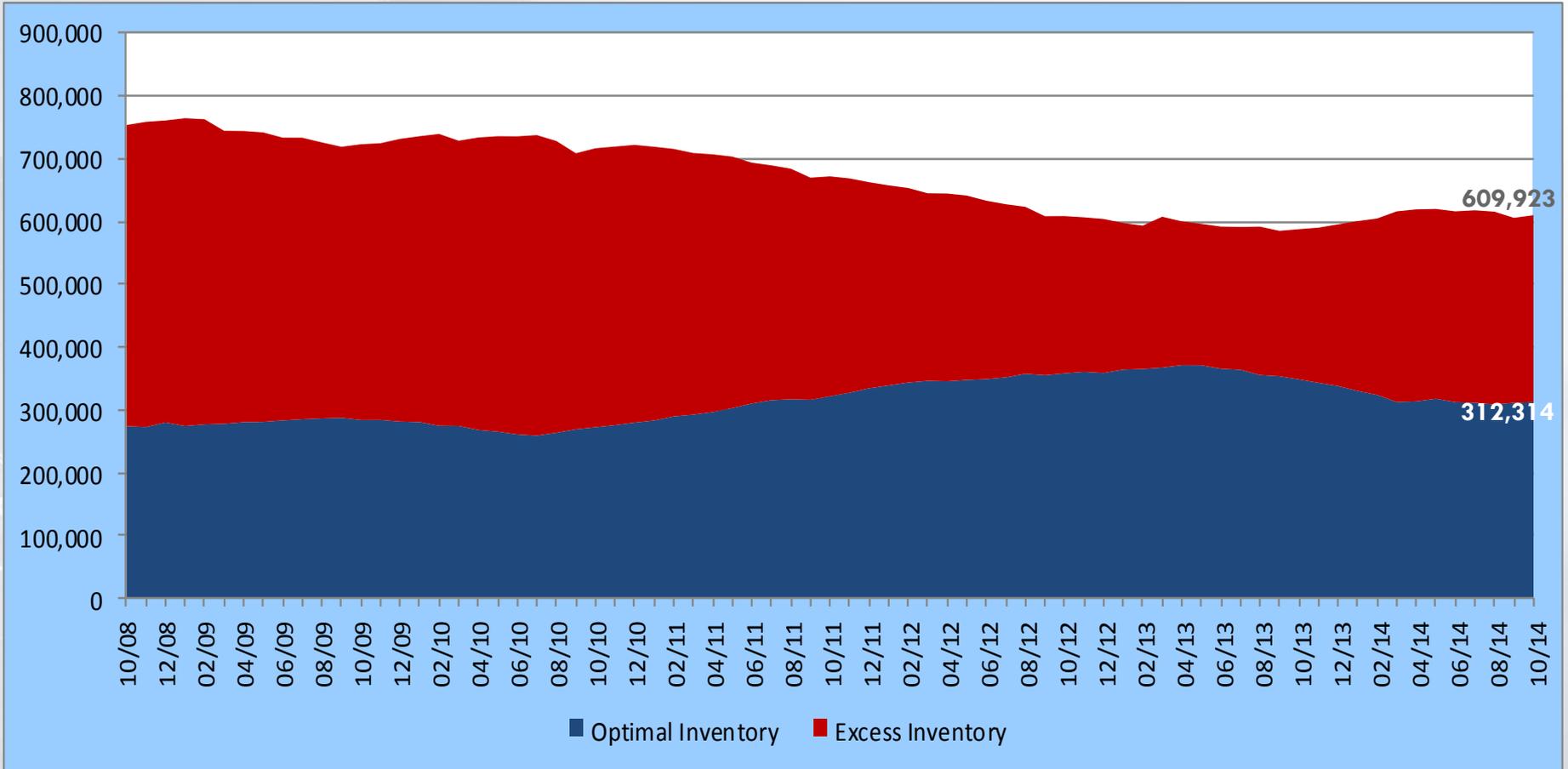
Unexamined Patent Application Backlog FY 2009 – FY 2015 (through November 14)



FY 2014 Result: 605,646.



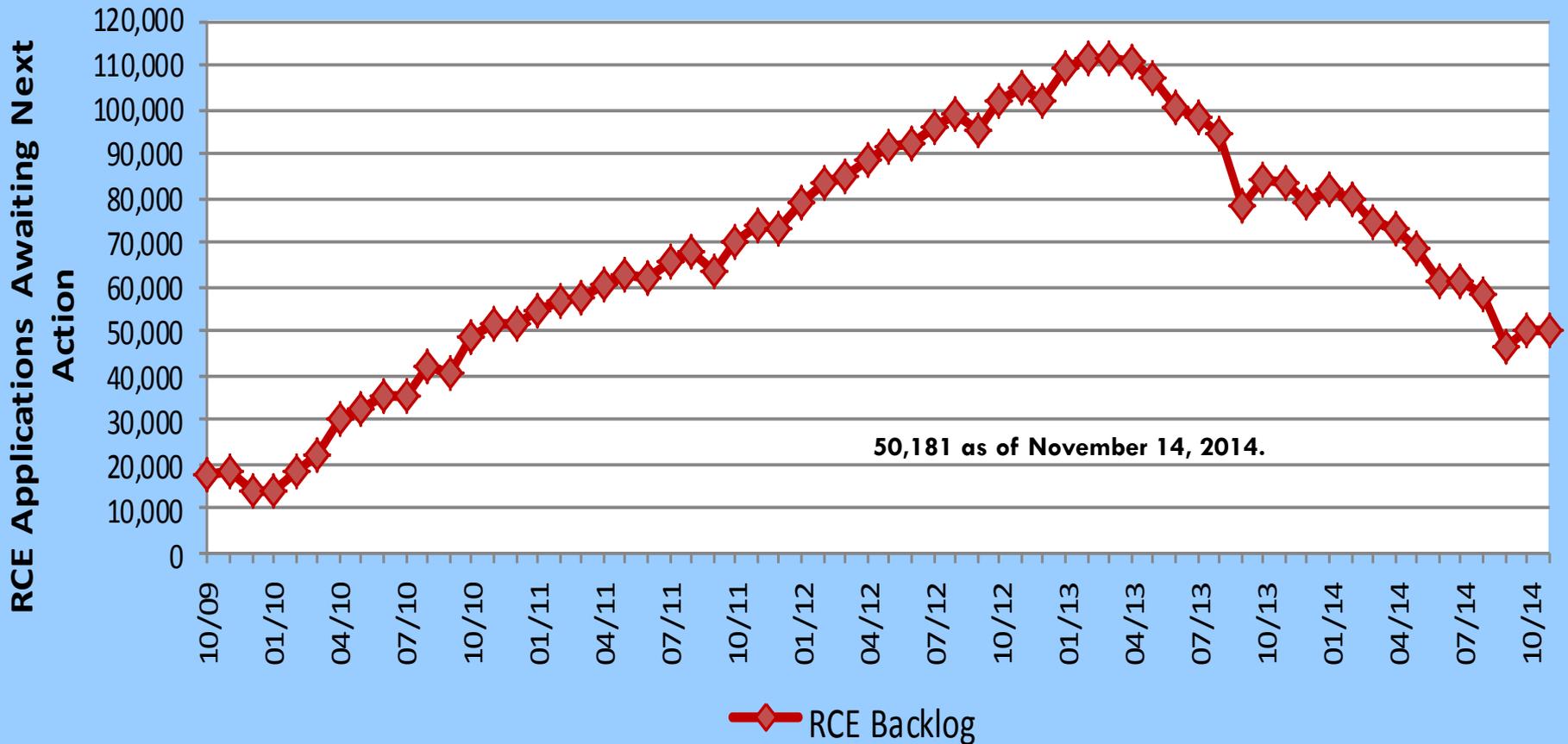
Excess and Optimal Unexamined Patent Application Inventory FY 2009 – FY 2015 (through October)



297,609 Excess Unexamined Applications as of October 2014.



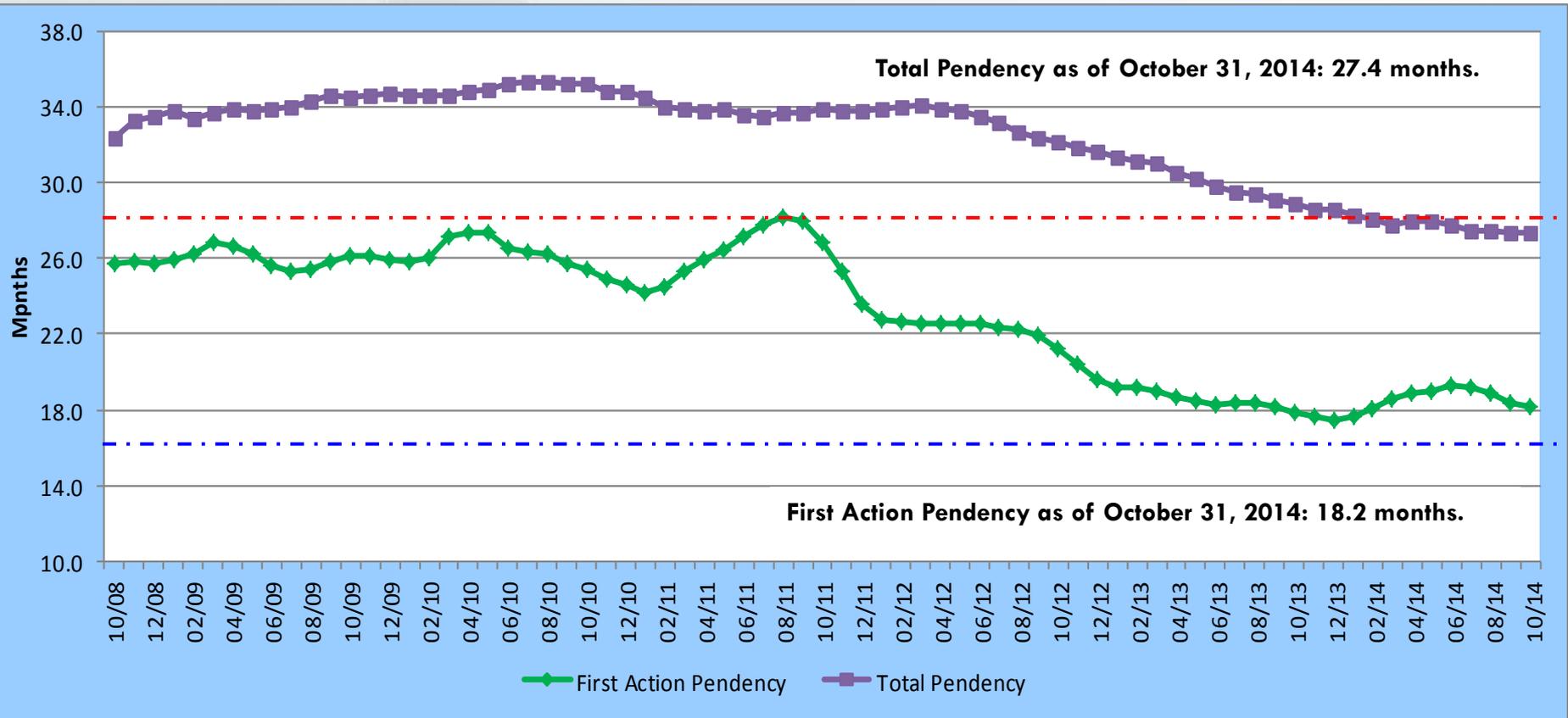
RCE Backlog FY 2010 – FY 2015 (through November 14)



FY 2014 result: 46,441.



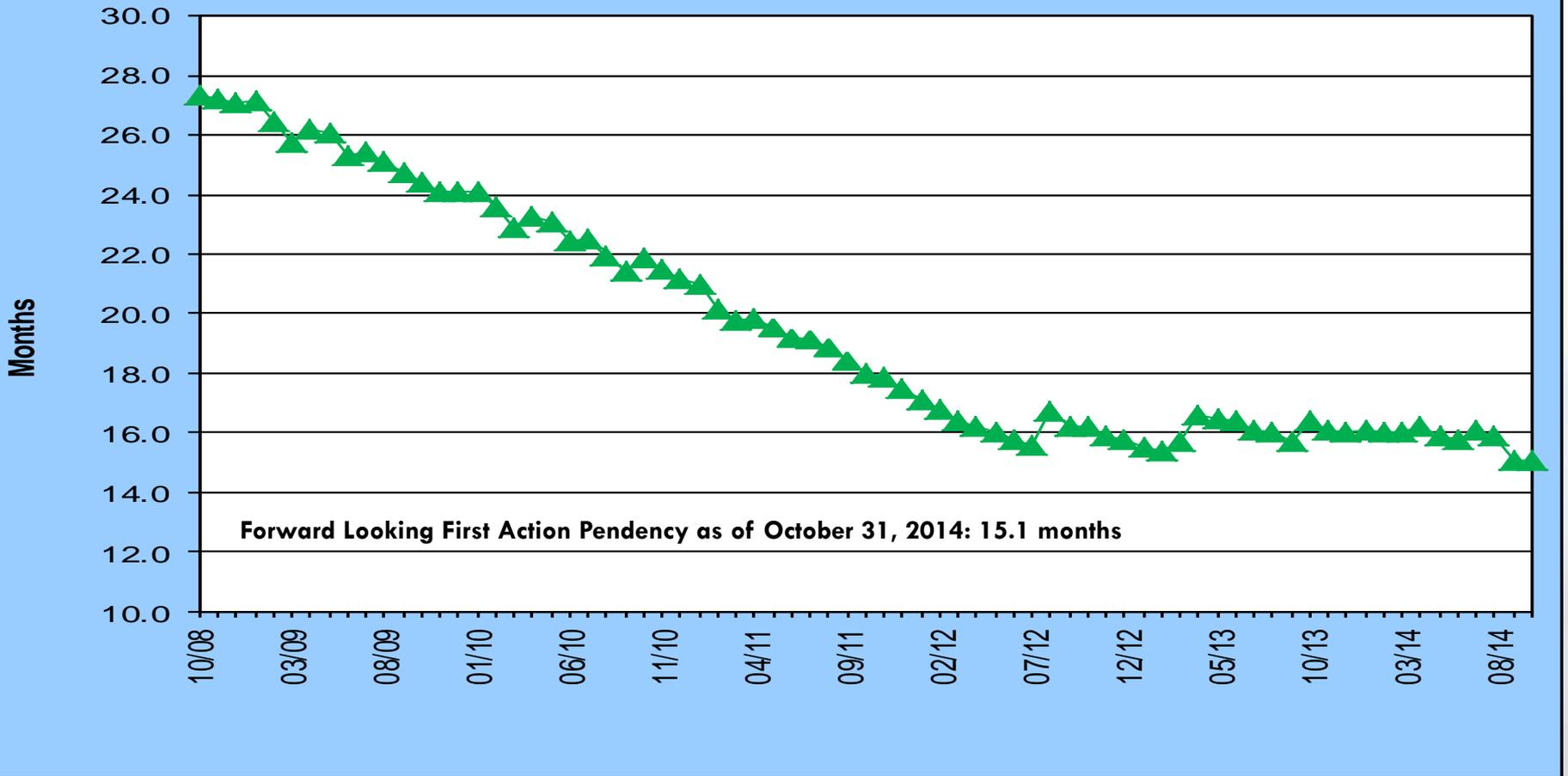
First Action Pendency and Total Pendency FY 2009 – FY 2015 (through October)



- - - Average Total Pendency FY 2015 Target (2016 President's Budget): 27.7 months
- . - . - Average First Action Pendency FY 2015 Target (2016 President's Budget): 16.4 months



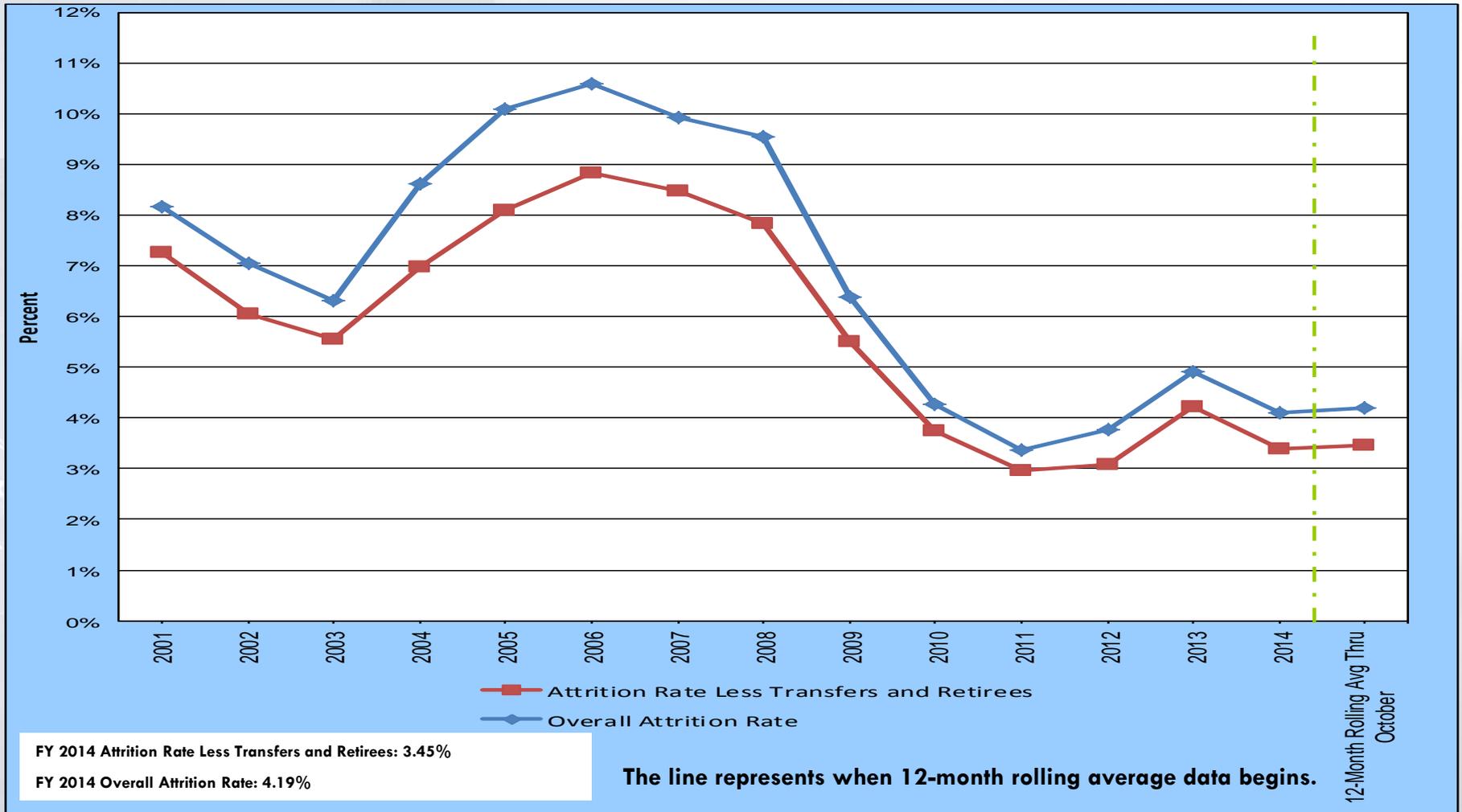
Forward Looking First Action Pendency FY 2009 – FY 2015 (October)



Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.

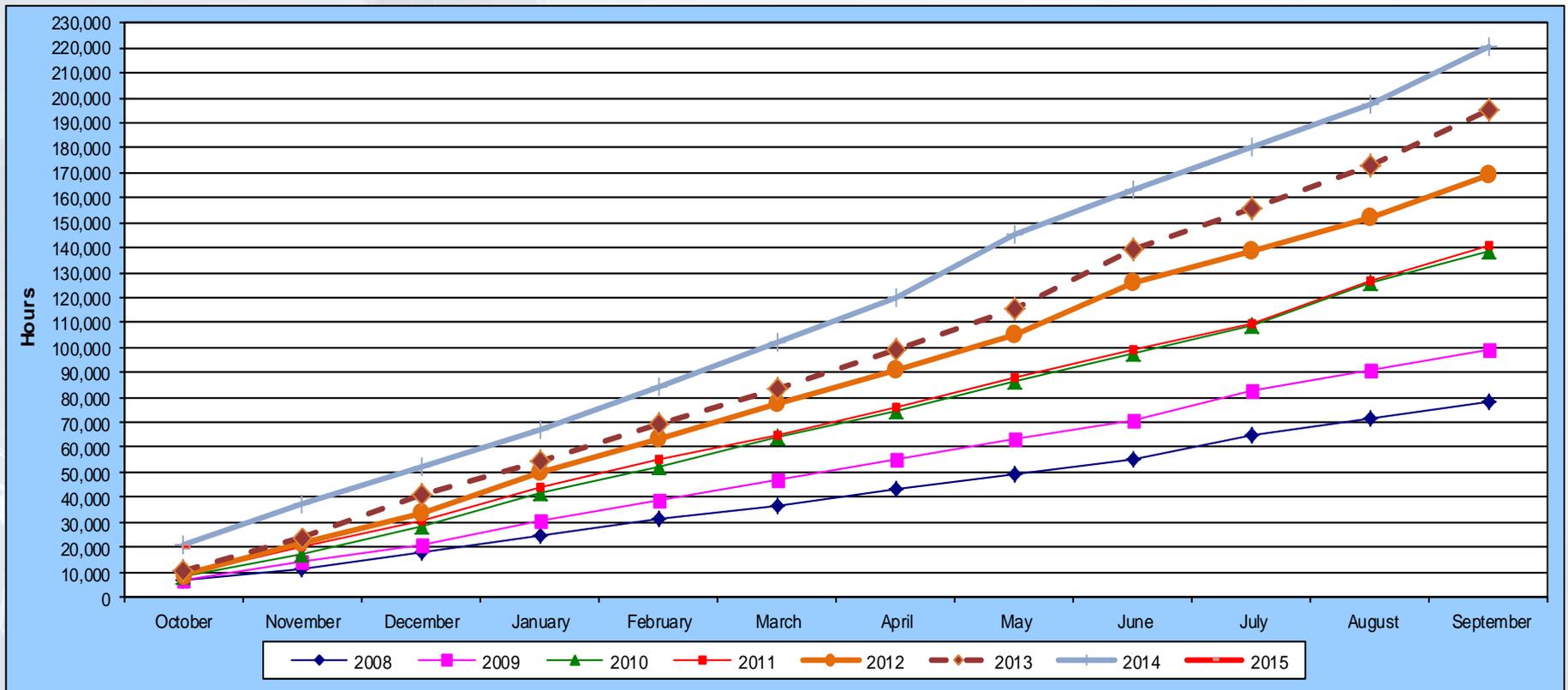


Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2015 (October)





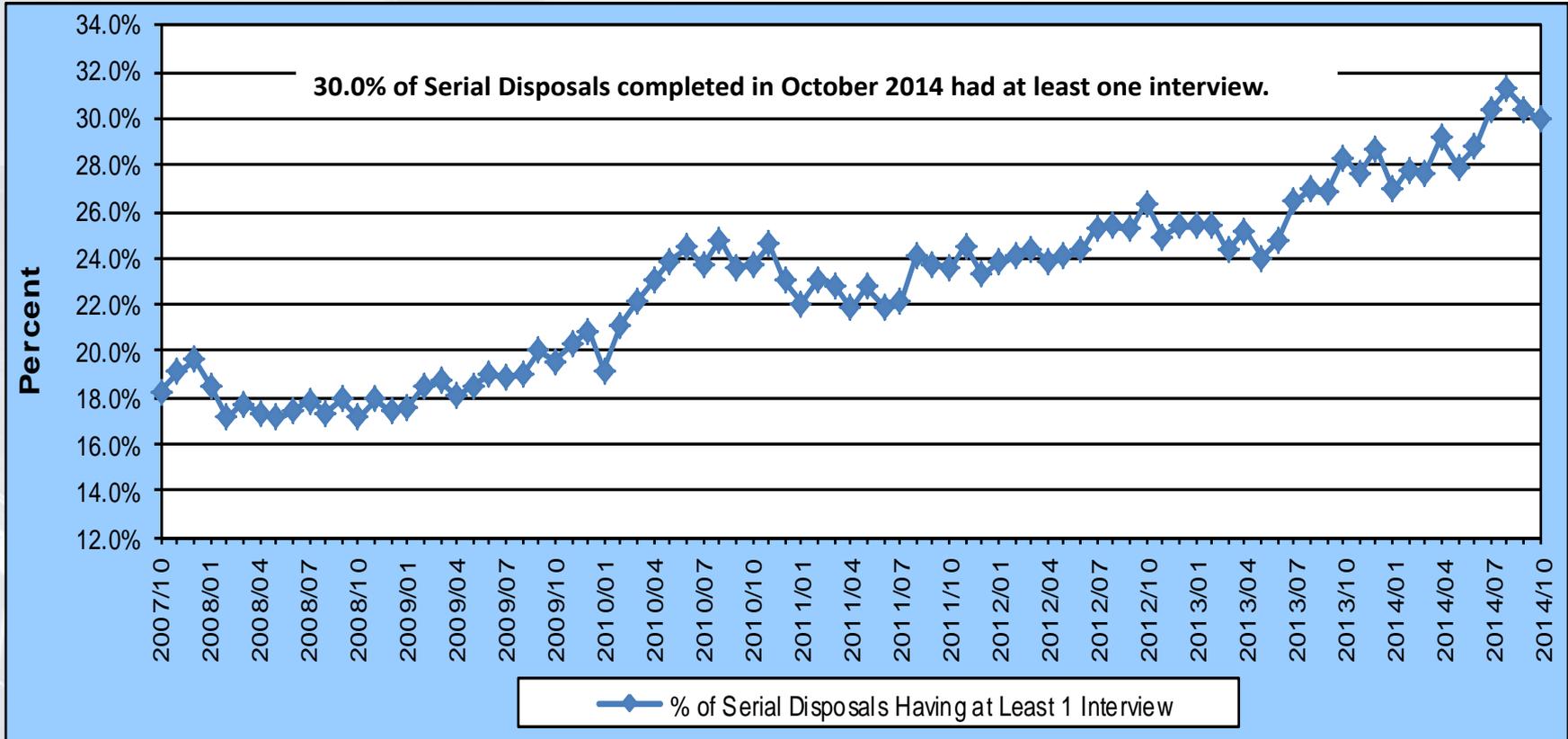
Interview Time FY 2008 – FY 2015 (through October)



21,146 hours in October FY 2014, compared with 19,818 hours at the equivalent time in FY 2015.



Percent of Serial Disposals Having at Least One Interview by Month FY 2008 – FY 2015 (through October)





Track One Statistics (through November 10)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
FY 15	832	181											1,013

Final Rejections	Number of Abandonments	Number of Allowances	Notice of Appeals Filed
7,063	380	7,232	951

Average Days From Petition Grant to Allowance	Average Days From Petition Grant to Final Disposition
153.6	190.5

Percent of Small and Micro Entity Applications	*Number of Micro Entity Applications	Track One Requests Filed After an RCE
50.9%	879	1,681

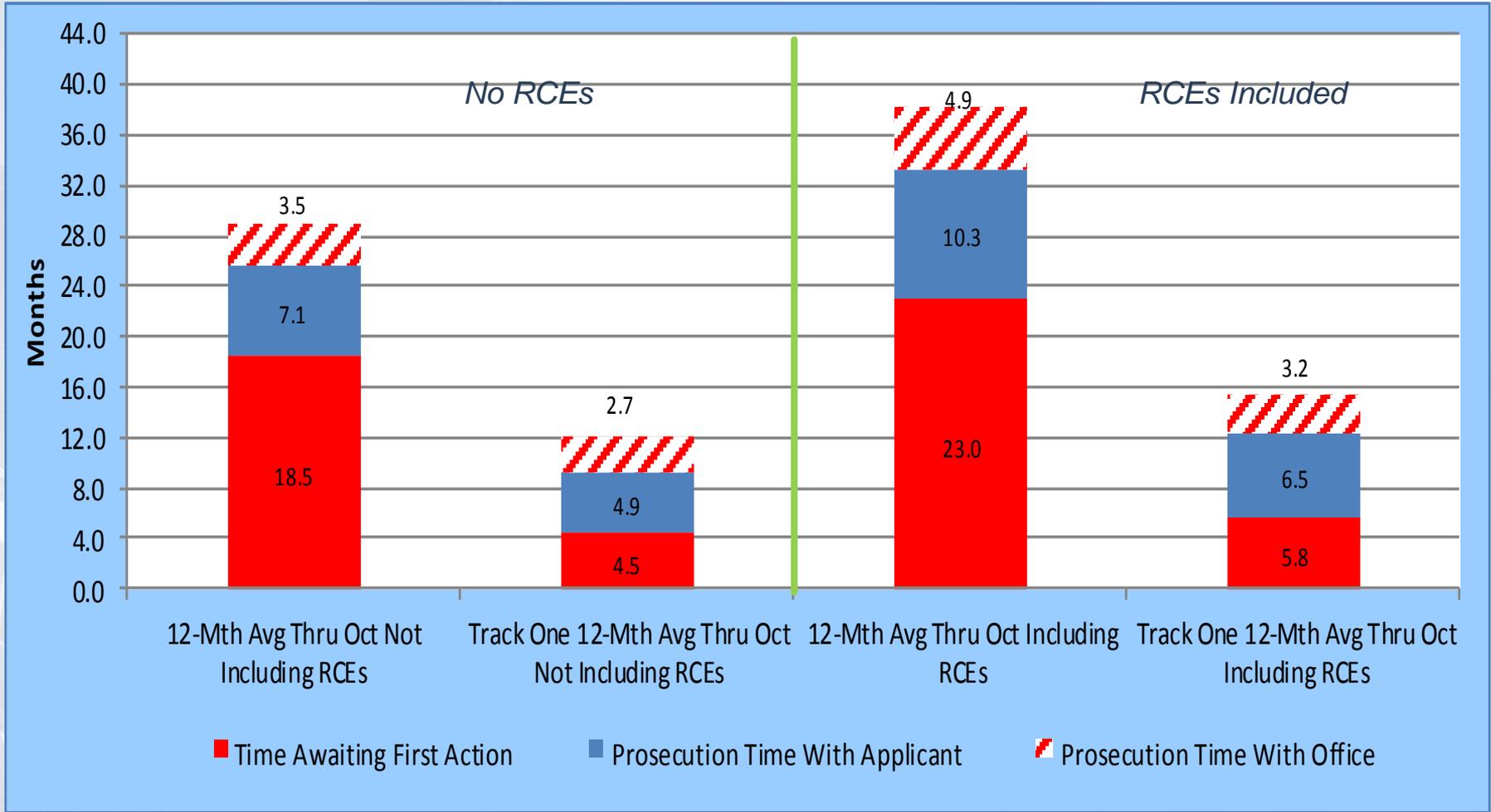
*Micro entity status was implemented March 19, 2013

97.8% of Track One applications have received a final disposition within 12-months.

First Patent Issued on January 10, 2012 from a September 30, 2011 Prioritized Examination filing

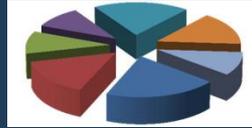


Office Time/Applicant Time – Total Pendency Vs. Track One Total Pendency (12-month Rolling Average through October)





Quality Composite



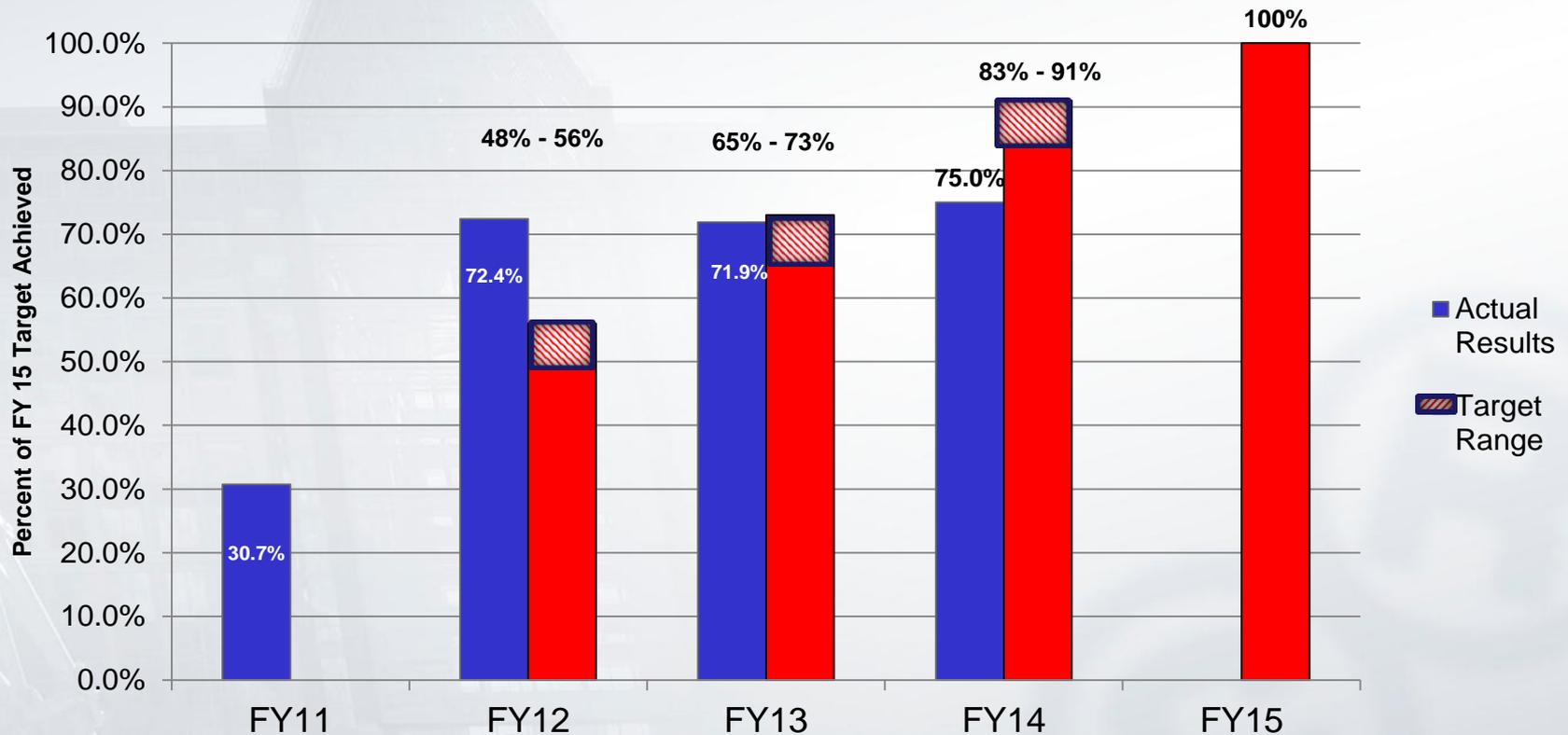
Reporting Period	USPTO Patent Quality Composite Metrics							
	Final Disposition Compliance Rate	In-Process Compliance Rate	FAOM Search Review	Complete FAOM Review	Quality Index Reporting	External Quality Survey	Internal Quality Survey	Quality Composite Score
	Baseline (FY09) = 94.4% Stretch Goal (FY15) = 97.0%	Baseline (FY09) = 93.6% Stretch Goal (FY15) = 97.0%	Baseline (FY11) = 94.6% Stretch Goal (FY15) = 97.0%	Baseline (FY11) = 90.9% Stretch Goal (FY15) = 95.0%	Baseline (FY09) = 85.9% Stretch Goal (FY15) = 94.0%	Baseline (FY09) = 1.2 Stretch Goal (FY15) = 5.0	Baseline (FY11) = 4.3 Stretch Goal (FY15) = 6.0	Stretch Goal (FY15) = 100%
FY14Q4	96.9%	95.5%	97.2%	90.6%	91.2%	6.4	6.1	75.0%
FY14Q3	96.6%	95.5%	97.4%	90.5%	91.3%	--	--	72.7%
FY14Q2	96.6%	95.9%	97.4%	91.1%	91.3%	5.7	6.5	75.9%
FY14Q1	96.2%	96.1%	97.9%	91.6%	91.1%	--	--	74.4%
FY13Q4	96.2%	96.3%	97.6%	90.5%	90.8%	5.8	7.4	71.9%
FY13Q3	96.2%	96.2%	97.4%	90.6%	90.2%	--	--	64.9%
FY13Q2	96.5%	95.6%	97.1%	90.5%	89.9%	6.4	5.1	63.6%
FY13Q1	96.6%	95.9%	96.8%	90.6%	89.8%	--	--	72.0%
EOY12	96.6%	95.9%	97.2%	90.9%	89.8%	5.2	9.4	72.4%
FY12Q3	96.6%	96.1%	96.6%	90.8%	90.1%	--	--	66.1%
FY12Q2	96.3%	96.0%	97.0%	91.3%	89.6%	5.0	5.1	65.5%
FY12Q1	95.4%	95.2%	95.7%	90.8%	89.5%	--	--	35.2%
EOY11	95.4%	95.2%	94.6%	90.9%	89.5%	3.0	4.3	0.0%
EOY10	96.3%	94.9%	N/A	N/A	89.3%	3.6	N/A	N/A
EOY09 (Baseline)	94.4%	93.6%			85.9%	1.2		
Composite Weight	20%	15%	10%	10%	20%	15%	10%	

Composite components are based on 12-month rolling averages collected on a quarterly basis, with the exception of the two survey items which are collected on a semi-annual basis and represent a snapshot of that particular period only.



Quality Composite FY 2011 – FY 2014

Monitoring Continuous Improvement



FY 2011 was baseline year.



Questions and Comments?

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