

# Patent Public Advisory Committee Quarterly Meeting

## USPTO Budget Update



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# FY 2014 Patent Fee Summary

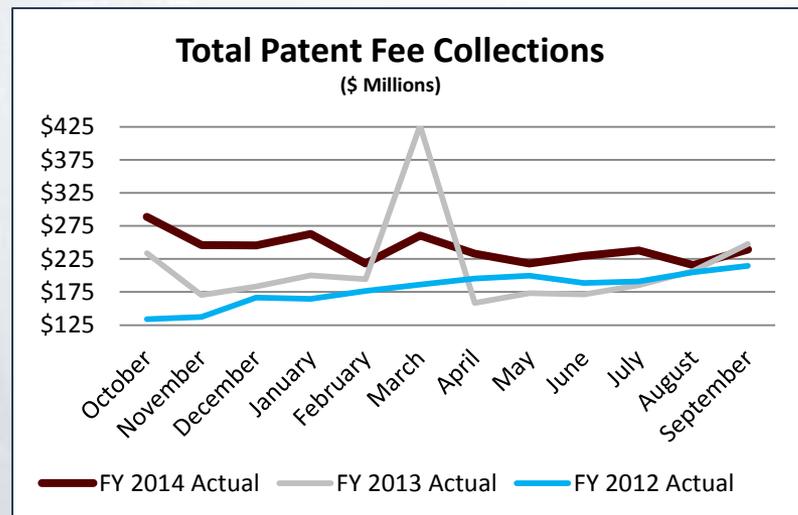
USPTO collected a total of \$3,172.2M.

⇒ Patents: \$2,896.5M, Trademarks: \$275.7M

Patents collections are:

⇒ \$128.7M, or 5% above the appropriation level

⇒ \$111.3M, or 4%, below the working estimate



\*The working estimate assumed a patent filings growth rate of 6.5%. The actual growth rate was 2.8%.



# FY 2014 Projected End-of-Year Funding

⇒ \$148.2 million was deposited in the Patent and Trademark Fee Reserve Fund (PTFRF).

	PATENTS	TRADEMARKS	TOTAL
Appropriated Fee Levels	\$2,767,834,000	\$256,166,000	\$3,024,000,000
Actual Fee Collections	2,896,526,079	275,709,759	3,172,235,838
<b>Resources in Excess of Current Spending Authority (PTFRF)*</b>	<b>\$128,692,079</b>	<b>\$19,543,759</b>	<b>\$148,235,838</b>
<b>Actual Resources Summary</b>			
Actual Fee Collections	2,896,526,079	275,709,759	3,172,235,838
Actual Prior Year Carryover	287,210,610	155,080,204	442,290,814
Actual Other Income	29,535,371	6,351,805	35,887,176
OIG Transfer	-1,838,279	-161,721	-2,000,000
<i>Total Year-to-Date Available Income</i>	<i>3,211,433,781</i>	<i>436,980,047</i>	<i>3,648,413,828</i>
<i>Total Year-to-Date Spending</i>	<i>2,717,722,932</i>	<i>279,734,071</i>	<i>2,997,457,003</i>
<b>Available Year-to-Date Resources</b>	<b>\$493,710,849</b>	<b>\$157,245,976</b>	<b>\$650,956,825</b>

\* The split of Patent and Trademark resource levels within the PTFRF is the difference between the actual fee collections and the USPTO's calculated split of appropriated fees.

\*\* Available resources includes the fees deposited in the PTFRF to be transferred to the USPTO's Salaries and Expenses account.



## FY 2015 Status

- ⇒ The current Continuing Resolution (CR) is through December 11, 2014.
  - The USPTO is held at the \$3,024.0M level (plus carryover and other income).
  - The CR bill reduces the rate of operations by 0.0554%, or approximately \$.3M.
  - All contracts will be funded as planned. There are no hiring restrictions.
- ⇒ At the end of October 2014, the USPTO submitted the reprogramming notification to transfer funds from the PTFRF to the Salaries and Expenses account. We expect the transfer to be complete in November.



# FY 2016 Budget Planning

- ⇒ USPTO submitted the FY 2016 OMB Budget on September 8, 2014.
  - Passback on the budget is anticipated in early December 2014.
- ⇒ PACs, DoC, and OMB will receive a draft of the FY 2016 President's Budget in January 2015
  - OMB will transmit the final budget to Congress on February 2, 2015.



# Questions and Comments?

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