

Patent Public Advisory Committee Quarterly Meeting

Patent Operations



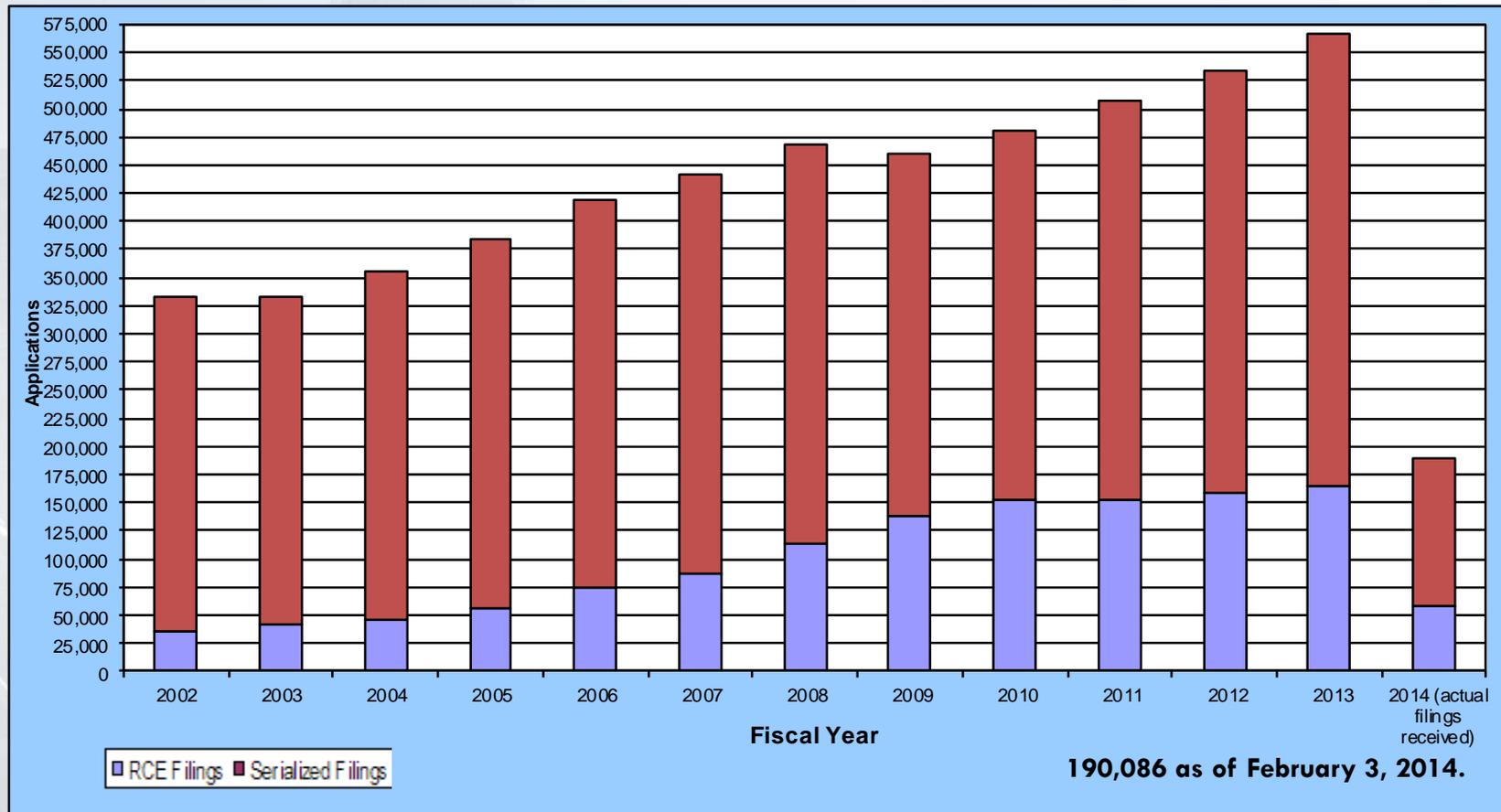
James Dwyer

Assistant Deputy Commissioner for Patent Operations

February 12, 2014



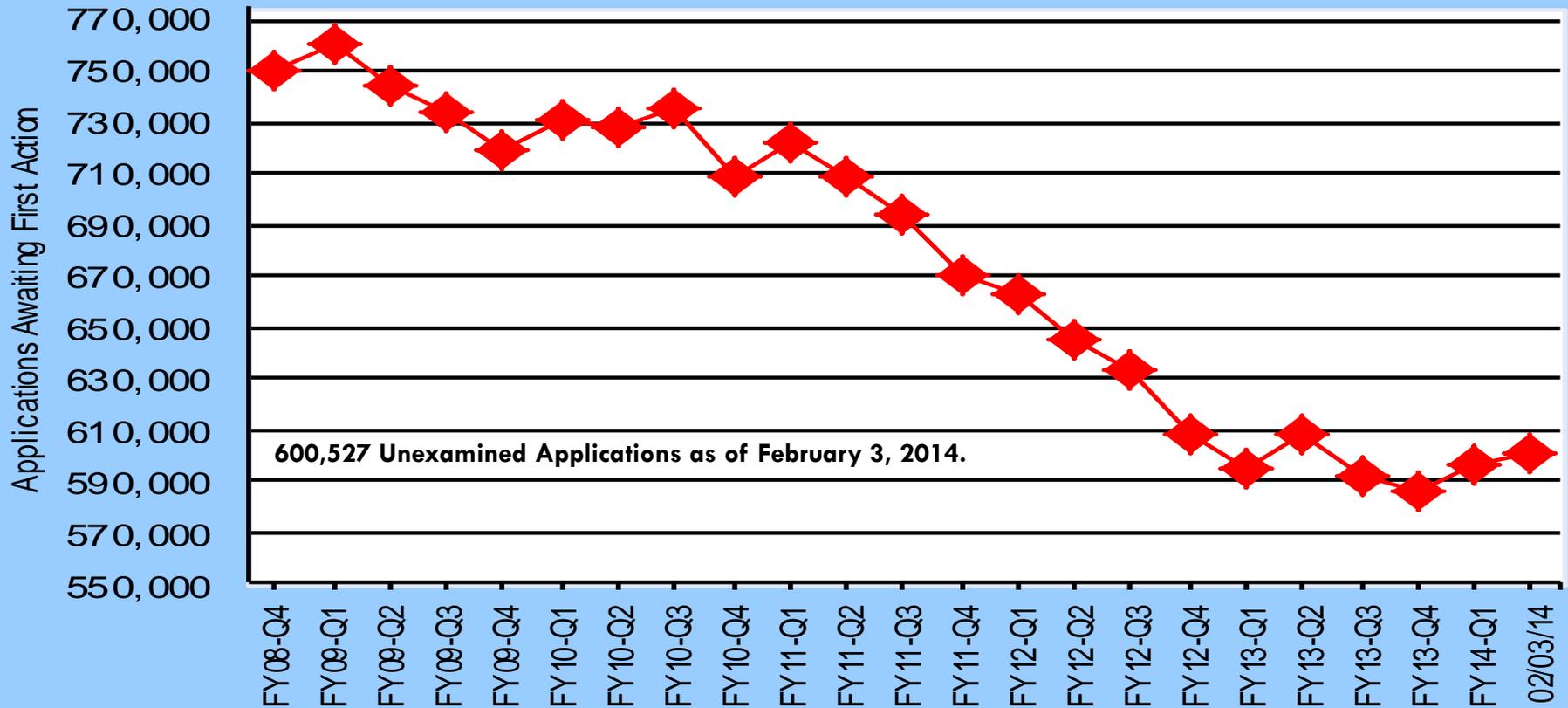
Total Serialized and RCE Filings FY 2002 – FY 2014 (through February 3)



FY 2014 total UPR filing growth rate is projected to be 6.5% over FY 2013.



Unexamined Patent Application Backlog FY 2009 – FY 2014 (through February 3)

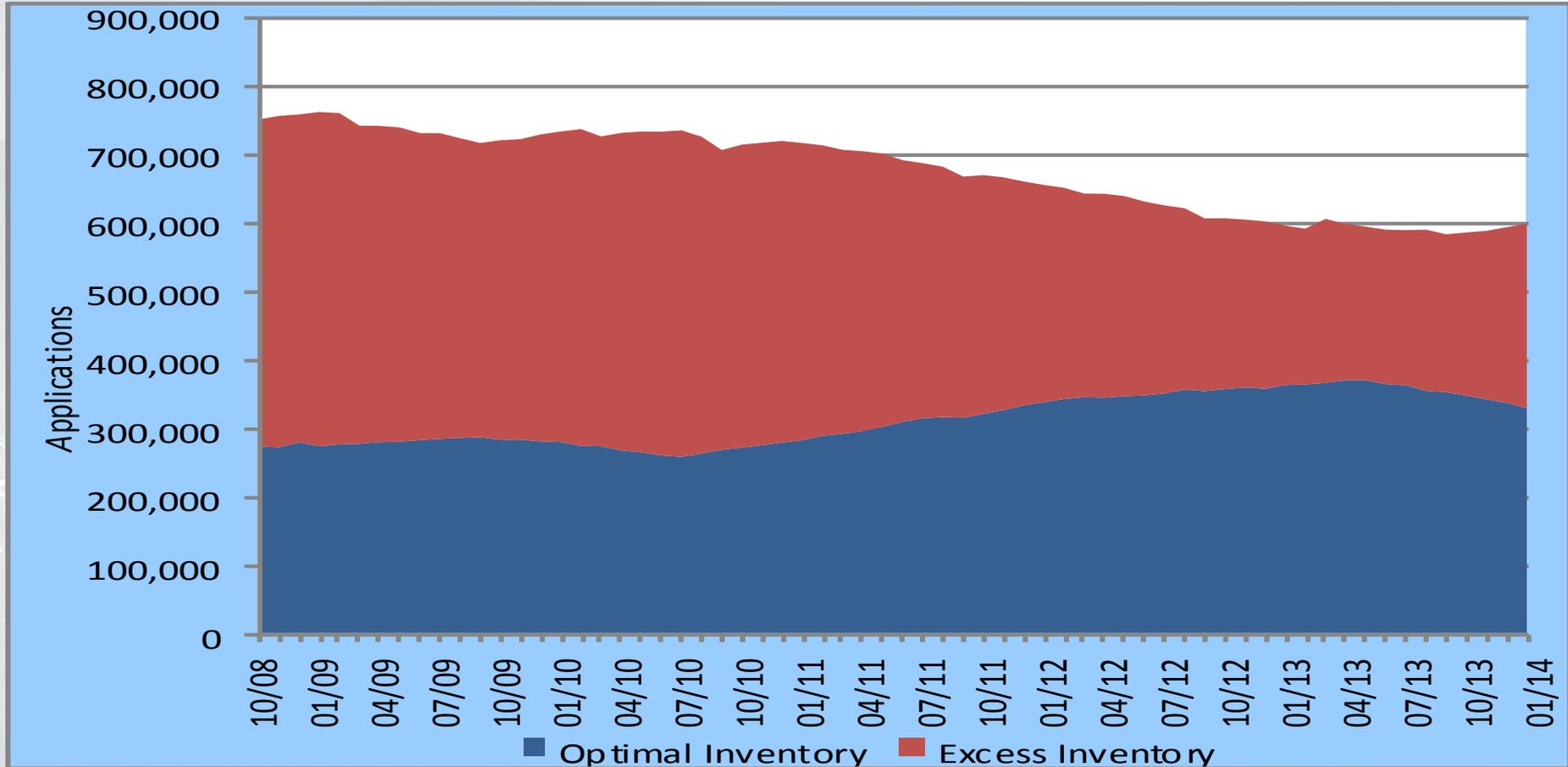




Excess and Optimal

Unexamined Patent Application Inventory

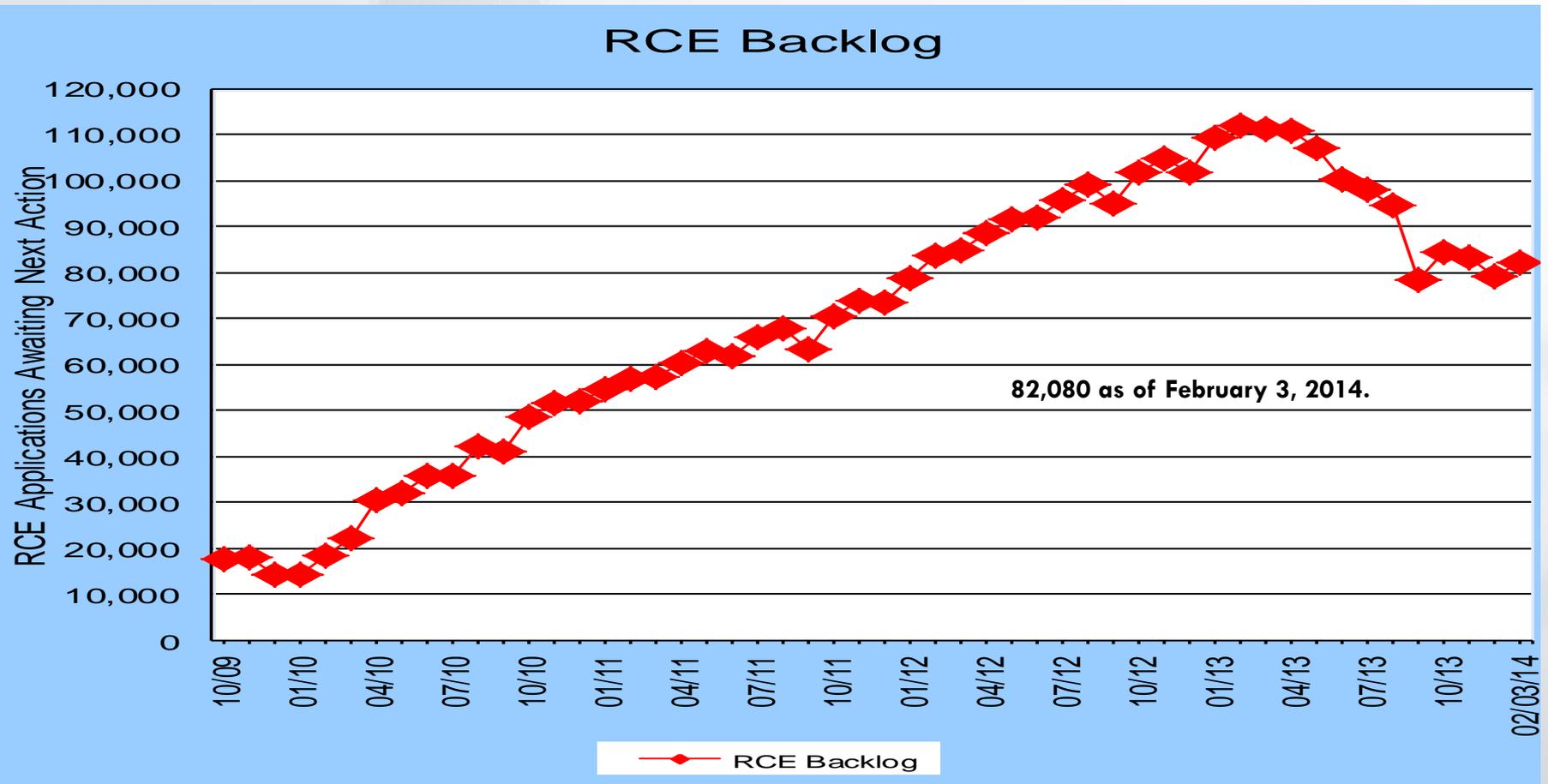
FY 2009 – FY 2014 (through January)





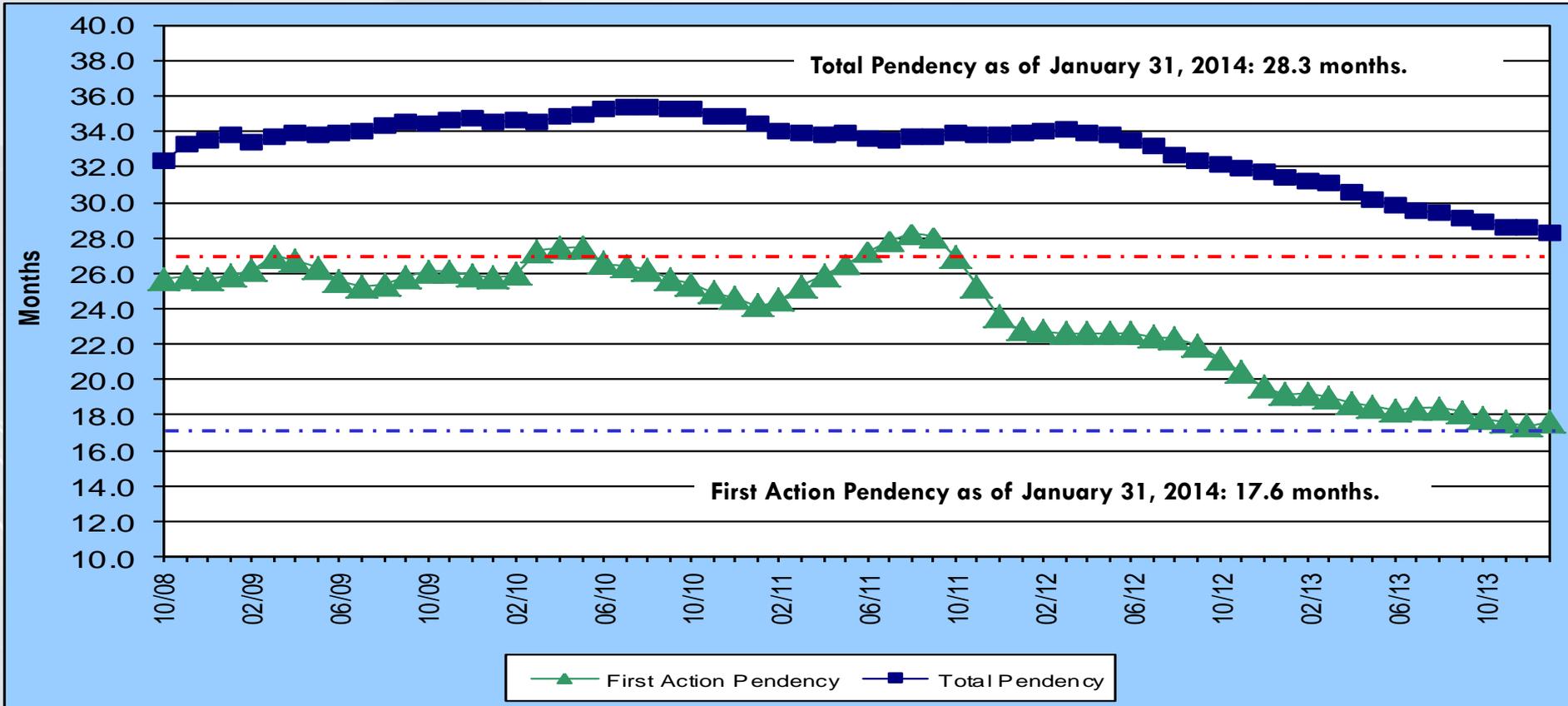
RCE Backlog

FY 2010 – FY 2014 (through February 3)





First Action Pendency and Total Pendency FY 2009 – FY 2014 (through January)



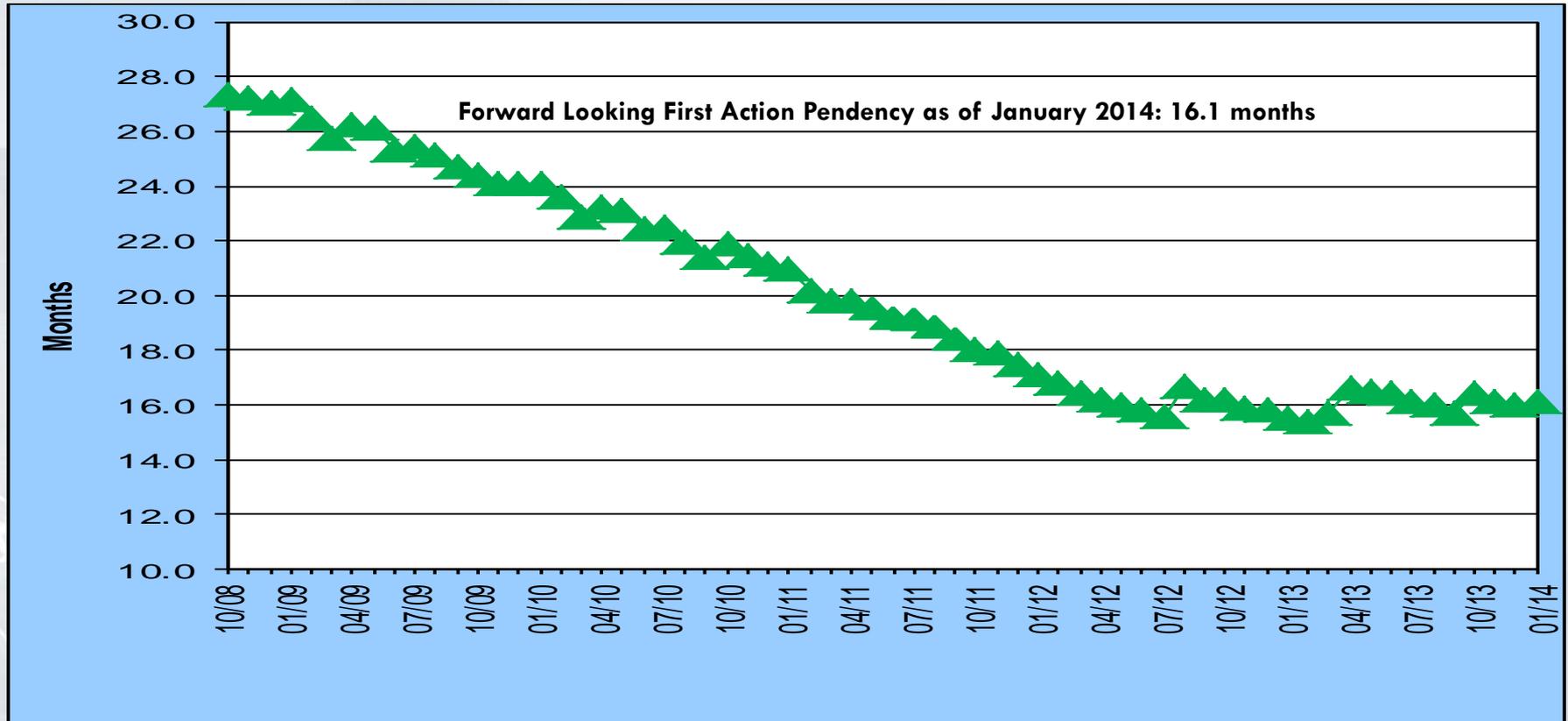
- - - - - Average Total Pendency FY 2014 Target (2015 President's Budget): 26.7 months

- - - - - Average First Action Pendency FY 2014 Target (2015 President's Budget): 17.4 months

CPC training/transition time and the change to the RCE count scheme will effect the decrease in First Action pendency in FY 2014



Forward Looking First Action Pendency FY 2009 – FY 2014 (through January)



Forward Looking Pendency represents an estimate of the average number of months it would take to complete a first Office action under current and projected workload and resource levels for an application filed at the given date.



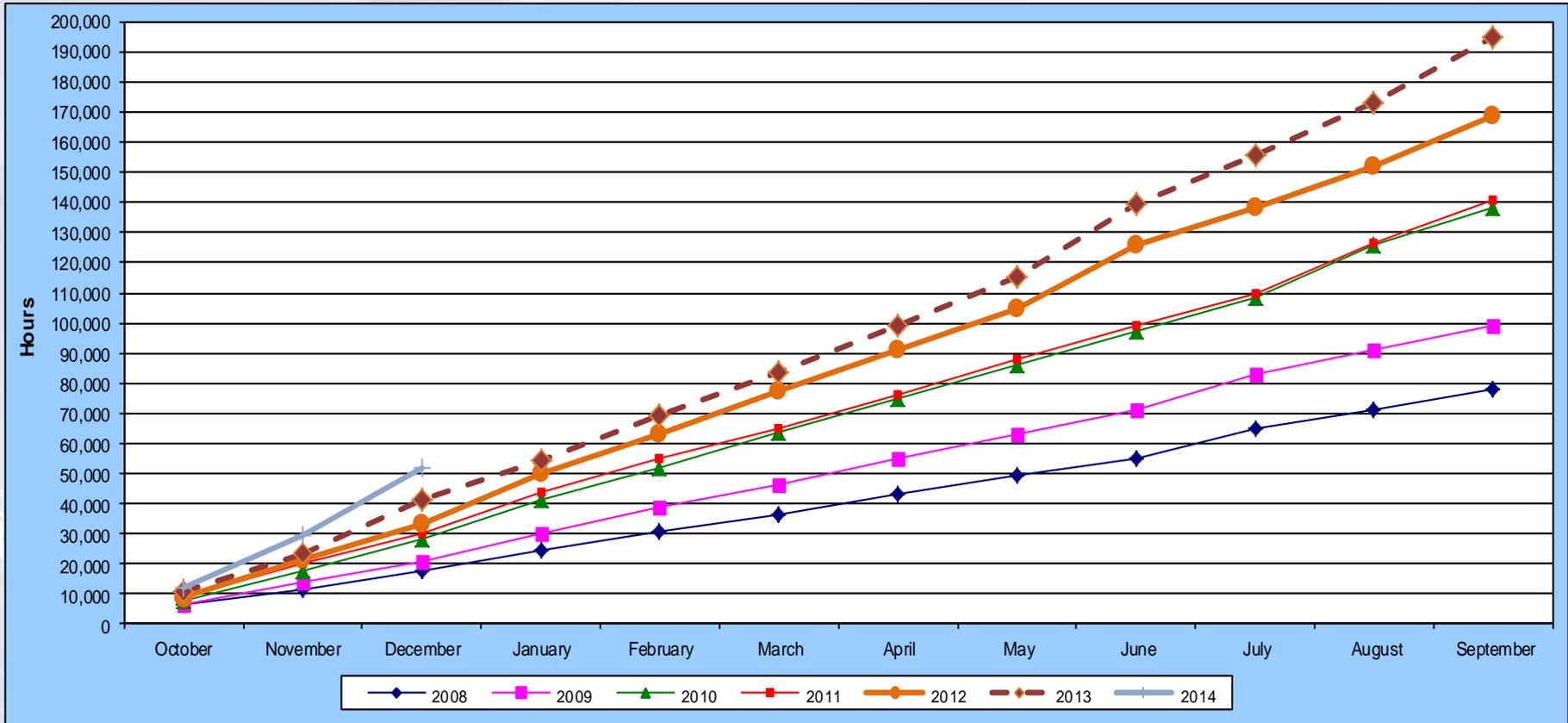
12-Month Rolling Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2014 (through December)



--- The line represents when 12-month rolling average data begins.



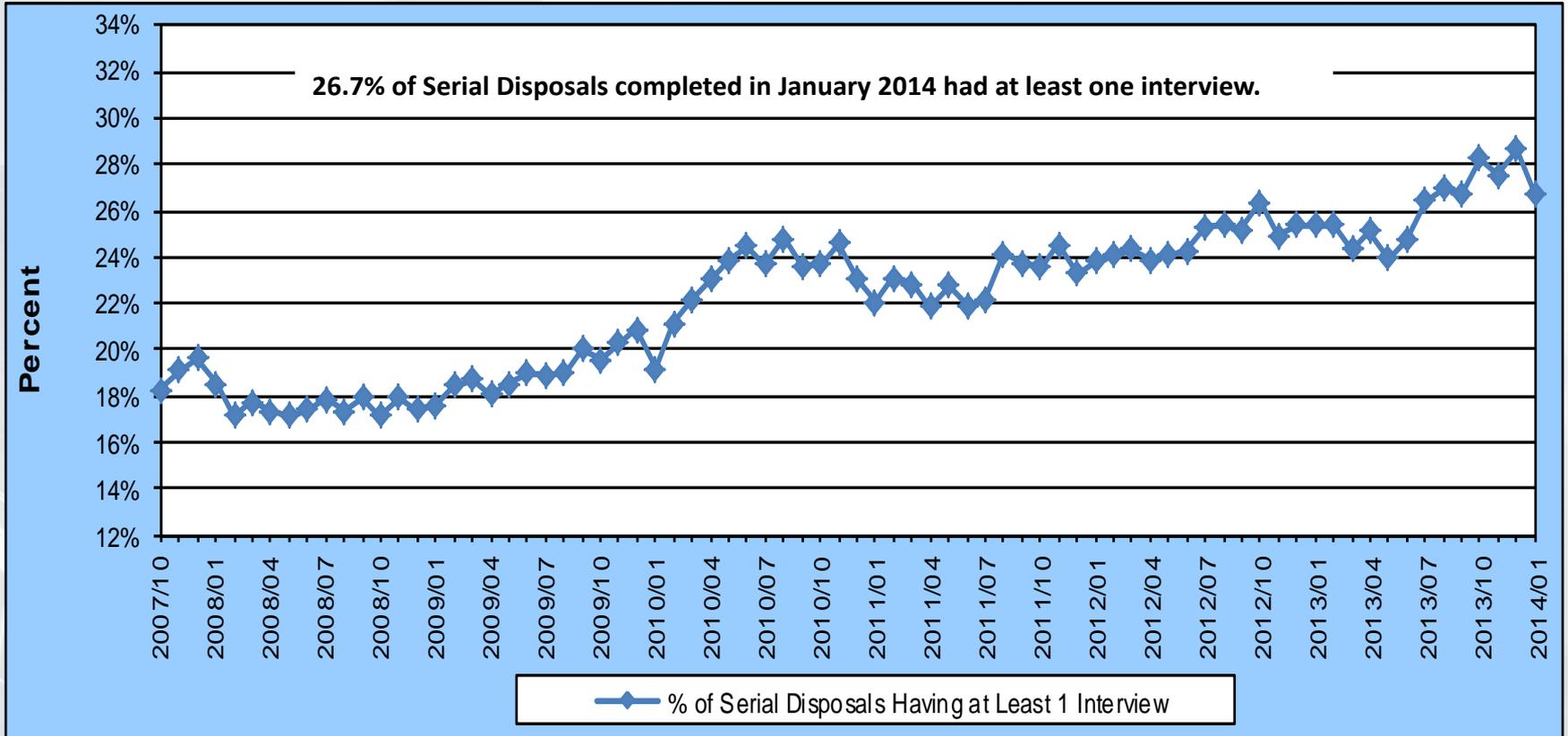
Interview Time FY 2008 – FY 2014 (through December)



52,105 hours though December FY 2014, compared with 41,177 hours in December FY 2013.



Percent of Serial Disposals Having at Least One Interview by Month FY 2008 – FY 2014 (through January)





Track One Statistics (through January)

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	716	571	729	536									2,552

Final Rejections	Number of Abandonments	Number of Allowances	Notice of Appeals Filed
4,405	198	4,301	509

Average Days From Petition Grant to Allowance	Average Days From Petition Grant to Final Disposition
155.4	189.5

Percent of Small and Micro Entity Applications	*Number of Micro Entity Applications	Track One Requests Filed After an RCE
48.6%	339	1,078

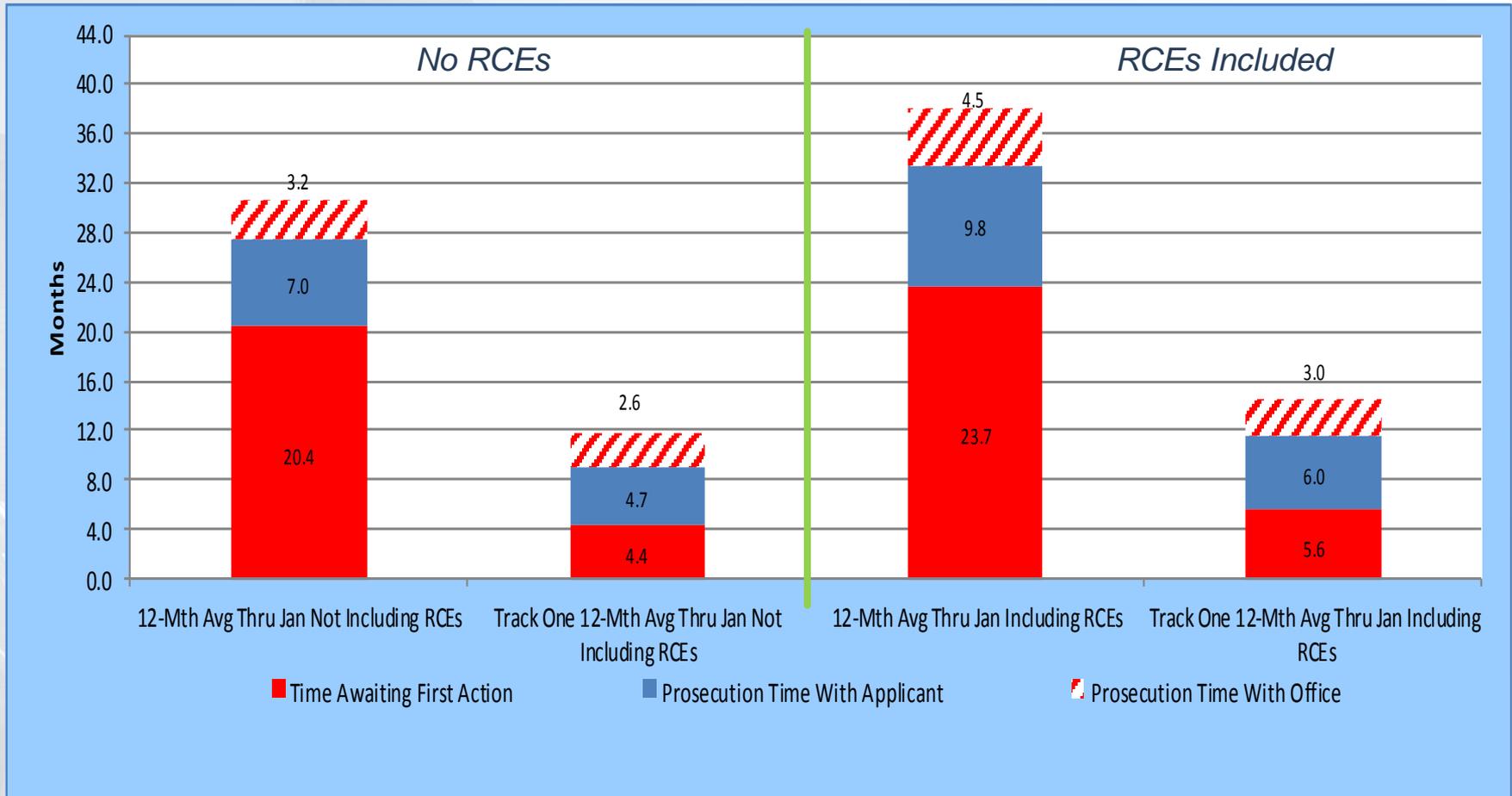
*Micro entity status was implemented March 19, 2013

98.2% of Track One applications have received a final disposition within 12-months.

First Patent Issued on January 10, 2012 from a September 30, 2011 Prioritized Examination filing



Office Time/Applicant Time – Total Pendency Vs. Track One Total Pendency (12-month Rolling Average through January)





Quality Composite



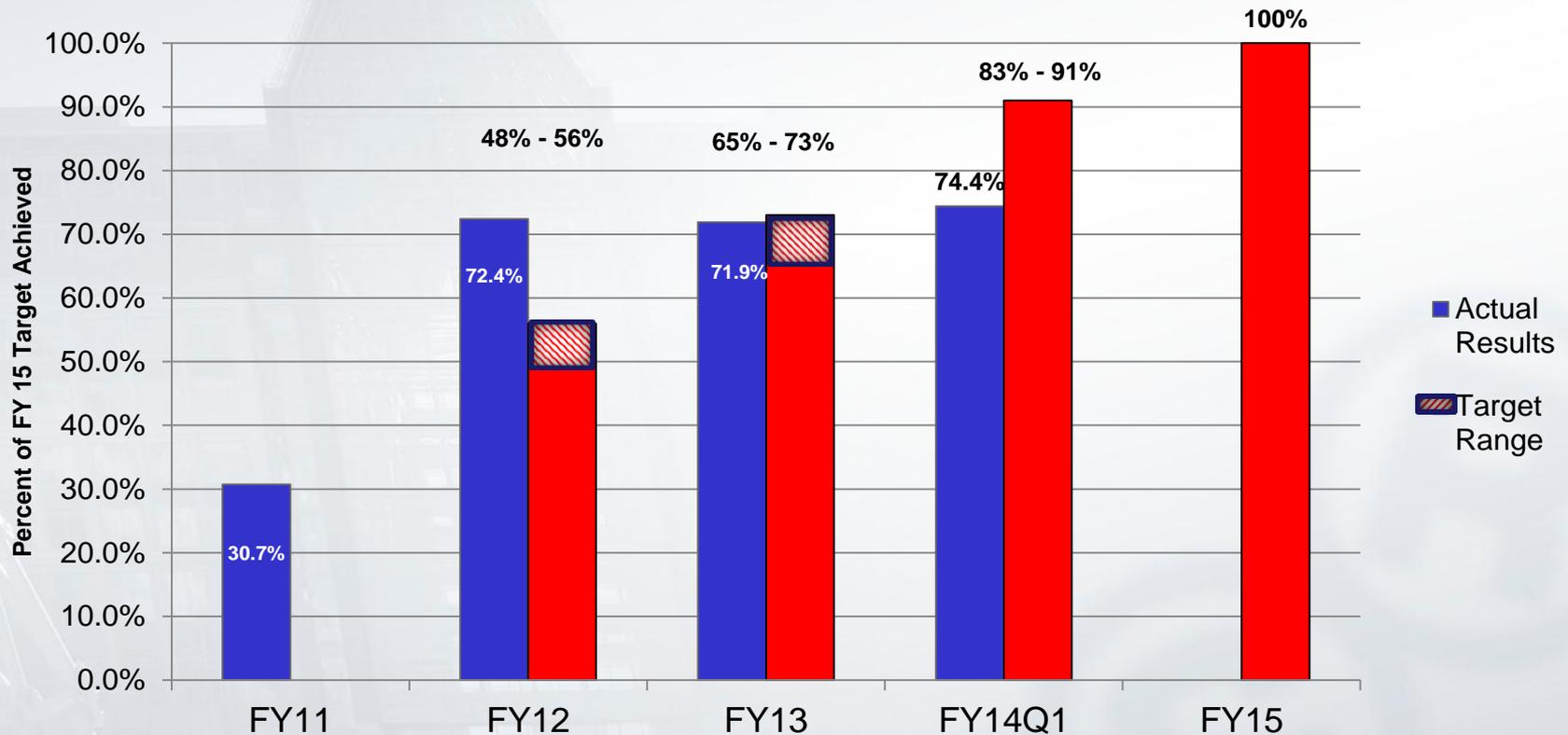
Reporting Period	USPTO Patent Quality Composite Metrics							
	Final Disposition Compliance Rate	In-Process Compliance Rate	FAOM Search Review	Complete FAOM Review	Quality Index Reporting	External Quality Survey	Internal Quality Survey	Quality Composite Score
	Baseline (FY09) = 94.4% Stretch Goal (FY15) = 97.0%	Baseline (FY09) = 93.6% Stretch Goal (FY15) = 97.0%	Baseline (FY11) = 94.6% Stretch Goal (FY15) = 97.0%	Baseline (FY11) = 90.9% Stretch Goal (FY15) = 97.0%	Baseline (FY09) = 85.9% Stretch Goal (FY15) = 94.0%	Baseline (FY09) = 1.2 Stretch Goal (FY15) = 5.0	Baseline (FY11) = 4.3 Stretch Goal (FY15) = 6.0	Stretch Goal (FY15) = 100%
FY14Q1	96.2%	96.1%	97.9%	91.6%	91.1%	--	--	74.4%
FY13Q4	96.2%	96.3%	97.6%	90.5%	90.8%	5.8	7.4	71.9%
FY13Q3	96.2%	96.2%	97.4%	90.6%	90.2%	--	--	64.9%
FY13Q2	96.5%	95.6%	97.1%	90.5%	89.9%	6.4	5.1	63.6%
FY13Q1	96.6%	95.9%	96.8%	90.6%	89.8%	--	--	72.0%
EOY12	96.6%	95.9%	97.2%	90.9%	89.8%	5.2	9.4	72.4%
FY12Q3	96.6%	96.1%	96.6%	90.8%	90.1%	--	--	66.1%
FY12Q2	96.3%	96.0%	97.0%	91.3%	89.6%	5.0	5.1	65.5%
FY12Q1	95.4%	95.2%	95.7%	90.8%	89.5%	--	--	35.2%
EOY11	95.4%	95.2%	94.6%	90.9%	89.5%	3.0	4.3	0.0%
EOY10	96.3%	94.9%	N/A	N/A	89.3%	3.6	N/A	N/A
EOY09 (Baseline)	94.4%	93.6%			85.9%	1.2		
Composite Weight	20%	15%	10%	10%	20%	15%	10%	

Composite components are based on 12-month rolling averages collected on a quarterly basis, with the exception of the two survey items which are collected on a semi-annual basis and represent a snapshot of that particular period only.



Quality Composite FY 2011 – FY 2014 Q1

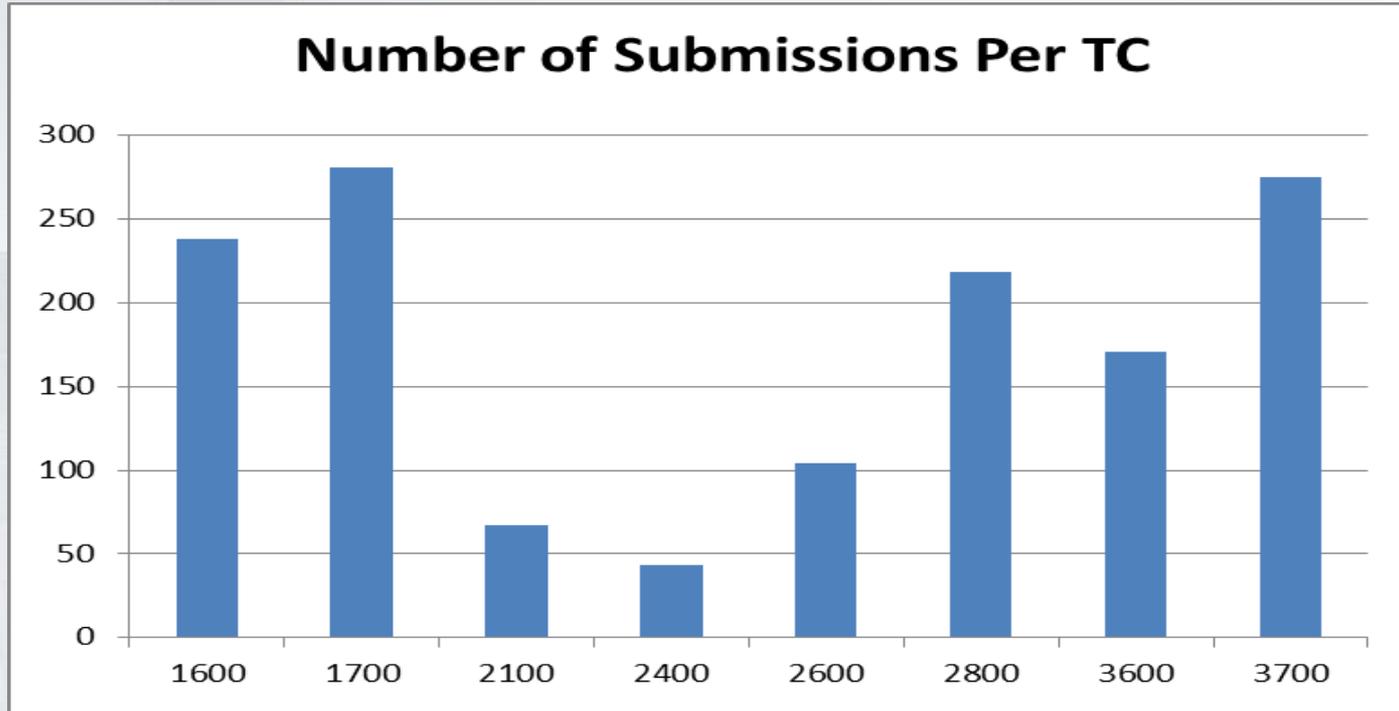
Monitoring Continuous Improvement



FY 2011 was baseline year.



Third Party Submission (September 16, 2012 - January 24, 2014)



Total 3rd Party Submissions

1,429

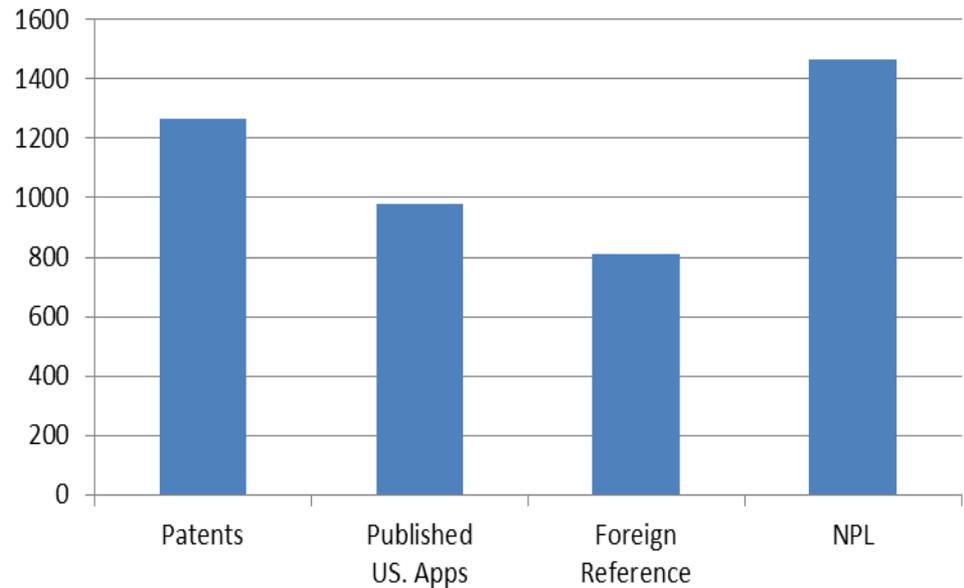


Third Party Submission (September 16, 2012 - January 24, 2014)

Total Documents

Patents	1,264
Published US Apps	978
Foreign Reference	811
NPL	1,467
Total Documents	4,520

Total Documents Breakdown





Questions and Comments?

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