



Reexaminations - FY 2024

| | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|--|------------------------|------------------------|------------------------|------------------------|
| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) | 20 | | | |
| Awaiting Order/Denial | 53 | | | |
| Between Order and First Action on the Merits | 99 | | | |
| Between FAOM and NIRC | 339 | | | |
| Between NIRC and Publication of Certificate | 34 | | | |
| Total | 545 | | | |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 109 | | | |
| Ex Parte (EP) | 108 | | | |
| Inter Partes (IP) | NA | | | |
| Supplemental Examinations (SE) | 1 | | | |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 2 | | | |
| SE SNQ-Yes | 1 | | | |
| SE SNQ-No | 1 | | | |
| Orders Granting Reexamination | 95 | | | |
| EP Orders | 94 | | | |
| IP Orders | NA | | | |
| SE Orders under 35 USC 257 | 1 | | | |
| Denials | 5 | | | |
| EP Denials | 5 | | | |
| IP Denials | NA | | | |
| First Action on the Merits (FAOM) | 46 | | | |
| EP FAOMs | 44 | | | |
| IP FAOMs | NA | | | |
| SE FAOMs pursuant to 35 USC 257 | 2 | | | |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 76 | | | |
| EP NIRCs | 70 | | | |
| IP NIRCs | 5 | | | |
| SE NIRCs under 35 USC 257 | 1 | | | |
| Reexamination Certificates | 82 | | | |
| EP Certificates | 68 | | | |
| IP Certificates | 2 | | | |
| SE Certificates pursuant to 35 USC 257 | 12 | | | |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.00 | | | |
| Average Months to SE SNQ-Yes | 1.00 | | | |
| Average Months to SE SNQ-No | 1.00 | | | |
| Average Months from Filing to Order | 1.63 | | | |
| Average Months to EP Order | 1.64 | | | |
| Average Months to IP Order | NA | | | |
| Average Months to SE Order pursuant to 35 USC 257 | 1.00 | | | |
| Average Months from Filing to Denial | 2.00 | | | |
| Average Months to EP Denial | 2.00 | | | |
| Average Months to IP Denial | NA | | | |
| Average Months from Filing to FAOM | 6.81 | | | |
| Average Months to EP FAOM | 6.82 | | | |
| Average Months to IP FAOM | NA | | | |
| Average Months to SE FAOM pursuant to 35 USC 257 | 6.50 | | | |
| Average Months from Filing to NIRC | 26.55 | | | |
| Average Months to EP NIRC | 18.78 | | | |
| Average Months to IP NIRC | 139.40 | | | |
| Average Months to SE NIRC pursuant to 35 USC 257 | 6.00 | | | |
| Average Months from Filing to Reexam Certificate | 22.93 | | | |
| Average Months to EP Certificate | 19.34 | | | |
| Average Months to IP Certificate | 147.00 | | | |
| Average Months to SE Certificate pursuant to 35 USC 257 | 22.58 | | | |

Notes:

- 1 Source: Filings from ex parte, inter partes and supplemental historical statistics.
- 2 Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.
- 3 Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.
- 4 Inter Partes reexaminations were no longer available after Sept. 16, 2012
- 5 This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.
- 6 NIRCs mailed at the end of one month will necessarily publish with a certificate the next month (or later), the average time to RX certificate may be more or less than the time to RX NIRC for any particular time period measured
- 7 Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.
- 8 a typographical error has been corrected in the reference the statue 35 USC 257.
- 9 The last section has been updated to clarify that these numbers reference Reexamination Certificates

Reexaminations - FY 2023



| | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|--|------------------------|------------------------|------------------------|------------------------|
| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) | 6 | 5 | 17 | 8 |
| Awaiting Order/Denial | 45 | 35 | 32 | 45 |
| Between Order and First Action on the Merits | 94 | 79 | 80 | 62 |
| Between FAOM and NIRC | 380 | 399 | 387 | 361 |
| Between NIRC and Publication of Certificate | 33 | 37 | 42 | 43 |
| Total | 558 | 555 | 558 | 519 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 83 | 153 | 230 | 294 |
| Ex Parte (EP) | 76 | 140 | 210 | 274 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 7 | 13 | 20 | 20 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 15 | 22 | 31 | 33 |
| SE SNQ-Yes | 4 | 8 | 14 | 15 |
| SE SNQ-No | 11 | 14 | 17 | 18 |
| Orders Granting Reexamination | 76 | 151 | 222 | 281 |
| EP Orders | 74 | 144 | 211 | 267 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 35 USC 257 | 2 | 7 | 11 | 14 |
| Denials | 3 | 6 | 8 | 15 |
| EP Denials | 3 | 6 | 8 | 15 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 61 | 139 | 213 | 286 |
| EP FAOMs | 60 | 135 | 204 | 273 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 35 USC 257 | 1 | 4 | 9 | 13 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 58 | 128 | 213 | 306 |
| EP NIRCs | 51 | 118 | 198 | 276 |
| IP NIRCs | 1 | 4 | 5 | 5 |
| SE NIRCs under 35 USC 257 | 6 | 6 | 10 | 25 |
| Reexamination Certificates | 54 | 120 | 199 | 298 |
| EP Certificates | 52 | 112 | 185 | 278 |
| IP Certificates | 2 | 4 | 6 | 6 |
| SE Certificates pursuant to 35 USC 257 | 0 | 4 | 8 | 14 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.67 | 1.71 | 1.11 | 1.00 |
| Average Months to SE SNQ-Yes | 1.25 | 2.00 | 1.00 | 1.00 |
| Average Months to SE SNQ-No | 1.82 | 1.33 | 1.33 | 1.00 |
| Average Months from Filing to Order | 1.61 | 1.83 | 1.76 | 1.59 |
| Average Months to EP Order | 1.62 | 1.86 | 1.75 | 1.57 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 35 USC 257 | 1.50 | 1.40 | 2.00 | 2.00 |
| Average Months from Filing to Denial | 1.25 | 1.00 | 1.50 | 1.29 |
| Average Months to EP Denial | 1.25 | 1.00 | 1.50 | 1.29 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 5.64 | 6.73 | 6.05 | 7.35 |
| Average Months to EP FAOM | 5.69 | 6.86 | 6.29 | 7.60 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 35 USC 257 | 3.00 | 3.33 | 2.80 | 3.00 |
| Average Months from Filing to NIRC | 13.48 | 20.51 | 16.50 | 17.67 |
| Average Months to EP NIRC | 11.78 | 15.32 | 15.20 | 17.28 |
| Average Months to IP NIRC | 146.00 | 136.33 | 131.00 | NA |
| Average Months to SE NIRC pursuant to 35 USC 257 | 5.83 | NA | 14.00 | 19.71 |
| Average Months from Filing to Reexam Certificate | 18.34 | 19.17 | 19.61 | 18.51 |
| Average Months to EP Certificate | 14.32 | 15.47 | 16.92 | 18.65 |
| Average Months to IP Certificate | 123.00 | 145.50 | 135.00 | NA |
| Average Months to SE Certificate pursuant to 35 USC 257 | NA | 11.50 | 11.00 | 16.32 |

Notes:

- 1 Source: Filings from ex parte, inter partes and supplemental historical statistics.
- 2 Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.
- 3 Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.
- 4 Inter Partes reexaminations were no longer available after Sept. 16, 2012
- 5 This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.
- 6 NIRCs mailed at the end of one month will necessarily publish with a certificate the next month (or later), the average time to RX certificate may be more or less than the time to RX NIRC for any particular time period measured
- 7 Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.
- 8 a typographical error has been corrected in the reference the statute 35 USC 257.
- 9 The last section has been updated to clarify that these numbers reference Reexamination Certificates



Reexaminations - FY 2022

| | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|--|------------------------|------------------------|------------------------|------------------------|
| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) | 6 | 5 | 17 | 8 |
| Awaiting Order/Denial | 45 | 35 | 32 | 45 |
| Between Order and First Action on the Merits | 94 | 79 | 80 | 62 |
| Between FAOM and NIRC | 380 | 399 | 387 | 361 |
| Between NIRC and Publication of Certificate | 33 | 37 | 42 | 43 |
| Total | 558 | 555 | 558 | 519 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 96 | 171 | 271 | 356 |
| Ex Parte (EP) | 89 | 155 | 249 | 320 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 7 | 16 | 22 | 36 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 13 | 15 | 24 | 27 |
| SE SNQ-Yes | 12 | 13 | 19 | 22 |
| SE SNQ-No | 1 | 2 | 5 | 5 |
| Orders Granting Reexamination | 91 | 176 | 259 | 352 |
| EP Orders | 80 | 164 | 241 | 330 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 35 USC 257 | 11 | 12 | 18 | 22 |
| Denials | 10 | 15 | 16 | 17 |
| EP Denials | 10 | 15 | 16 | 17 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 64 | 137 | 205 | 294 |
| EP FAOMs | 52 | 124 | 190 | 272 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 35 USC 257 | 12 | 13 | 15 | 22 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 67 | 135 | 209 | 267 |
| EP NIRCs | 62 | 120 | 191 | 244 |
| IP NIRCs | 1 | 2 | 3 | 7 |
| SE NIRCs under 35 USC 257 | 4 | 13 | 15 | 16 |
| Reexamination Certificates | 65 | 120 | 187 | 248 |
| EP Certificates | 59 | 104 | 166 | 224 |
| IP Certificates | 2 | 4 | 6 | 8 |
| SE Certificates pursuant to 35 USC 257 | 4 | 12 | 15 | 16 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.46 | 1.07 | 1.54 | 1.50 |
| Average Months to SE SNQ-Yes | 1.50 | 0.56 | 1.15 | 1.00 |
| Average Months to SE SNQ-No | 0.95 | 1.58 | 2.33 | NA |
| Average Months from Filing to Order | 1.25 | 1.09 | 1.51 | 1.60 |
| Average Months to EP Order | 1.21 | 1.08 | 1.44 | 1.61 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 35 USC 257 | 1.54 | 1.90 | 2.32 | 1.50 |
| Average Months from Filing to Denial | 1.31 | 1.27 | 2.00 | 2.00 |
| Average Months to EP Denial | 1.31 | 1.27 | 2.00 | 2.00 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 4.39 | 5.74 | 5.58 | 6.06 |
| Average Months to EP FAOM | 4.85 | 5.79 | 5.68 | 6.49 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 35 USC 257 | 2.38 | 1.91 | 2.50 | 1.14 |
| Average Months from Filing to NIRC | 18.97 | 18.97 | 19.87 | 21.02 |
| Average Months to EP NIRC | 18.11 | 18.50 | 18.33 | 12.98 |
| Average Months to IP NIRC | 114.50 | 120.00 | 141.00 | 125.98 |
| Average Months to SE NIRC pursuant to 35 USC 257 | 8.40 | 10.77 | 14.00 | 27.00 |
| Average Months from Filing to Reexam Certificate | 21.00 | 19.58 | 23.31 | 20.04 |
| Average Months to EP Certificate | 18.30 | 16.47 | 20.40 | 16.01 |
| Average Months to IP Certificate | 119.28 | 114.35 | 133.00 | 133.00 |
| Average Months to SE Certificate pursuant to 35 USC 257 | 11.67 | 13.35 | 10.50 | 28.00 |

Notes:

- 1 Source: Filings from ex parte, inter partes and supplemental historical statistics.
- 2 Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.
- 3 Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.
- 4 Inter Partes reexaminations were no longer available after Sept. 16, 2012
- 5 This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.
- 6 NIRCs mailed at the end of one month will necessarily publish with a certificate the next month (or later), the average time to RX certificate may be more or less than the time to RX NIRC for any particular time period measured
- 7 Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.
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- 9 The last section has been updated to clarify that these numbers reference Reexamination Certificates



Reexaminations - FY 2021

| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) Awaiting Order/Denial Between Order and First Action on the Merits Between FAOM and NIRC Between NIRC and Publication of Certificate Total | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|---|---------------------|---------------------|---------------------|---------------------|
| | 11 | 11 | 11 | 10 |
| | 31 | 36 | 25 | 40 |
| | 43 | 46 | 61 | 61 |
| | 258 | 283 | 338 | 312 |
| | 25 | 20 | 28 | 17 |
| | 368 | 396 | 463 | 440 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 74 | 147 | 221 | 310 |
| Ex Parte (EP) | 67 | 132 | 199 | 284 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 7 | 15 | 22 | 26 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 6 | 14 | 20 | 28 |
| SE SNQ-Yes | 6 | 12 | 16 | 19 |
| SE SNQ-No | 0 | 2 | 4 | 9 |
| Orders Granting Reexamination | 52 | 123 | 200 | 283 |
| EP Orders | 46 | 113 | 185 | 265 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 35 USC 257 | 6 | 10 | 15 | 18 |
| Denials | 0 | 2 | 13 | 13 |
| EP Denials | 0 | 2 | 13 | 13 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 42 | 97 | 172 | 256 |
| EP FAOMs | 38 | 89 | 157 | 238 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 35 USC 257 | 4 | 8 | 15 | 18 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 70 | 117 | 179 | 226 |
| EP NIRCs | 48 | 90 | 142 | 186 |
| IP NIRCs | 10 | 12 | 15 | 17 |
| SE NIRCs under 35 USC 257 | 12 | 15 | 22 | 23 |
| Reexamination Certificates | 73 | 106 | 163 | 217 |
| EP Certificates | 55 | 90 | 145 | 195 |
| IP Certificates | 5 | 13 | 15 | 17 |
| SE Certificates pursuant to 35 USC 257 | 13 | 3 | 3 | 5 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.10 | 0.94 | 0.91 | 1.11 |
| Average Months to SE SNQ-Yes | 1.10 | 0.95 | 0.91 | 1.02 |
| Average Months to SE SNQ-No | NA | 0.89 | 0.90 | 0.98 |
| Average Months from Filing to Order | 1.16 | 0.95 | 1.05 | 1.24 |
| Average Months to EP Order | 1.11 | 0.93 | 1.06 | 1.25 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 35 USC 257 | 1.53 | 1.21 | 0.93 | 1.20 |
| Average Months from Filing to Denial | NA | 0.93 | 1.84 | 0.00 |
| Average Months to EP Denial | NA | 0.93 | 1.84 | 0.00 |
| Average Months to IP Denial | NA | NA | NA | 0 |
| Average Months from Filing to FAOM | 5.88 | 7.15 | 5.27 | 6.20 |
| Average Months to EP FAOM | 6.30 | 7.46 | 5.55 | 6.33 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 35 USC 257 | 1.98 | 3.24 | 2.54 | 2.61 |
| Average Months from Filing to NIRC | 30.89 | 18.71 | 21.93 | 17.06 |
| Average Months to EP NIRC | 18.99 | 14.26 | 17.31 | 12.40 |
| Average Months to IP NIRC | 107.86 | 110.79 | 117.36 | 117.72 |
| Average Months to SE NIRC pursuant to 35 USC 257 | 14.35 | 19.57 | 15.33 | 21.14 |
| Average Months from Filing to Reexam Certificate | 22.30 | 43.07 | 20.24 | 20.36 |
| Average Months to EP Certificate | 16.12 | 30.21 | 17.12 | 16.00 |
| Average Months to IP Certificate | 102.85 | 110.81 | 112.26 | 127.05 |
| Average Months to SE Certificate pursuant to 35 USC 257 | 17.46 | 12.36 | 16.08 | 21.33 |

Notes:

- 1 Source: Filings from ex parte, inter partes and supplemental historical statistics.
- 2 Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.
- 3 Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.
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- 6 NIRCs mailed at the end of one month will necessarily publish with a certificate the next month (or later), the average time to RX certificate may be more or less than the time to RX NIRC for any particular time period measured
- 7 Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.
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- 9 The last section has been updated to clarify that these numbers reference Reexamination Certificates

Reexaminations - FY 2020



| | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|--|------------------------|------------------------|------------------------|------------------------|
| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) | 7 | 3 | 3 | 6 |
| Awaiting Order/Denial | 14 | 24 | 36 | 19 |
| Between Order and First Action on the Merits | 47 | 50 | 40 | 27 |
| Between FAOM and NIRC | 272 | 271 | 283 | 304 |
| Between NIRC and Publication of Certificate | 14 | 9 | 17 | 23 |
| Total | 354 | 357 | 379 | 379 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 61 | 129 | 190 | 236 |
| Ex Parte (EP) | 48 | 103 | 159 | 197 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 13 | 26 | 31 | 39 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 9 | 21 | 28 | 37 |
| SE SNQ-Yes | 5 | 11 | 15 | 20 |
| SE SNQ-No | 4 | 10 | 13 | 17 |
| Orders Granting Reexamination | 52 | 105 | 148 | 166 |
| EP Orders | 45 | 93 | 133 | 146 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 35 USC 257 | 7 | 12 | 15 | 20 |
| Denials | 2 | 4 | 7 | 9 |
| EP Denials | 2 | 4 | 7 | 9 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 41 | 101 | 154 | 208 |
| EP FAOMs | 38 | 95 | 139 | 188 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 35 USC 257 | 3 | 6 | 15 | 20 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 49 | 93 | 137 | 196 |
| EP NIRCs | 41 | 79 | 116 | 165 |
| IP NIRCs | 5 | 8 | 10 | 14 |
| SE NIRCs under 35 USC 257 | 3 | 6 | 11 | 17 |
| Reexamination Certificates | 47 | 104 | 135 | 180 |
| EP Certificates | 38 | 89 | 118 | 157 |
| IP Certificates | 7 | 13 | 13 | 16 |
| SE Certificates pursuant to 35 USC 257 | 2 | 2 | 4 | 7 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.12 | 0.99 | 1.34 | 1.37 |
| Average Months to SE SNQ-Yes | 0.97 | 1.16 | 1.66 | 1.46 |
| Average Months to SE SNQ-No | 1.31 | 0.83 | 0.91 | 1.02 |
| Average Months from Filing to Order | 0.92 | 1.20 | 1.15 | 1.37 |
| Average Months to EP Order | 0.79 | 1.13 | 1.11 | 1.18 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 35 USC 257 | 1.79 | 1.85 | 1.70 | 1.89 |
| Average Months from Filing to Denial | 1.75 | 0.91 | 2.15 | 1.51 |
| Average Months to EP Denial | 1.75 | 0.91 | 2.15 | 1.51 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 5.28 | 6.85 | 5.35 | 5.49 |
| Average Months to EP FAOM | 5.50 | 7.05 | 5.46 | 5.57 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 35 USC 257 | 2.52 | 3.20 | 4.82 | 4.71 |
| Average Months from Filing to NIRC | 28.44 | 29.03 | 18.78 | 27.13 |
| Average Months to EP NIRC | 21.18 | 23.92 | 15.28 | 21.97 |
| Average Months to IP NIRC | 95.06 | 101.80 | 103.82 | 101.63 |
| Average Months to SE NIRC pursuant to 35 USC 257 | 16.60 | 20.97 | 10.70 | 19.57 |
| Average Months from Filing to Reexam Certificate | 31.90 | 34.76 | 15.48 | 21.18 |
| Average Months to EP Certificate | 20.32 | 28.10 | 15.95 | 15.14 |
| Average Months to IP Certificate | 97.57 | 97.01 | NA | 106.53 |
| Average Months to SE Certificate pursuant to 35 USC 257 | 21.95 | 17.90 | 12.05 | 18.21 |

Notes:

- 1 Source: Filings from ex parte, inter partes and supplemental historical statistics.
- 2 Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.
- 3 Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.
- 4 Inter Partes reexaminations were no longer available after Sept. 16, 2012
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Reexaminations - FY 2019



| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) Awaiting Order/Denial Between Order and First Action on the Merits Between FAOM and NIRC Between NIRC and Publication of Certificate Total | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|---|---------------------|---------------------|---------------------|---------------------|
| | 13 | 0 | 13 | 4 |
| | 18 | 10 | 20 | 12 |
| | 32 | 27 | 32 | 52 |
| | 361 | 310 | 268 | 268 |
| | 24 | 27 | 32 | 12 |
| | 448 | 374 | 365 | 348 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 40 | 74 | 132 | 186 |
| Ex Parte (EP) | 33 | 61 | 112 | 163 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 7 | 13 | 20 | 23 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 12 | 20 | 24 | 35 |
| SE SNQ-Yes | 7 | 13 | 16 | 20 |
| SE SNQ-No | 5 | 7 | 8 | 15 |
| Orders Granting Reexamination | 47 | 79 | 118 | 186 |
| EP Orders | 40 | 69 | 104 | 167 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 37 CFR 257 | 7 | 10 | 14 | 19 |
| Denials | 3 | 3 | 3 | 6 |
| EP Denials | 3 | 3 | 3 | 6 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 55 | 109 | 140 | 170 |
| EP FAOMs | 49 | 97 | 125 | 151 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 37 CFR 257 | 6 | 12 | 15 | 19 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 54 | 138 | 210 | 257 |
| EP NIRCs | 38 | 102 | 152 | 190 |
| IP NIRCs | 12 | 28 | 40 | 45 |
| SE NIRCs under 37 CFR 257 | 4 | 8 | 18 | 22 |
| Reexamination Certificates | 71 | 149 | 210 | 267 |
| EP Certificates | 46 | 103 | 150 | 201 |
| IP Certificates | 19 | 42 | 53 | 58 |
| SE Certificates pursuant to 37 CFR 257 | 6 | 4 | 7 | 8 |

* Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.39 | 1.05 | 1.85 | 1.40 |
| Average Months to SE SNQ-Yes | 1.11 | 1.03 | 1.93 | 1.15 |
| Average Months to SE SNQ-No | 1.78 | 1.10 | 1.63 | 1.14 |
| Average Months from Filing to Order | 1.26 | 0.96 | 1.27 | 1.51 |
| Average Months to EP Order | 1.18 | 0.95 | 1.20 | 1.08 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 37 CFR 257 | 1.75 | 1.06 | 1.88 | 6.97 |
| Average Months from Filing to Denial | 1.12 | #DIV/0! | #DIV/0! | 1.70 |
| Average Months to EP Denial | 1.12 | #DIV/0! | #DIV/0! | 1.70 |
| Average Months to IP Denial | NA | #DIV/0! | #DIV/0! | NA |
| Average Months from Filing to FAOM | 5.17 | 6.99 | 4.68 | 5.07 |
| Average Months to EP FAOM | 5.51 | 7.50 | 4.89 | 4.43 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 37 CFR 257 | 2.43 | 2.92 | 2.64 | 9.19 |
| Average Months from Filing to NIRC | 32.74 | 29.00 | 34.38 | 22.84 |
| Average Months to EP NIRC | 18.99 | 14.91 | 23.01 | 14.83 |
| Average Months to IP NIRC | 81.92 | 85.38 | 102.70 | 92.13 |
| Average Months to SE NIRC pursuant to 37 CFR 257 | 15.88 | 29.10 | 9.25 | 12.38 |
| Average Months from Filing to Certificate | 38.86 | 39.45 | 29.89 | 27.71 |
| Average Months to EP Certificate | 23.89 | 19.73 | 16.12 | 23.17 |
| Average Months to IP Certificate | 82.34 | 90.33 | 100.09 | 100.08 |
| Average Months to SE Certificate pursuant to 37 CFR 257 | 16.00556 | 27.91 | 12.06 | 11.4125 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.



Reexaminations - FY 2018

| | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|--|------------------------|------------------------|------------------------|------------------------|
| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) | 5 | 3 | 4 | 13 |
| Awaiting Order/Denial | 14 | 19 | 16 | 19 |
| Between Order and First Action on the Merits | 51 | 47 | 34 | 40 |
| Between FAOM and NIRC | 493 | 460 | 416 | 370 |
| Between NIRC and Publication of Certificate | 42 | 37 | 36 | 30 |
| Total | 605 | 566 | 506 | 472 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 54 | 111 | 157 | 219 |
| Ex Parte (EP) | 42 | 96 | 137 | 187 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 12 | 15 | 20 | 32 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 12 | 22 | 24 | 37 |
| SE SNQ-Yes | 7 | 15 | 16 | 22 |
| SE SNQ-No | 5 | 7 | 8 | 15 |
| Orders Granting Reexamination | 47 | 103 | 146 | 195 |
| EP Orders | 41 | 88 | 130 | 173 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 37 CFR 257 | 6 | 15 | 16 | 22 |
| Denials | 6 | 7 | 9 | 10 |
| EP Denials | 6 | 7 | 9 | 10 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 40 | 104 | 157 | 198 |
| EP FAOMs | 35 | 90 | 139 | 178 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 37 CFR 257 | 5 | 14 | 18 | 20 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 89 | 195 | 275 | 370 |
| EP NIRCs | 48 | 117 | 171 | 219 |
| IP NIRCs | 20 | 48 | 62 | 100 |
| SE NIRCs under 37 CFR 257 | 21 | 30 | 42 | 51 |
| Reexamination Certificates | 66 | 150 | 247 | 330 |
| EP Certificates | 45 | 95 | 164 | 215 |
| IP Certificates | 20 | 52 | 75 | 104 |
| SE Certificates pursuant to 37 CFR 257 | 1 | 3 | 8 | 11 |

* Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.80 | 0.99 | 1.50 | 1.51 |
| Average Months to SE SNQ-Yes | 1.82 | 0.97 | 1.36 | 1.37 |
| Average Months to SE SNQ-No | 1.78 | 1.10 | 1.63 | 1.14 |
| Average Months from Filing to Order | 29.72 | 27.94 | 33.25 | 30.27 |
| Average Months to EP Order | 33.71 | 32.81 | 33.98 | 34.26 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 37 CFR 257 | 2.46 | 2.51 | 2.80 | 1.73 |
| Average Months from Filing to Denial | 1.57 | 1.22 | 1.17 | 1.28 |
| Average Months to EP Denial | 1.57 | 1.22 | 1.17 | 1.28 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 5.40 | 4.97 | 5.48 | 5.46 |
| Average Months to EP FAOM | 5.77 | 5.16 | 5.37 | 5.63 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 37 CFR 257 | 2.83 | 3.82 | 6.83 | 2.20 |
| Average Months from Filing to NIRC | 31.15 | 32.59 | 29.69 | 45.18 |
| Average Months to EP NIRC | 21.98 | 19.61 | 20.86 | 21.25 |
| Average Months to IP NIRC | 72.41 | 71.20 | 76.03 | 82.40 |
| Average Months to SE NIRC pursuant to 37 CFR 257 | 12.81 | 12.01 | 15.36 | 15.63 |
| Average Months from Filing to Certificate | 40.85 | 41.72 | 33.74 | 41.91 |
| Average Months to EP Certificate | 28.09 | 21.83 | 22.25 | 23.60 |
| Average Months to IP Certificate | 71.09 | 75.80 | 74.92 | 85.70 |
| Average Months to SE Certificate pursuant to 37 CFR 257 | 10.3 | 9.68 | 14.51 | 11.32727 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations - FY 2017



| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) Awaiting Order/Denial Between Order and First Action on the Merits Between FAOM and NIRC Between NIRC and Publication of Certificate Total | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|---|---------------------|---------------------|---------------------|---------------------|
| Processing (prior to assignment to the Examiner) | 4 | 2 | 5 | 3 |
| Awaiting Order/Denial | 25 | 31 | 18 | 22 |
| Between Order and First Action on the Merits | 50 | 36 | 41 | 46 |
| Between FAOM and NIRC | 656 | 634 | 581 | 518 |
| Between NIRC and Publication of Certificate | 44 | 50 | 28 | 34 |
| Total | 779 | 753 | 673 | 623 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 73 | 132 | 189 | 254 |
| Ex Parte (EP) | 60 | 100 | 145 | 191 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 13 | 32 | 44 | 63 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 17 | 34 | 47 | 63 |
| SE SNQ-Yes | 12 | 27 | 39 | 48 |
| SE SNQ-No | 5 | 7 | 8 | 15 |
| Orders Granting Reexamination | 66 | 129 | 181 | 236 |
| EP Orders | 55 | 102 | 141 | 188 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 37 CFR 257 | 11 | 27 | 40 | 48 |
| Denials | 6 | 8 | 10 | 11 |
| EP Denials | 6 | 8 | 10 | 11 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 56 | 114 | 174 | 224 |
| EP FAOMs | 46 | 93 | 136 | 181 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 37 CFR 257 | 10 | 21 | 38 | 43 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 103 | 208 | 311 | 390 |
| EP NIRCs | 74 | 134 | 192 | 238 |
| IP NIRCs | 27 | 66 | 106 | 130 |
| SE NIRCs under 37 CFR 257 | 2 | 8 | 13 | 22 |
| Reexamination Certificates | 101 | 193 | 311 | 393 |
| EP Certificates | 67 | 131 | 202 | 250 |
| IP Certificates | 33 | 59 | 101 | 131 |
| SE Certificates pursuant to 37 CFR 257 | 1 | 3 | 8 | 12 |

* Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.38 | 1.09 | 1.64 | 1.90 |
| Average Months to SE SNQ-Yes | 1.25 | 1.09 | 1.64 | 1.39 |
| Average Months to SE SNQ-No | 1.70 | 1.09 | 1.61 | 1.17 |
| Average Months from Filing to Order | 1.43 | 1.38 | 1.25 | 1.35 |
| Average Months to EP Order | 1.20 | 1.25 | 1.04 | 1.31 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 37 CFR 257 | 2.57 | 1.74 | 1.89 | 1.60 |
| Average Months from Filing to Denial | 1.09 | 2.27 | 1.30 | 1.38 |
| Average Months to EP Denial | 1.09 | 2.27 | 1.30 | 1.38 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 4.82 | 6.59 | 4.85 | 4.85 |
| Average Months to EP FAOM | 5.28 | 5.49 | 5.37 | 4.76 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 37 CFR 257 | 2.73 | 2.51 | 3.54 | 1.64 |
| Average Months from Filing to NIRC | 37.78 | 40.73 | 37.99 | 36.29 |
| Average Months to EP NIRC | 27.19 | 27.36 | 17.74 | 22.14 |
| Average Months to IP NIRC | 68.78 | 65.98 | 70.29 | 73.39 |
| Average Months to SE NIRC pursuant to 37 CFR 257 | 11.30 | None | 14.47 | 9.68 |
| Average Months from Filing to Certificate | 39.52 | 37.30 | 41.32 | 37.79 |
| Average Months to EP Certificate | 25.48 | 27.46 | 27.39 | 19.01 |
| Average Months to IP Certificate | 68.93 | 64.70 | 70.00 | 77.75 |
| Average Months to SE Certificate pursuant to 37 CFR 257 | 10.16 | 9.54 | 14.44 | 13.015 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.



Reexaminations - FY 2016

| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests Processing (prior to assignment to the Examiner) Awaiting Order/Denial Between Order and First Action on the Merits Between FAOM and NIRC Between NIRC and Publication of Certificate Total | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|---|---------------------|---------------------|---------------------|---------------------|
| | 2 | 4 | 5 | 8 |
| | 48 | 18 | 28 | 28 |
| | 58 | 60 | 43 | 32 |
| | 852 | 811 | 777 | 717 |
| | 39 | 23 | 31 | 41 |
| | 999 | 916 | 884 | 826 |

Volume of Proceedings *

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 86 | 139 | 212 | 265 |
| Ex Parte (EP) | 67 | 115 | 178 | 219 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 19 | 24 | 34 | 46 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 3 | 25 | 28 | 40 |
| SE SNQ-Yes | 2 | 16 | 18 | 28 |
| SE SNQ-No | 1 | 9 | 10 | 12 |
| Orders Granting Reexamination | 64 | 135 | 194 | 240 |
| EP Orders | 60 | 119 | 175 | 216 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 37 CFR 257 | 4 | 16 | 19 | 24 |
| Denials | 1 | 3 | 5 | 9 |
| EP Denials | 1 | 3 | 5 | 9 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 49 | 114 | 179 | 241 |
| EP FAOMs | 46 | 102 | 160 | 216 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 37 CFR 257 | 3 | 12 | 19 | 25 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 93 | 186 | 295 | 408 |
| EP NIRCs | 62 | 115 | 171 | 244 |
| IP NIRCs | 27 | 67 | 119 | 157 |
| SE NIRCs under 37 CFR 257 | 4 | 4 | 5 | 7 |
| Reexamination Certificates | 99 | 201 | 312 | 485 |
| EP Certificates | 64 | 124 | 193 | 243 |
| IP Certificates | 33 | 77 | 119 | 239 |
| SE Certificates pursuant to 37 CFR 257 | 2 | None | None | 3 |

* Numbers may not match PAR report because this report shows actions mailed in time period and PAR shows filed requests and actions on those.

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 2.77 | 1.87 | 1.53 | 4.10 |
| Average Months to SE SNQ-Yes | 2.70 | 1.78 | 1.45 | 2.06 |
| Average Months to SE SNQ-No | 2.90 | 2.05 | 1.70 | 2.00 |
| Average Months from Filing to Order | 1.19 | 1.53 | 1.02 | 1.35 |
| Average Months to EP Order | 1.01 | 1.34 | 0.90 | 1.18 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 37 CFR 257 | 3.85 | 2.43 | 3.30 | 2.74 |
| Average Months from Filing to Denial | 0.56 | 1.15 | 0.86 | 1.54 |
| Average Months to EP Denial | 0.56 | 1.15 | 0.86 | 1.54 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 5.03 | 4.53 | 4.93 | 4.93 |
| Average Months to EP FAOM | 5.08 | 4.67 | 4.95 | 7.32 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 37 CFR 257 | 4.40 | 3.62 | 4.77 | 2.90 |
| Average Months from Filing to NIRC | 29.85 | 35.67 | 36.61 | 34.68 |
| Average Months to EP NIRC | 19.38 | 20.46 | 19.14 | 20.59 |
| Average Months to IP NIRC | 55.17 | 55.83 | 56.01 | 61.40 |
| Average Months to SE NIRC pursuant to 37 CFR 257 | 21.20 | None | 6.60 | 41.65 |
| Average Months from Filing to Certificate | 36.76 | 37.63 | 33.75 | 46.47 |
| Average Months to EP Certificate | 25.81 | 22.89 | 20.37 | 21.57 |
| Average Months to IP Certificate | 59.08 | 57.72 | 55.73 | 57.04 |
| Average Months to SE Certificate pursuant to 37 CFR 257 | 19.2 | None | None | 38.8 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.



Reexaminations - FY 2015

| Pending Reexaminations includes Ex Parte, Inter Partes, and Supplemental Examination Requests | Quarter 1 (Oct-Dec) | Quarter 2 (Jan-Mar) | Quarter 3 (Apr-Jun) | Quarter 4 (Jul-Sep) |
|---|------------------------|------------------------|------------------------|------------------------|
| Processing (prior to assignment to the Examiner) | 3 | 5 | 3 | 17 |
| Awaiting Order/Denial | 20 | 20 | 31 | 31 |
| Between Order and First Action on the Merits | 73 | 60 | 40 | 40 |
| Between FAOM and NIRC | 1137 | 1083 | 990 | 972 |
| Between NIRC and Publication of Certificate | 98 | 58 | 48 | 47 |
| Total | 1331 | 1226 | 1112 | 1107 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD * Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-------------------------------|
| Filings (Ex Parte, Inter Partes, and Supplemental Examination Requests granted a filing date) | 71 | 135 | 227 | 295 |
| Ex Parte (EP) | 64 | 123 | 181 | 243 |
| Inter Partes (IP) | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 7 | 12 | 46 | 52 |
| Supplemental Examinations Substantial New Question of Patentability (SNQ) Determination | 5 | 10 | 19 | 38 |
| SE SNQ-Yes | 4 | 8 | 13 | 26 |
| SE SNQ-No | 1 | 2 | 6 | 12 |
| Orders Granting Reexamination | 73 | 130 | 188 | 253 |
| EP Orders | 69 | 119 | 172 | 229 |
| IP Orders | NA | NA | NA | NA |
| SE Orders under 37 CFR 257 | 4 | 11 | 16 | 24 |
| Denials | 8 | 11 | 11 | 16 |
| EP Denials | 8 | 11 | 11 | 16 |
| IP Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 68 | 161 | 223 | 295 |
| EP FAOMs | 61 | 147 | 205 | 267 |
| IP FAOMs | NA | NA | NA | NA |
| SE FAOMs pursuant to 37 CFR 257 | 7 | 14 | 18 | 28 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 150 | 317 | 445 | 575 |
| EP NIRCs | 99 | 201 | 290 | 366 |
| IP NIRCs | 46 | 103 | 138 | 184 |
| SE NIRCs under 37 CFR 257 | 5 | 13 | 17 | 25 |
| Reexamination Certificates | 222 | 355 | 469 | 569 |
| EP Certificates | 161 | 222 | 276 | 324 |
| IP Certificates | 59 | 120 | 174 | 222 |
| SE Certificates pursuant to 37 CFR 257 | 2 | 13 | 19 | 23 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 1.18 | 1.67 | 1.70 | 2.96 |
| Average Months to SE SNQ-Yes | 1.17 | 1.80 | 1.81 | 1.84 |
| Average Months to SE SNQ-No | 1.20 | 1.13 | 1.55 | 1.63 |
| Average Months from Filing to Order | 1.12 | 1.31 | 1.38 | 1.36 |
| Average Months to EP Order | 1.03 | 1.17 | 1.20 | 1.09 |
| Average Months to IP Order | NA | NA | NA | NA |
| Average Months to SE Order pursuant to 37 CFR 257 | 2.80 | 2.29 | 3.19 | 3.36 |
| Average Months from Filing to Denial | 1.58 | 1.10 | 0.00 | 1.17 |
| Average Months to EP Denial | 1.58 | 1.10 | 0 | 1.17 |
| Average Months to IP Denial | NA | NA | NA | NA |
| Average Months from Filing to FAOM | 4.89 | 5.67 | 6.21 | 6.21 |
| Average Months to EP FAOM | 4.93 | 5.74 | 6.48 | 1.16 |
| Average Months to IP FAOM | NA | NA | NA | NA |
| Average Months to SE FAOM pursuant to 37 CFR 257 | 4.51 | 4.77 | 2.385 | 2.92 |
| Average Months from Filing to NIRC | 27.01 | 30.63 | 28.39 | 35.02 |
| Average Months to EP NIRC | 21.86 | 25.48 | 22.49 | 24.68 |
| Average Months to IP NIRC | 40.03 | 42.54 | 45.95 | 56.41 |
| Average Months to SE NIRC pursuant to 37 CFR 257 | 9.14 | 11.49 | 6.01 | 10.25 |
| Average Months from Filing to Certificate | 27.98 | 31.48 | 33.38 | 33.48 |
| Average Months to EP Certificate | 21.63 | 26.55 | 26.95 | 25.36 |
| Average Months to IP Certificate | 45.95 | 44.50 | 46.46 | 50.33 |
| Average Months to SE Certificate pursuant to 37 CFR 257 | 9.05 | 9.86 | 12.17 | 8.00 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Inter Partes reexaminations were no longer available after Sept. 16, 2012

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

* data corrected to fix a math error on 2/23/2016

Reexaminations - FY 2014



| Pending Reexaminations | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Processing | 19 | 6 | 8 | 5 |
| Awaiting Order/Denial | 35 | 29 | 31 | 35 |
| Between Order and First Action on the Merits | 96 | 73 | 75 | 56 |
| Between FAOM and NIRC | 1571 | 1503 | 1414 | 1229 |
| Between NIRC and Publication of Certificate | 50 | 86 | 73 | 155 |
| Total | 1771 | 1697 | 1601 | 1480 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|---|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings | 108 | 192 | 294 | 378 |
| Ex Parte | 102 | 178 | 270 | 345 |
| Inter Partes | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 6 | 14 | 24 | 33 |
| Supplemental Examinations (SE) SNQ Determination | 6 | 14 | 23 | 34 |
| SE SNQ-Yes | 6 | 11 | 17 | 23 |
| SE SNQ-No | 0 | 3 | 6 | 11 |
| Orders Granting Reexamination | 110 | 208 | 299 | 373 |
| Ex Parte Orders | 104 | 196 | 284 | 351 |
| Inter Partes Orders | NA | NA | NA | NA |
| SE/EP Orders | 6 | 12 | 15 | 22 |
| Denials | 4 | 8 | 13 | 18 |
| Ex Parte Denials | 4 | 8 | 13 | 18 |
| Inter Partes Denials | NA | NA | NA | NA |
| First Action on the Merits (FAOM) | 90 | 195 | 288 | 391 |
| Ex Parte FAOMs | 84 | 182 | 272 | 368 |
| Inter Partes FAOMs | 3 | 5 | 5 | 5 |
| SE/EP FAOM | 3 | 8 | 11 | 18 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 211 | 403 | 582 | 837 |
| Ex Parte NIRCs | 118 | 245 | 359 | 526 |
| Inter Partes NIRCs | 88 | 149 | 208 | 293 |
| SE/EP NIRC | 5 | 9 | 15 | 18 |
| Reexamination Certificates | 226 | 395 | 583 | 762 |
| Ex Parte Certificates | 141 | 240 | 376 | 473 |
| Inter Partes Certificates | 85 | 155 | 207 | 275 |
| SE/EP Certificates | 0 | 0 | 0 | 14 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 2.34 | 2.45 | 1.87 | 1.82 |
| Average Months to SE SNQ-Yes | 2.34 | 2.28 | 1.96 | 1.74 |
| Average Months to SE SNQ-No | 0.00 | 2.74 | 1.70 | 1.48 |
| Average Months from Filing to Order | 1.38 | 1.59 | 1.32 | 1.34 |
| Average Months to Ex Parte Order | 1.26 | 1.34 | 1.26 | 1.22 |
| Average Months to Inter Partes Order | NA | NA | NA | NA |
| Average Months to SE/EP Order | 3.49 | 5.42 | 3.11 | 2.77 |
| Average Months from Filing to Denial | 1.26 | 0.00 | 1.27 | 0.96 |
| Average Months to Ex Parte Denial | 1.26 | 0 | 1.27 | 0.96 |
| Average Months to Inter Partes Denial | NA | NA | NA | |
| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
| Average Months from Filing to FAOM | 5.46 | 4.79 | 5.45 | 5.45 |
| Average Months to Ex Parte FAOM | 5.23 | 4.99 | 5.37 | 5.43 |
| Average Months to Inter Partes FAOM | 14.25 | 3.13 | 17.79 | 0.00 |
| Average Months to SE/EP FAOM | 3.03 | 7.028 | 3.61 | 5.07 |
| Average Months from Filing to NIRC | 26.09 | 28.59 | 28.67 | 20.85 |
| Average Months to Ex Parte NIRC | 21.85 | 23.76 | 20.29 | 18.94 |
| Average Months to Inter Partes NIRC | 32.79 | 40.15 | 43.29 | 23.48 |
| Average Months to SE/EP NIRC | 6.26 | 5.82 | 10.33 | 9.54 |
| Average Months from Filing to Certificate | 25.22 | 28.81 | 32.24 | 31.69 |
| Average Months to Ex Parte Certificate | 19.70 | 22.66 | 26.39 | 24.72 |
| Average Months to Inter Partes Certificate | 34.43 | 37.51 | 47.56 | 44.96 |
| Average Months to Supplemental Examination Certificate | 0 | 0 | 0 | 14.62 |

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Supplemental filings include only the SE request which received a filing date. SE NIRC only occur where SNQ-Yes was found Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations - FY 2013



| Pending Reexaminations | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-------------|-------------|-------------|-------------|
| Processing | 2 | 12 | 10 | 11 |
| Awaiting Order/Denial | 43 | 35 | 32 | 37 |
| Between Order and First Action on the Merits | 226 | 71 | 72 | 85 |
| Between FAOM and NIRC | 1698 | 1978 | 1845 | 1679 |
| Between NIRC and Publication of Certificate | 64 | 58 | 80 | 87 |
| Total | 2033 | 2154 | 2039 | 1899 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 ¹ | YTD Through Quarter 3 ¹ | YTD Through Quarter 4 |
|---|-----------------------------|--|--|-----------------------------|
| Filings | 70 | 139 | 225 | 324 |
| Ex Parte | 65 | 128 | 208 | 299 |
| Inter Parte | NA | NA | NA | NA |
| Supplemental Examinations (SE) | 5 | 11 | 17 | 25 |
| Supplemental Examinations (SE) SNQ Determination | 0 | 5 | 12 | 13 |
| SE SNQ-Yes | 0 | 4 | 9 | 9 |
| SE SNQ-No | 0 | 1 | 3 | 4 |
| Orders Granting Reexamination | 390 | 132 | 539 | 317 |
| Ex Parte Orders | 69 | 132 | 212 | 303 |
| Inter Parte Orders | 321 | NA | 321 | NA |
| SE/EP Orders | 0 | 0 | 6 | 14 |
| Denials | 42 | 49 | 56 | 62 |
| Ex Parte Denials | 17 | 24 | 31 | 37 |
| Inter Parte Denials | 25 | 25 | 25 | 25 |
| First Action on the Merits (FAOM) | 372 | 611 | 745 | 868 |
| Ex Parte FAOMs | 86 | 313 | 431 | 538 |
| Inter Parte FAOMs | 286 | 298 | 309 | 317 |
| SE/EP FAOM | 0 | 0 | 5 | 13 |
| Notices of Intent to Issue a Reexamination Certificate (NIRC) | 140 | 332 | 568 | 784 |
| Ex Parte NIRCs | 102 | 230 | 396 | 542 |
| Inter Parte NIRCs | 38 | 102 | 172 | 242 |
| SE/EP NIRC | 0 | 0 | 0 | 0 |
| Reexamination Certificates | 191 | 397 | 622 | 828 |
| Ex Parte Certificates | 146 | 283 | 444 | 580 |
| Inter Parte Certificates | 45 | 114 | 178 | 248 |
| SE/EP Certificates | 0 | 0 | 0 | 0 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Average Months from Filing to SE Determination | 0 | 2.802 | 2.07 | 1.84 |
| Average Months to SE SNQ-Yes | 0 | 2.91 | 1.85 | 1.84 |
| Average Months to SE SNQ-No | 0 | 2.37 | 2.51 | 0 |
| Average Months from Filing to Order | 2.07 | 1.62 | 1.39 | 1.59 |
| Average Months to Ex Parte Order | 1.96 | 1.62 | 1.29 | 1.39 |
| Average Months to Inter Parte Order | 2.15 | NA | NA | NA |
| Average Months to SE/EP Order | 0 | 0 | 2.98 | 3.54 |
| Average Months from Filing to Denial | 2.10 | 1.78 | 1.56 | 1.8 |
| Average Months to Ex Parte Denial | 1.68 | 1.78 | 1.56 | 1.8 |
| Average Months to Inter Parte Denial | 2.38 | NA | NA | NA |
| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
| Average Months from Filing to FAOM | 2.64 | 6.38 | 7.21 | 7.24 |
| Average Months to Ex Parte FAOM | 4.35 | 6.32 | 7.19 | 7.23 |
| Average Months to Inter Parte FAOM | 2.13 | 7.45 | 9.12 | 11.15 |
| Average Months to SE/EP FAOM | 0 | 0 | 3.54 | 3.54 |
| Average Months from Filing to NIRC | 20.06 | 26.08 | 25.00 | 27.45 |
| Average Months to Ex Parte NIRC | 16.33 | 19.68 | 19.15 | 21.74 |
| Average Months to Inter Parte NIRC | 30.06 | 39.29 | 38.86 | 39.62 |
| Average Months to SE/EP NIRC | 0 | 0 | 0 | 8.65 |
| Average Months from Filing to Certificate | 23.35 | 29.04 | 27.07 | 28.64 |
| Average Months to Ex Parte Certificate | 21.18 | 24.63 | 21.12 | 22.1 |
| Average Months to Inter Parte Certificate | 30.38 | 37.49 | 42.05 | 41.3 |
| Average Months to Supplemental Examination Certificate | 0 | 0 | 0 | 0 |

1 Quarter 2 and 3 data has corrected to show YTD numbers

Source: Filings from ex parte, inter partes and supplemental historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations - FY 2012



| Pending Reexaminations | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Processing | 79 | 44 | 33 | 251 |
| Awaiting Order/Denial | 114 | 112 | 119 | 355 |
| Between Order and First Action on the Merits | 196 | 188 | 155 | 130 |
| Between FAOM and NIRC | 1615 | 1700 | 1541 | 1588 |
| Between NIRC and Publication of Certificate | 113 | 119 | 176 | 108 |
| Total | 2117 | 2163 | 2024 | 2432 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings | 258 | 508 | 746 | 1449 |
| Ex Parte | 160 | 316 | 476 | 788 |
| Inter Parte | 98 | 192 | 270 | 661 |
| Orders Granting Reexamination | 224 | 465 | 677 | 917 |
| Ex Parte Orders | 140 | 286 | 422 | 566 |
| Inter Parte Orders | 84 | 179 | 255 | 351 |
| Denials | 32 | 59 | 82 | 92 |
| Ex Parte Denials | 23 | 43 | 53 | 57 |
| Inter Parte Denials | 9 | 16 | 29 | 35 |
| First Action on the Merits | 264 | 522 | 763 | 1008 |
| Ex Parte FAOMs | 167 | 233 | 475 | 623 |
| Inter Parte FAOMs | 97 | 200 | 288 | 385 |
| Notices of Intent to Issue a Reexamination Certificate | 183 | 387 | 661 | 911 |
| Ex Parte NIRCs | 158 | 327 | 541 | 738 |
| Inter Parte NIRCs | 25 | 60 | 120 | 173 |
| Reexamination Certificates | 238 | 436 | 671 | 969 |
| Ex Parte Certificates | 206 | 378 | 567 | 804 |
| Inter Parte Certificates | 32 | 58 | 104 | 165 |

Timing of Proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|---|-----------|-----------|-----------|-----------|
| Average Months from Filing to Order | 1.9 | 1.8 | 1.8 | 1.8 |
| Average Months to Ex Parte Order | 1.8 | 1.6 | 1.7 | 1.7 |
| Average Months to Inter Parte Order | 2 | 2 | 2 | 1.9 |
| Average Months from Filing to Denial | 1.8 | 1.9 | 1.8 | 2.0 |
| Average Months to Ex Parte Denial | 1.9 | 1.9 | 1.8 | 2.3 |
| Average Months to Inter Parte Denial | 1.6 | 2 | 1.8 | 1.8 |
| Average Months from Filing to FAOM | 4.8 | 4.6 | 4.8 | 3.9 |
| Average Months to Ex Parte FAOM | 5.7 | 6 | 6 | 5.7 |
| Average Months to Inter Parte FAOM | 3.1 | 2.7 | 2.9 | 2.0 |
| Average Months from Filing to NIRC | 20.7 | 20.7 | 22.7 | 30.1 |
| Average Months to Ex Parte NIRC | 18.7 | 18.4 | 19.9 | 27.0 |
| Average Months to Inter Parte NIRC | 33.1 | 31.1 | 35.2 | 33.3 |
| Average Months from Filing to Certificate | 22.3 | 23.8 | 23.7 | 34.4 |
| Average Months to Ex Parte Certificate | 20.2 | 21.5 | 21.2 | 30.3 |
| Average Months to Inter Parte Certificate | 35.9 | 38.8 | 37.4 | 38.4 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations – FY 2011



| Pending Reexaminations | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Preprocessing | 100 | 69 | 52 | 84 |
| Awaiting Order/Denial | 100 | 132 | 130 | 113 |
| Between Order and First Action on the Merits | 290 | 242 | 255 | 222 |
| Between FAOM and NIRC | 1419 | 1465 | 1489 | 1566 |
| Between NIRC and Publication of Certificate | 215 | 254 | 176 | 135 |
| Total | 2124 | 2162 | 2102 | 2120 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings | 288 | 572 | 852 | 1133 |
| Ex Parte | 188 | 392 | 581 | 759 |
| Inter Partes | 100 | 180 | 271 | 374 |
| Orders Granting Reexamination | 275 | 520 | 785 | 1027 |
| Ex Parte Orders | 186 | 347 | 530 | 685 |
| Inter Partes Orders | 89 | 143 | 255 | 342 |
| Denials | 26 | 64 | 89 | 112 |
| Ex Parte Denials | 24 | 50 | 70 | 88 |
| Inter Partes Denials | 2 | 14 | 19 | 24 |
| First Actions on the Merits | 252 | 550 | 796 | 1077 |
| Ex Parte FAOMs | 171 | 396 | 551 | 741 |
| Inter Partes FAOMs | 81 | 154 | 245 | 336 |
| Notices of Intent to Issue a Reexamination Certificate | 215 | 464 | 698 | 897 |
| Ex Parte NIRCs | 179 | 404 | 614 | 788 |
| Inter Partes NIRCs | 36 | 60 | 84 | 109 |
| Reexamination Certificates | 212 | 440 | 733 | 987 |
| Ex Parte Certificates | 183 | 377 | 645 | 873 |
| Inter Partes Certificates | 29 | 63 | 88 | 114 |

Timing of proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Average Months from Filing to Order | 1.9 | 1.8 | 1.8 | 1.8 |
| Average Months to Ex Parte Order | 1.8 | 1.7 | 1.7 | 1.8 |
| Average Months to Inter Partes Order | 2.0 | 1.9 | 1.9 | 1.9 |
| Average Months from Filing to Denial | 2.0 | 1.7 | 1.9 | 2.0 |
| Average Months from Ex Parte Denial | 2.1 | 1.6 | 1.9 | 1.9 |
| Average Months to Inter Partes Denial | 1.5 | 2.0 | 2.0 | 2.2 |
| Average Months from Filing to FAOM | 4.7 | 5.3 | 4.7 | 5.0 |
| Average Months to Ex Parte FAOM | 5.5 | 6.0 | 5.7 | 5.8 |
| Average Months to Inter Partes FAOM | 3.0 | 3.0 | 2.9 | 3.2 |
| Average Months from Filing to NIRC | 27.7 | 22.5 | 20.6 | 15.6 |
| Average Months to Ex Parte NIRC | 26.4 | 21.2 | 19.7 | 15.3 |
| Average Months to Inter Partes NIRC | 34.1 | 34.2 | 28.4 | 33.9 |
| Average Months from Filing to Certificate | 27.9 | 32.7 | 27.3 | 22.2 |
| Average Months to Ex Parte Certificate | 26.5 | 31.8 | 26.3 | 21.1 |
| Average Months to Inter Partes Certificate | 37.0 | 37.9 | 38.0 | 31.9 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations – FY 2010



| Pending Reexaminations | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Preprocessing | 87 | 83 | 58 | 64 |
| Awaiting Order/Denial | 96 | 109 | 128 | 156 |
| Between Order and First Action on the Merits | 343 | 279 | 271 | 278 |
| Between FAOM and NIRC | 1345 | 1395 | 1377 | 1378 |
| Between NIRC and Publication of Certificate | 162 | 197 | 207 | 218 |
| Total | 2033 | 2063 | 2041 | 2094 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings | 242 | 470 | 728 | 1061 |
| Ex Parte | 168 | 344 | 539 | 780 |
| Inter Partes | 74 | 126 | 189 | 281 |
| Orders Granting Reexamination | 205 | 396 | 586 | 831 |
| Ex Parte Orders | 153 | 282 | 423 | 607 |
| Inter Partes Orders | 52 | 114 | 163 | 224 |
| Denials | 10 | 23 | 45 | 62 |
| Ex Parte Denials | 10 | 22 | 40 | 55 |
| Inter Partes Denials | | 1 | 5 | 7 |
| First Actions on the Merits | 186 | 451 | 643 | 877 |
| Ex Parte FAOMs | 122 | 319 | 451 | 621 |
| Inter Partes FAOMs | 64 | 132 | 192 | 256 |
| Notices of Intent to Issue a Reexamination Certificate | 183 | 389 | 610 | 850 |
| Ex Parte NIRCs | 165 | 351 | 549 | 760 |
| Inter Partes NIRCs | 18 | 38 | 61 | 90 |
| Reexamination Certificates | 254 | 445 | 624 | 859 |
| Ex Parte Certificates | 224 | 395 | 560 | 771 |
| Inter Partes Certificates | 30 | 50 | 64 | 88 |

Timing of proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Average Months from Filing to Order | 1.9 | 1.9 | 1.6 | 1.8 |
| Average Months to Ex Parte Order | 1.9 | 1.8 | 1.6 | 1.7 |
| Average Months to Inter Partes Order | 2.2 | 2.1 | 1.8 | 2.0 |
| Average Months from Filing to Denial | 2.0 | 1.9 | 1.6 | 1.7 |
| Average Months from Ex Parte Denial | 2.0 | 2.0 | 1.7 | 1.6 |
| Average Months to Inter Partes Denial | | 1.6 | 1.1 | 1.8 |
| Average Months from Filing to FAOM | 6.5 | 6.9 | 6.2 | 6.4 |
| Average Months to Ex Parte FAOM | 7.6 | 7.8 | 7.4 | 7.5 |
| Average Months to Inter Partes FAOM | 4.4 | 4.2 | 3.6 | 3.3 |
| Average Months from Filing to NIRC | 24.1 | 24.1 | 28.2 | 26.1 |
| Average Months to Ex Parte NIRC | 23.0 | 23.8 | 27.4 | 25.0 |
| Average Months to Inter Partes NIRC | 34.6 | 26.9 | 34.8 | 33.5 |
| Average Months from Filing to Certificate | 31.8 | 27.6 | 28.5 | 30.5 |
| Average Months to Ex Parte Certificate | 31.1 | 26.5 | 28.4 | 29.7 |
| Average Months to Inter Partes Certificate | 37.3 | 37.3 | 29.5 | 37.6 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations – FY 2009



Pending Reexaminations

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Preprocessing | 42 | 77 | 56 | 89 |
| Awaiting Order/Denial | 127 | 110 | 127 | 101 |
| Between Order and First Action on the Merits | 438 | 373 | 339 | 323 |
| Between FAOM and NIRC | 1336 | 1356 | 1373 | 1349 |
| Between NIRC and Publication of Certificate | 171 | 224 | 207 | 258 |
| Total | 2114 | 2140 | 2102 | 2120 |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4 |
|--|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Filings | 222 | 441 | 676 | 916 |
| Ex Parte | 154 | 308 | 481 | 658 |
| Inter Partes | 68 | 133 | 195 | 258 |
| Orders Granting Reexamination | 191 | 392 | 583 | 794 |
| Ex Parte Orders | 153 | 288 | 427 | 576 |
| Inter Partes Orders | 38 | 104 | 156 | 218 |
| Denials | 17 | 27 | 41 | 51 |
| Ex Parte Denials | 10 | 18 | 29 | 38 |
| Inter Partes Denials | 7 | 9 | 12 | 13 |
| First Actions on the Merits | 195 | 449 | 686 | 910 |
| Ex Parte FAOMs | 154 | 332 | 519 | 701 |
| Inter Partes FAOMs | 41 | 117 | 167 | 209 |
| Notices of Intent to Issue a Reexamination Certificate | 137 | 372 | 581 | 822 |
| Ex Parte NIRCs | 130 | 338 | 521 | 735 |
| Inter Partes NIRCs | 7 | 34 | 60 | 87 |
| Reexamination Certificates | 171 | 346 | 571 | 797 |
| Ex Parte Certificates | 160 | 324 | 527 | 724 |
| Inter Partes Certificates | 11 | 22 | 44 | 73 |

Timing of proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 |
|--|-----------|-----------|-----------|-----------|
| Average Months from Filing to Order | 2.0 | 1.8 | 1.8 | 1.9 |
| Average Months to Ex Parte Order | 1.9 | 1.7 | 1.7 | 1.8 |
| Average Months to Inter Partes Order | 2.3 | 2.1 | 1.9 | 2.1 |
| Average Months from Filing to Denial | 2.4 | 2.2 | 1.6 | 1.7 |
| Average Months from Ex Parte Denial | 2.1 | 2.3 | 1.7 | 1.8 |
| Average Months to Inter Partes Denial | 2.8 | 1.8 | 1.0 | 1.0 |
| Average Months from Filing to FAOM | 9.5 | 8.7 | 7.7 | 7.5 |
| Average Months to Ex Parte FAOM | 10.3 | 10.6 | 8.7 | 8.2 |
| Average Months to Inter Partes FAOM | 6.1 | 4.2 | 3.7 | 4.7 |
| Average Months from Filing to NIRC | 24.2 | 28.9 | 28.3 | 25.8 |
| Average Months to Ex Parte NIRC | 23.5 | 28.1 | 28.2 | 24.7 |
| Average Months to Inter Partes NIRC | 36.6 | 35.2 | 29.3 | 34.5 |
| Average Months from Filing to Certificate | 32.9 | 31.0 | 33.8 | 31.1 |
| Average Months to Ex Parte Certificate | 32.3 | 31.1 | 33.0 | 30.5 |
| Average Months to Inter Partes Certificate | 41.7 | 28.9 | 41.7 | 35.2 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

Reexaminations – FY 2008



Pending Reexaminations

Preprocessing
 Awaiting Order/Denial
 Between Order and First Action on the Merits
 Between FAOM and NIRC
 Between NIRC and Publication of Certificate
 Total

Quarter 1* Quarter 2* Quarter 3* Quarter 4

Volume of Proceedings

| | YTD Through Quarter 1* | YTD Through Quarter 2* | YTD Through Quarter 3* | YTD Through Quarter 4 |
|--|------------------------------|------------------------------|------------------------------|-----------------------------|
| Filings | 210 | 412 | 615 | 848 |
| Ex Parte | 165 | 330 | 487 | 680 |
| Inter Partes | 45 | 82 | 128 | 168 |
| Orders Granting Reexamination | 185 | 372 | 579 | 768 |
| Ex Parte Orders | 153 | 305 | 468 | 626 |
| Inter Partes Orders | 32 | 67 | 111 | 142 |
| Denials | 7 | 20 | 41 | 48 |
| Ex Parte Denials | 5 | 13 | 33 | 40 |
| Inter Partes Denials | 2 | 7 | 8 | 8 |
| First Actions on the Merits | 182 | 400 | 613 | 895 |
| Ex Parte FAOMs | 157 | 334 | 506 | 752 |
| Inter Partes FAOMs | 25 | 66 | 107 | 143 |
| Notices of Intent to Issue a Reexamination Certificate | 138 | 249 | 377 | 504 |
| Ex Parte NIRCs | 131 | 234 | 357 | 471 |
| Inter Partes NIRCs | 7 | 15 | 20 | 33 |
| Reexamination Certificates | 175 | 279 | 408 | 597 |
| Ex Parte Certificates | 174 | 273 | 392 | 575 |
| Inter Partes Certificates | 1 | 6 | 16 | 22 |

Timing of proceedings

| | Quarter 1* | Quarter 2* | Quarter 3* | Quarter 4 |
|--|------------|------------|------------|-----------|
| Average Months from Filing to Order | 2.0 | 2.0 | 1.8 | 1.9 |
| Average Months to Ex Parte Order | 1.9 | 1.9 | 1.8 | 1.8 |
| Average Months to Inter Partes Order | 2.3 | 2.3 | 2.1 | 2.2 |
| Average Months from Filing to Denial | 2.2 | 2.3 | 2.5 | 1.6 |
| Average Months from Ex Parte Denial | 2.1 | 2.1 | 2.5 | 1.6 |
| Average Months to Inter Partes Denial | 2.7 | 2.8 | 1.9 | 0 |
| Average Months from Filing to FAOM | 10.8 | 10.9 | 11.2 | 11.3 |
| Average Months to Ex Parte FAOM | 12.0 | 12.2 | 12.5 | 12.1 |
| Average Months to Inter Partes FAOM | 3.5 | 5.1 | 5.6 | 6.3 |
| Average Months from Filing to NIRC | 24.2 | 26.4 | 25.5 | 26.3 |
| Average Months to Ex Parte NIRC | 23.5 | 26.3 | 25.4 | 25.2 |
| Average Months to Inter Partes NIRC | 36.6 | 27.5 | 28.2 | 38.5 |
| Average Months from Filing to Certificate | 32.9 | 31.6 | 37.6 | 31.8 |
| Average Months to Ex Parte Certificate | 32.9 | 31.3 | 38.0 | 31.7 |
| Average Months to Inter Partes Certificate | 27.2 | 38.3 | 32.7 | 36.0 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC (“Notice of Intent to Issue a Reexamination Certificate”) and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

*Prior to the fourth quarter of Y 2008, PALM data was retrieved as of April 2009. Starting in the fourth quarter of 2008, data is from the end of the respective quarter.

Reexaminations – FY 2007



Pending Reexaminations

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4* |
|--|-----------|-----------|-----------|------------|
| Preprocessing | | | | |
| Awaiting Order/Denial | | | | |
| Between Order and First Action on the Merits | | | | |
| Between FAOM and NIRC | | | | |
| Between NIRC and Publication of Certificate | | | | |
| Total | | | | |

Volume of Proceedings

| | YTD Through Quarter 1 | YTD Through Quarter 2 | YTD Through Quarter 3 | YTD Through Quarter 4* |
|--|-----------------------------|-----------------------------|-----------------------------|------------------------------|
| Filings | | | | 769 |
| Ex Parte | | | | 643 |
| Inter Partes | | | | 126 |
| Orders Granting Reexamination | | | | 693 |
| Ex Parte Orders | | | | 575 |
| Inter Partes Orders | | | | 118 |
| Denials | | | | 20 |
| Ex Parte Denials | | | | 19 |
| Inter Partes Denials | | | | 1 |
| First Actions on the Merits | | | | 499 |
| Ex Parte FAOMs | | | | 416 |
| Inter Partes FAOMs | | | | 83 |
| Notices of Intent to Issue a Reexamination Certificate | | | | 417 |
| Ex Parte NIRCs | | | | 409 |
| Inter Partes NIRCs | | | | 8 |
| Reexamination Certificates | | | | 394 |
| Ex Parte Certificates | | | | 391 |
| Inter Partes Certificates | | | | 3 |

Timing of proceedings

| | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4* |
|--|-----------|-----------|-----------|------------|
| Average Months from Filing to Order | | | | 2.0 |
| Average Months to Ex Parte Order | | | | 2.0 |
| Average Months to Inter Partes Order | | | | 2.4 |
| Average Months from Filing to Denial | | | | 2.1 |
| Average Months from Ex Parte Denial | | | | 2.1 |
| Average Months to Inter Partes Denial | | | | 0 |
| Average Months from Filing to FAOM | | | | 12.3 |
| Average Months to Ex Parte FAOM | | | | 14.2 |
| Average Months to Inter Partes FAOM | | | | 3.2 |
| Average Months from Filing to NIRC | | | | 28.3 |
| Average Months to Ex Parte NIRC | | | | 28.3 |
| Average Months to Inter Partes NIRC | | | | 27.7 |
| Average Months from Filing to Certificate | | | | 39.6 |
| Average Months to Ex Parte Certificate | | | | 39.9 |
| Average Months to Inter Partes Certificate | | | | 27.1 |

Source: Filings from ex parte and inter partes historical statistics.

Pendency, First Action, NIRC ("Notice of Intent to Issue a Reexamination Certificate") and Certificate date from PALM.

Note: This data is recorded by reexamination control number. Where proceedings are merged, the merged proceeding will appear as multiple instances. For this reason the certificate count will not match the number of individual reexamination certificates published in the Office Gazette.

*Prior to the fourth quarter of Y 2008, PALM data was retrieved as of April 2009. Starting in the fourth quarter of 2008, data is from the end of the respective quarter.