

CERTIFICATION AND PETITION TO MAKE SPECIAL UNDER THE GLOSSARY PILOT PROGRAM		
Attorney Docket Number:	Application Number (if known):	Filing date:
First Named Inventor:		
Title:		
<p>APPLICANT HEREBY CERTIFIES THE FOLLOWING AND PETITIONS TO PARTICIPATE IN THE GLOSSARY PILOT PROGRAM FOR THE ABOVE-IDENTIFIED APPLICATION.</p> <ol style="list-style-type: none"> This certification and petition is being electronically filed with the non-provisional application using the USPTO electronic filing system (EFS-Web). The application is an original non-provisional utility application filed under 35 U.S.C. 111(a) within the duration of the pilot program. The following are excluded from the program: design applications, national stage applications, plant applications, PCT international applications, provisional applications, reissue applications, and reexamination proceedings. The application cannot claim the benefit of a prior U.S. application except a provisional application, unless the application is a continuation-in-part application. All benefit claims are included in an application data sheet (see 37 CFR 1.76 and 1.78), and the applicable box is checked below: <ul style="list-style-type: none"> <input type="checkbox"/> a. This application does not directly claim the benefit of any prior U.S. application. --- OR --- <input type="checkbox"/> b. This application directly claims the benefit of a provisional application filed within the previous 12 months. --- OR --- <input type="checkbox"/> c. This application is a continuation-in-part application claiming the benefit of one or more prior U.S. or PCT international applications. The application can claim priority to a foreign application, and all priority claims are included in an application data sheet (see 37 CFR 1.76 and 1.78). The applicable box is checked below: <ul style="list-style-type: none"> <input type="checkbox"/> a. This application does not claim priority to a foreign application. --- OR --- <input type="checkbox"/> b. This application claims priority to one or more foreign applications. Copies of the foreign applications are being submitted herewith. If any of the foreign applications is not in the English language, an English-language translation of such foreign application is submitted herewith. The application contains, or is amended to contain, at least one claim but no more than four (4) independent claims and thirty (30) total claims. The application does not contain any multiple dependent claims. By filing this certification and petition, applicant certifies that the application contains a glossary section in the Detailed Description, and that the glossary section meets all of the eligibility requirements for expedited examination until the first Office action under the Glossary Pilot Program. See Instruction Sheet. 		
Signature		Date
Name (Print/Typed)		Registration Number
<p>Note: This form must be signed in accordance with 37 CFR 1.33. Please see 37 CFR 1.4(d) for signature requirements and certifications. Submit multiple forms if more than one signature is required - see below*.</p>		
*Total of ___ forms are submitted.		

**Instruction Sheet for
Certification And Petition to Make Special Under the Glossary Pilot Program**
(Not to be Submitted to the USPTO)

The following is a summary of the requirements (for more information see the notice "Glossary Pilot Program", available on the USPTO web site at http://www.uspto.gov/patents/init_events/glossary_initiative.jsp):

- (1) The application must be: (a) an original, non-reissue, non-provisional utility application filed under 35 U.S.C. 111(a) that does not claim the benefit of a prior filed U.S. application (i.e., cannot be a continuation or divisional application), except the application can claim benefit of a provisional application; or (b) a continuation-in-part application claiming the benefit of a prior non-provisional utility application under 35 U.S.C. 120 or 365(c) filed for the purposes of providing a glossary in accordance with this program. The application cannot be an international application, national stage application filed under 35 U.S.C. 371, design application, or plant application.
- (2) Upon filing, the application must contain a specification in the English language including a glossary section that meets the eligibility requirements for the Glossary Pilot Program (see the eligibility requirements of section III of the notice cited above).
- (3) The application must contain at least one claim, four or fewer independent claims and thirty or fewer total claims. The application must not contain any multiple dependent claims. For an application that contains more than four independent claims or thirty total claims, or multiple dependent claims, applicant must file a preliminary amendment in compliance with 37 CFR 1.121 to cancel the excess claims and/or the multiple dependent claims at the time the application is filed.
- (4) The claims must be directed to an invention that is classified in one of the U.S. patent classifications examined by USPTO Technology Centers 2100, 2400, or 2600, or the Business Methods Group of Technology Center 3600 at the time of examination (see the eligibility requirements of section III of the notice cited above).
- (5) The application and all follow-on papers must be timely filed electronically using the USPTO electronic filing system, EFS-Web.
- (6) The certification and petition to make special must be timely filed electronically using the USPTO electronic filing system, EFS-Web, and selecting the document description of "Petition for Glossary Pilot" on the EFS-Web screen. Applicant should use form PTO/SB/436, which is available as a Portable Document Format (PDF) fillable form in EFS-Web and on the USPTO Web site.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.