

USPTO Budget Update

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Chief Financial Officer

February 9, 2018

UNITED STATES
PATENT AND TRADEMARK OFFICE



Agenda

- FY 2018 Status
- FY 2019 Budget
- Fee Setting Authority

FY 2018 Status

- USPTO's appropriation lapsed January 20, 2018 at 12:01am
 - The Agency maintains sufficient carryover funding from prior fiscal years and stayed open during the federal government shut down
- A new Continuing Resolution (CR) was passed on January 22, 2018, which appropriates the Agency through February 8, 2018
 - The USPTO is held to an adjusted spending level calculated off of \$3,230M (plus carryover and other income)
 - The CR includes a rescission of 0.6791%

FY 2018 Status – Fees

- Trademark’s planned fee estimate is \$336.3M

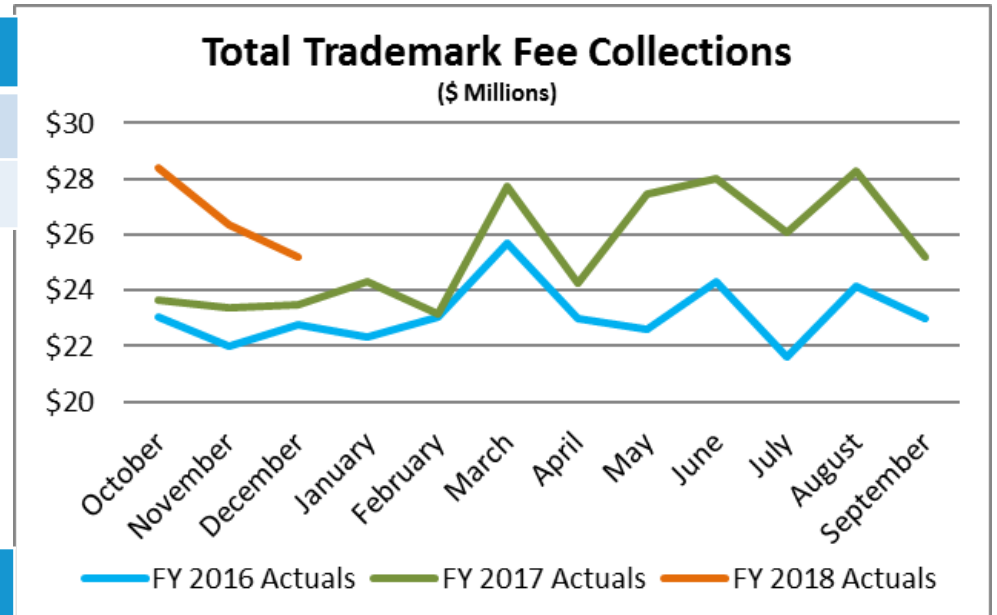
Total Trademark Collections (Dollars in Thousands)

FY 2016		FY 2017		FY 2018	
Actual	Increase	Actual	Increase	Plan	Increase
\$277,579		\$305,033	9.9%	\$336,287	10.2%

- Collections through December 31st are currently 13.3% above the corresponding FY 2017 timeframe

Year-To-Date Comparison (Dollars in Thousands)

FY 2016		FY 2017		FY 2018	
Actual	Increase	Actual	Increase	Actual	Increase
\$67,849		\$70,557	4.0%	\$79,944	13.3%



FY 2018 Status – Spending

Projected End-of-Year Funding as of December 31, 2017

Trademarks	
Projected Income Summary	Actual
Fee Collections – End-of-Year	\$336,287,135
Actual Prior Year Carryover	120,655,346
Other Income – Current Apportionment	6,451,970
OIG Transfer Estimate	(196,863)
<i>Total Projected Income</i>	<i>463,197,588</i>
Projected Spending	
Actual Commitments and Obligations	110,193,389
Projected Spending for Remainder of Year	228,233,819
<i>Total Projected Spending</i>	<i>338,427,208</i>
Total Projected Operating Reserve	\$124,770,380

FY 2019 Budget

- The FY 2019 President's Budget request will be submitted on February 12, 2018 to Congress
 - TPAC received a draft to review mid January 2018.
USPTO requested comments by January 31, 2018
- The DoC Secretary's appropriation hearing before the House and Senate CJS subcommittees is expected to occur later this spring

Fee Setting Authority

- Absent Congressional action, the USPTO's fee setting authority under section 10 of the AIA will expire on September 16, 2018

Questions and Comments

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