

I. S-SIGNATURE EXAMPLES, 37 CFR 1.4(d)(2) effective September 21, 2004

**A. BY INVENTORS, AFFIANTS (e.g., §§ 1.131 & 1.132), ASSIGNEES AND PRACTITIONERS SIGNING AS INVENTORS**

	<b><u>SIGNATURE TYPE</u></b>	<b><u>SIGNATURE</u></b>	<b><u>TREATMENT</u></b>	<b><u>PREFERRED</u></b>
1.	S-Signature within forward slashes, name below	<u>/John T. Smith/</u> John T. Smith	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	✓
2.	S-Signature with spaces within forward slashes, name below	<u>/ John T. Smith /</u> John T. Smith	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
3.	S-Signature within forward slashes, name below but no line under the S-Signature	/John T. Smith/ John T. Smith	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2), as a line is not required, although it is recommended.	
4.	Script font S-Signature within slashes, name below	<u>/John T. Smith/</u> John T. Smith	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
5.	Name above, S-Signature within slashes, below	<u>John T. Smith</u> /John T. Smith/	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
6.	S-Signature within slashes, name on right side	/John T. Smith/ John T. Smith	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
7.	S-Signature within slashes, name below, both signature and name have typos	<u>/Johon T. Smith/</u> John T. Smmith	<b>Proper signature</b> Signer identifiable, typo should be noted in record.	
8.	S-Signature without slashes, name below	<u>John T. Smith</u> John T. Smith	<b>Improper signature</b> Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	
9.	Script font S-Signature without slashes, name below	<u>John T. Smith</u> John T. Smith	<b>Improper signature</b> Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	
10.	S-Signature within double slashes, name below	//John T. Smith// John T. Smith	<b>Improper signature</b> Treat as unsigned due to extra slashes, § 1.4(d)(2)(i).	
11.	S-Signature within slashes	/John T. Smith/	<b>Improper signature</b> Treat as unsigned as no name provided, § 1.4(d)(2)(iii).	
12.	Graphic symbol S-Signature within slashes, name below	/☺/ John T. Smith	<b>Improper signature</b> Treat as unsigned due to use of graphic symbol, § 1.4(d)(2)(i).	
13.	Improper punctuation symbols in S-Signature within slashes, name below	<u>/Good?Great!!!/</u> John T. Smith	<b>Improper signature</b> Treat as unsigned due to use of ? and ! symbols, § 1.4(d)(2)(i).	

NOTE: Signatures by assignees are also required to be by: 1) an appropriate party of the assignee to sign; 2) supported by evidence that the proper assignee is submitting the document. The assignee of the entire interest, or all partial assignees, must sign any correspondence (§§ 3.71(b) & (c)).

I. S-SIGNATURES EXAMPLES (continued)

**B. BY PRACTITIONERS OF RECORD OR ACTING IN A REPRESENTATIVE CAPACITY**

	<b>SIGNATURE TYPE</b>	<b>SIGNATURE</b>	<b>TREATMENT</b>	<b>PREFERRED</b>
1.	S-Signature & reg no. within slashes, name below	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	✓
2.	S-Signature & reg no. within slashes, name below but no line under S-Signature	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2), as a line is not required, although it is recommended.	
3.	Script font S-Signature within slashes, name & reg. no. below	<u>/John T. Smith/ John T. Smith, Reg. #999999</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
4.	S-Signature within slashes, name below with reg. no.	<u>/Customer Number 555555/ John T. Smith, Reg. No. 999999</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
5.	S-Signature within slashes, name below with reg. no.	<u>/John T. Smith/ John T. Smith, Reg. #999999</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	✓
6.	S-Signature within slashes, and with reg. no. to the right, name below	<u>/John T. Smith/ Reg. #999999 John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	✓
7.	Reg. no. to left of S-Signature within slashes, name below	<u>Reg. #999999 /John T. Smith/ John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
8.	Name above, S-Signature and reg. no. within slashes below	<u>John T. Smith /John T. Smith, Reg.# 999999/</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
9.	S-Signature and reg. no. within slashes, name on right side	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2).	
10.	S-Signature and reg. no. within slashes, name below; John T. Smith signing his signature for Bill Jones (whose name appears first)	<u>Bill Jones by /John T. Smith, Reg.# 999999/ John T. Smith</u>	<b>Proper Signature</b> Treat as signed, § 1.4(d)(2). Acceptable because of John T. Smith's signature, for himself.	
11.	S-Signature within double slashes, name and reg. no. below	<u>//John T. Smith// John T. Smith, Reg. #999999</u>	<b>Improper signature</b> Treat as unsigned due to extra slashes, § 1.4(d)(2)(i).	
12.	S-Signature within back slashes, name and reg. no. below	<u>\John T. Smith\ John T. Smith, Reg. #999999</u>	<b>Improper signature</b> Treat as unsigned due to back slashes instead of forward slashes, § 1.4(d)(2)(i).	
13.	S-Signature without slashes, name and reg. no. below	<u>John T. Smith John T. Smith, Reg. #999999</u>	<b>Improper signature</b> Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	

**I. EXAMPLES OF S-SIGNATURES (continued)**

**B. BY PRACTITIONERS OF RECORD OR ACTING IN A REPRESENTATIVE CAPACITY (continued)**

- |     |  |   |  |
|-----|--|---|--|
| 14. | Script font S-Signature without slashes, name and reg. no. below   | <u>John T. Smith</u><br>John T. Smith, Reg. #999999                   | <u>Improper signature.</u><br>Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).              |
| 15. | Graphic symbol S-Signature within slashes, name and reg. no. below   | <u>/☺face/</u><br>John T. Smith, Reg #999999                          | <u>Improper signature.</u><br>Treat as unsigned due to usage of graphic symbol, § 1.4(d)(2)(i).      |
| 16. | Improper punctuation symbols in S-Signature within slashes, name and reg. no. below  | <u>/Good?Great!!!/</u><br>John T. Smith, Reg #999999                  | <u>Improper signature.</u><br>Treat as unsigned due to use of ? and ! symbols, § 1.4(d)(2)(i).       |
| 17. | S-Signature within slashes and reg. no. to right   | /John T. Smith/ Reg #99999  | <u>Improper signature.</u><br>Treat as unsigned as no name provided, § 1.4(d)(2)(iii).               |
| 18. | S-Signature within slashes and name below  | <u>/John T. Smith/</u><br>John T. Smith                               | <u>Improper signature.</u><br>Treat as unsigned as no registration number provided, § 1.4(d)(2)(ii). |
| 19. | S-Signature and reg. no. with only 1 slash, name below.<br>John T. Smith signing his signature for Bill Jones (whose name appears first) | Bill Jones<br><u>by/ John T. Smith, Reg.# 999999</u><br>John T. Smith | <u>Improper signature.</u><br>Treat as unsigned as no slash mark is after signature, § 1.4(d)(2)(i). |
| 20. | S-Signature and reg. no. within slashes, John T. Smith signing his signature for Bill Jones (whose name appears first)                   | Bill Jones<br><u>by /John T. Smith, Reg.# 999999/</u>                 | <u>Improper signature.</u><br>Treat as unsigned as no signer name provided, § 1.4(d)(2)(iii).        |



### III. S-SIGNATURE EXAMPLE PERMITTED ON CURRENT OFFICE CREATED FORMS:

Fillable Office forms found on the Office's website will be treated, if appropriately completed, as compliant with 37 CFR 1.4(d)(2).  
Requirements for placement of signature, name and registration number:

PTO/SB/24 (06-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p><b>EXPRESS ABANDONMENT UNDER 37 CFR 1.138</b></p> <p style="font-size: x-small;">Fax directly to the Pre-Grant Publication Division at (703) 305-8668; or mail to: Mail Stop Express Abandonment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450</p>	Application Number	
	Filing Date	
	First Named Inventor	
	Art Unit	
	Examiner Name	
	Attorney Docket Number	

Please check only one of boxes 1 or 2 below:  
(If no box is checked, this paper will be treated as a request for express abandonment as of the filing date of this paper.)

assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)

attorney or agent of record. Registration Number \_\_\_\_\_

attorney or agent acting under 37 CFR 1.34 (may act under 37 CFR 1.34  
only if box 2 above, stating that the application is expressly abandoned in favor of  
a continuing application, is checked). Attorney or agent registration number if  
acting under 37 CFR 1.34. 999 999  
(Attorney or agent registration number)

**/John T. Smith/** **September 21, 2004**

Signature Date

**John T. Smith** **999-555-5555**

Typed or printed name Telephone Number

## IV. EFS CHARACTER CODED SIGNATURE EXAMPLE

37 CFR 1.4(d)(3) (Note: this form of signature was previously permitted under a waiver of the rules for EFS. EFS documentation did not require forward slashes until August 23, 2004):

### A. CREATION (INPUT):

How to input a signature into an EFS menu, which creates an EFS character coded form, which is then transmitted via EFS to the office.

Example, EFS payment form menu:

The screenshot shows the USPTO ePAVE 5.1 - IDS / Fee Transmittal / Method of payment window. The window has a menu on the left and a form on the right. The menu includes 'Actions' (Add common data elements, Attach files to the project, Validate the project, Submit to USPTO, Obtain acknowledgement receipt) and 'Information Disclosure Statement' (Information disclosure statement, Preview (IDS), Fee Transmittal, Fee calculation, Method of payment, Preview (Fee transmittal)). The form is titled 'Method of Payment' and contains the following fields:

- Total fees due \$ 180
- Deposit account
- The Commissioner is hereby authorized to charge indicated fees and credit any over payment to
  - Charge any Additional Fee required under 37 C.F.R. Section 1.16 and 1.17.
  - Charge the Issue Fee set in 37 C.F.R. Section 1.18 at the mailing of the notice of allowance
  - Charge Assignment Fee required under 37 C.F.R. Section 1.21 ( h )
- Deposit account number [ ]
- Deposit account Access code [ ] Re-enter code [ ]
- Deposit account name Mr. Jonathan T. Dodson << Ab
- Authorized user name Mr. Jonathan T. Dodson << Ab
- Electronic signature mark /Jonathan T. Dodson/ ←
- Date Signed 2004-07-09 [ ] ( yyyy-mm-dd )

# IV. EFS CHARACTER CODED SIGNATURE EXAMPLE (continued):

## B. APPEARANCE IN IFW:

When the Office prints out an EFS filed document that has an EFS character coded signature, it is recognizable as an EFS submission by the inclusion of Electronic and Stylesheet Version numbers. Example, EFS Power of Attorney form:

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	
Electronic Version v05 Stylesheet Version v05.0	
Title of Invention	Automated Interview Method
First Named Applicant: Malchiel A. Doraisamy Attorney Docket Number: 1470.01	
We hereby appoint the registered practitioner(s) at Customer Number:	
21901	*21901*
as our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.	
We are the Applicants/Inventors.	
Full Names of Applicants of Record:	
Malchiel A. Doraisamy	
Signature: /malchiel a doraisamy/	Date: 2003-05-28
Gilbert R. Bellie	

## IV. EFS CHARACTER CODED SIGNATURE EXAMPLE (continued): C1. HOW TO RECOGNIZE AN EFS PAPER AS IT APPEARS IN IFW:


To assist in identifying EFS filings (e.g., new applications and IDSs), look for an EFS Data Sheet (which does not contain a signature). A sample older format EFS datasheet includes:

Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only		
EFS ID:	41106	
Application ID:	10250013	*10250013*
Title of Invention:	<b>Automated Interview Method</b>	
First Named Inventor:	<b>Malchiel Doraisamy</b>	
Domestic/Foreign Application:	<b>Domestic Application</b>	
Filing Date:	<b>2003-05-28</b>	
Effective Receipt Date:	<b>2003-05-28</b>	
Submission Type:	<b>Utility Patent Filing</b>	
Filing Type:	<b>new-utility</b>	
Confirmation number:	<b>2666</b>	
Attorney Docket Number:	<b>1470.01</b>	
Total Fees Authorized:	<b>510.0</b>	
Payment Category:	<b>Credit Card</b>	
Credit Card Number:	<b>*****2000</b>	

October 27, 2004

## IV. EFS CHARACTER CODED SIGNATURE EXAMPLE (continued): C2. HOW TO RECOGNIZE AN EFS PAPER AS IT APPEARS IN IFW:

To assist in identifying EFS filings (e.g., new applications and IDSs), look for an EFS Data Sheet (which does not contain a signature). A sample of the latest format EFS datasheet which includes list of the electronic documents that were filed:

ACKNOWLEDGEMENT RECEIPT																									
Electronic Version																									
Stylesheet Version v01																									
<b>Title of Invention</b>	METHOD OF ATTACHING A SEAT BELT TO A SEAT BELT TENSION SENSOR																								
Submission Type :	Utility Patent Filing																								
Application Number:	10/604319 																								
EFS ID:	43324																								
Server Response:	<table border="1"> <thead> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> </thead> <tbody> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>1318</td> </tr> </tbody> </table>	Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	1318																		
Confirmation Code	Message																								
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ICON1	1318																								
First Named Applicant:	James Stanley																								
Attorney Docket Number:	5701-01292																								
Timestamp:	2003-07-10 15:22:02 EDT																								
From:	US																								
File Listing:	<table border="1"> <thead> <tr> <th>Doc. Name</th> <th>File Name</th> <th>Size (Bytes)</th> <th>Date Produced (yyyymmdd)</th> </tr> </thead> <tbody> <tr> <td>us-fee-sheet</td> <td>ASL292US030710-usfees.xml</td> <td>1510</td> <td>2003-07-10</td> </tr> <tr> <td>us-fee-sheet</td> <td>us-fee-sheet.xml</td> <td>24912</td> <td>2003-07-10</td> </tr> <tr> <td>us-fee-sheet</td> <td>us-fee-sheet.ctd</td> <td>10901</td> <td>2003-07-10</td> </tr> <tr> <td>us-request</td> <td>ASL292US030710-usrequ.xml</td> <td>1908</td> <td>2003-07-10</td> </tr> <tr> <td>us-request</td> <td>us-request.ctd</td> <td>19064</td> <td>2003-07-10</td> </tr> </tbody> </table>	Doc. Name	File Name	Size (Bytes)	Date Produced (yyyymmdd)	us-fee-sheet	ASL292US030710-usfees.xml	1510	2003-07-10	us-fee-sheet	us-fee-sheet.xml	24912	2003-07-10	us-fee-sheet	us-fee-sheet.ctd	10901	2003-07-10	us-request	ASL292US030710-usrequ.xml	1908	2003-07-10	us-request	us-request.ctd	19064	2003-07-10
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