

incorporated by reference in 14 CFR 71.1. The Class E airspace designation listed in this document will be published subsequently in the Order.

The Direct Final Rule Procedure

The FAA anticipates that this regulation will not result in adverse or negative comment and, therefore, is issuing it as a direct final rule. Previous actions of this nature have not been controversial and have not resulted in adverse comments or objections. The amendment will enhance safety for all flight operations by designating an area where VFR pilots may anticipate the presence of IFR aircraft at lower altitudes, especially during inclement weather conditions. A greater degree of safety is achieved by depicting the area on aeronautical charts.

Unless a written adverse or negative comment, or a written notice of intent to submit an adverse or negative comment is received within the comment period, the regulation will become effective on the date specified above. After the close of the comment period, the FAA will publish a document in the **Federal Register** indicating that no adverse or negative comments were received and confirming the date on which the final rule will become effective.

If the FAA does receive, within the comment period, an adverse or negative comment, or written notice of intent to submit such a comment, a document withdrawing the direct final rule will be published in the **Federal Register**, and a notice of proposed rulemaking may be published with a new comment period.

Comments Invited

Although this action is in the form of a final rule and was not preceded by a notice of proposed rulemaking, comments are invited on this rule. Interested persons are invited to comment on this rule by submitting such written data, views, or arguments as they may desire. Communications should identify the Rules Docket number and be submitted in triplicate to the address specified under the caption **ADDRESSES**. All communications received on or before the closing date for comments will be considered, and this rule may be amended or withdrawn in light of the comments received. Factual information that supports the commenter's ideas and suggestions is extremely helpful in evaluating the effectiveness of this action and determining whether additional rulemaking action would be needed.

Comments are specifically invited on the overall regulatory, economic, environmental, and energy-related

aspects of the rule that might suggest a need to modify the rule. All comments submitted will be available, both before and after the closing date for comments, in the Rules Docket for examination by interested persons. A report that summarizes each FAA-public contact concerned with the substance of this action will be filed in the Rules Docket.

Commenters wishing the FAA to acknowledge receipt of their comments submitted in response to this rule must submit a self-addressed, stamped postcard on which the following statement is made: "Comments to Docket No. 00-ACE-24." The postcard will be stamped and returned to the commenter.

Agency Findings

The regulations adopted herein will not have a substantial direct effect on the States, on the relationship between the national Government and the States, or on the distribution of power and responsibilities among the various levels of government. Therefore, it is determined that this final rule does not have federalism implications under Executive Order 13132.

The FAA has determined that this regulation is noncontroversial and unlikely to result in adverse or negative comments. For the reasons discussed in the preamble, I certify that this regulation (1) is not a "significant regulatory action" under Executive Order 12866; (2) is not a "significant rule" under Department of Transportation (DOT) Regulatory Policies and Procedures (44 FR 11034, February 26, 1979); and (3) if promulgated, will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

List of Subjects in 14 CFR Part 71

Airspace, Incorporation by reference, Navigation (air).

Adoption of the Amendment

Accordingly, the Federal Aviation Administration amends 14 CFR part 71 as follows:

PART 71—DESIGNATION OF CLASS A, CLASS B, CLASS C, CLASS D, AND CLASS E AIRSPACE AREAS; AIRWAYS; ROUTES; AND REPORTING POINTS

1. The authority citation for part 71 continues to read as follows:

Authority: 49 U.S.C. 106(g), 40103, 40113, 40120; E.O. 10854, 24 FR 9565, 3 CFR, 1959-1963 Comp., p. 389.

§ 71.1 [Amended]

2. The incorporation by reference in 14 CFR 71.1 of Federal Aviation Administration Order 7400.9G Airspace Designations and Reporting Points, dated September 10, 1999, and effective September 16, 1999, is amended as follows:

Paragraph 6005 Class E airspace areas extending upward from 700 feet or more above the surface of the earth.

* * * * *

ACE MO E5 Washington, MO [Revised]

Washington Memorial Airport, MO
(Lat. 38°35'30" N., long. 90°50'51" W.)

Foristell VORTAC, MO
(Lat. 38°41'40" N., long. 90°58'17" W.)

That airspace extending upward from 700 feet above the surface within a 6.3-mile radius of Washington Memorial Airport.

* * * * *

Dated: Issued in Kansas City, MO on August 3, 2000.

Richard L. Day,

Acting Manager, Air Traffic Division, Central Region.

[FR Doc. 00-20453 Filed 8-10-00; 8:45 am]

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DEPARTMENT OF COMMERCE

Patent and Trademark Office

37 CFR Part 1

RIN 0651-AB01

Revision of Patent Fees for Fiscal Year 2001

AGENCY: United States Patent and Trademark Office, Commerce.

ACTION: Final rule.

SUMMARY: The United States Patent and Trademark Office (USPTO) is amending the rules of practice in patent cases to adjust certain patent fee amounts to reflect fluctuations in the Consumer Price Index (CPI). The USPTO is also amending the description of two fees to reflect current business practice.

EFFECTIVE DATE: October 1, 2000.

FOR FURTHER INFORMATION CONTACT: Matthew Lee by telephone at (703) 305-8051, by fax at (703) 305-8007, or by e-mail at matthew.lee@uspto.gov.

SUPPLEMENTARY INFORMATION: This final rule adjusts our fees in accordance with the applicable provisions of title 35, United States Code, as amended by the Consolidated Appropriations Act, Fiscal Year 2000 (which incorporated the Intellectual Property and Communications Omnibus Reform Act of 1999) (Public Law 106-113).

Background

Statutory Provisions

Patent fees are authorized by 35 U.S.C. 41 and 35 U.S.C. 376. A fifty percent reduction in the fees paid under 35 U.S.C. 41(a) and (b) by independent inventors, small business concerns, and nonprofit organizations who meet prescribed definitions is required by 35 U.S.C. 41(h)(1).

Subsection 41(f) of title 35, United States Code, provides that fees established under 35 U.S.C. 41(a) and (b) may be adjusted on October 1, 1992, and every year thereafter, to reflect fluctuations in the CPI over the previous twelve months.

Subsection 41(d) of title 35, United States Code, authorizes the Director to establish fees for all other processing, services, or materials related to patents to recover the average cost of providing these services or materials, except for the fees for recording a document affecting title, for each photocopy, for each black and white copy of a patent, and for library services.

Section 376 of title 35, United States Code, authorizes the Director to set fees for patent applications filed under the Patent Cooperation Treaty (PCT).

Subsection 41(g) of title 35, United States Code, provides that new fee amounts established by the Director under section 41 may take effect thirty days after notice in the **Federal Register** and the *Official Gazette of the United States Patent and Trademark Office*.

Fee Adjustment Level

The patent statutory fees established by 35 U.S.C. 41(a) and (b) will be adjusted on October 1, 2000, to reflect any fluctuations occurring during the previous twelve months in the Consumer Price Index for all urban consumers (CPI-U). In calculating these fluctuations, the Office of Management and Budget (OMB) has determined that the USPTO should use CPI-U data as determined by the Secretary of Labor. In accordance with previous fee-setting methodology, the USPTO uses the Administration's projected CPI-U for the twelve-month period ending September 30, 2000, which is 2.68 percent. Based on this projection, patent statutory fees will be adjusted by 2.68 percent.

Certain patent processing fees established under 35 U.S.C. 41(d), 132(b), 376, and Public Law 103-465 (the Uruguay Round Agreements Act) will be adjusted to reflect fluctuations in the CPI.

Four patent service fees that are set by statute will not be adjusted. The four fees that are not being adjusted are the

assignment recording fee, printed patent copy fee, photocopy charge fee, and library service fee.

The fee amounts were rounded by applying standard arithmetic rules so that the amounts rounded would be convenient to the user. Fees of \$100 or more were rounded to the nearest \$10. Fees between \$2 and \$99 were rounded to an even number so that any comparable small entity fee would be a whole number.

General Procedures

Any fee amount that is paid on or after the effective date of the fee increase will be subject to the new fees then in effect. For purposes of determining the amount of the fee to be paid, the date of mailing indicated on a proper Certificate of Mailing or Transmission, where authorized under 37 CFR 1.8, will be considered to be the date of receipt in our office. A Certificate of Mailing or Transmission under § 1.8 is not proper for items which are specifically excluded from the provisions of § 1.8. Items for which a Certificate of Mailing or Transmission under § 1.8 are not proper include, for example, for filing of Continued Prosecution Applications (CPAs) under § 1.53(d) and other national and international applications for patents. See 37 CFR 1.8(a)(2).

Under 37 CFR 1.10(a), any correspondence delivered by the "Express Mail Post Office to Addressee" service of the United States Postal Service (USPS) is considered filed or received in our office on the date of deposit with the USPS. The date of deposit with the USPS is shown by the "date-in" on the "Express Mail" mailing label or other official USPS notation.

To ensure clarity in the implementation of the new fees, a discussion of specific sections is set forth below.

Discussion of Specific Rules

37 CFR 1.16 National Application Filing Fees

Section 1.16, paragraphs (a), (b), (d), and (f) through (i), are revised to adjust fees established therein to reflect fluctuations in the CPI.

37 CFR 1.17 Patent Application Processing Fees

Section 1.17, paragraphs (a)(2) through (a)(5), (b) through (e), (m), (r), and (s), are revised to adjust fees established therein to reflect fluctuations in the CPI.

37 CFR 1.18 Patent Issue Fees

Section 1.18, paragraphs (a) through (c), are revised to adjust fees established therein to reflect fluctuations in the CPI.

37 CFR 1.20 Post-Issuance Fees

Section 1.20, paragraphs (e) through (g), are revised to adjust fees established therein to reflect fluctuations in the CPI.

37 CFR 1.21 Miscellaneous Fees and Charges

Section 1.21, paragraph (a)(6), is revised to amend the description to reflect current business practices.

37 CFR 1.492 National Stage Fees

Section 1.492, paragraphs (a), (b), and (d), are revised to adjust fees established therein to reflect fluctuations in the CPI.

Other Considerations

This final rule contains no information collection within the meaning of the Paperwork Reduction Act of 1995, 44 U.S.C. 3501 *et seq.* This final rule has been determined to be not significant for purposes of Executive Order 12866. This final rule does not contain policies with Federalism implications sufficient to warrant preparation of a Federalism Assessment under Executive Order 13132 (August 4, 1999).

Prior notice and opportunity for public comment for patent fee changes are not required by the Patent Statute or the Administrative Procedure Act. While the Patent Statute specifically requires that changes to patent fees shall not take effect "until at least 30 days after notice of the fee has been published in the **Federal Register** and in the *Official Gazette of the United States Patent and Trademark Office*," 35 U.S.C. 41(g), the statute does not require any additional publication of proposed fee changes. In addition, changes in patent fees are exempted from the notice of proposed rulemaking requirements of the Administrative Procedure Act under 5 U.S.C. 553(a)(2), as the establishment of fee amounts is a matter related to agency management.

As prior notice and an opportunity for public comment are not required pursuant to 5 U.S.C. 553, or any other law, the analytical requirements of the Regulatory Flexibility Act, 5 U.S.C. 601 *et seq.*, are inapplicable.

A comparison of existing and new fee amounts is included as an Appendix to this final rule.

List of Subjects in 37 CFR Part 1

Administrative practice and procedure, Inventions and patents, Reporting and recordkeeping requirements, Small businesses.

For the reasons set forth in the preamble, the USPTO is amending title 37 of the Code of Federal Regulations, Part 1, as set forth below.

PART 1—RULES OF PRACTICE IN PATENT CASES

1. The authority citation for 37 CFR part 1 continues to read as follows:

Authority: 35 U.S.C. 2(b)(2), unless otherwise noted.

2. Section 1.16 is amended by revising paragraphs (a), (b), (d), and (f) through (i) to read as follows:

§ 1.16 National application filing fees.

(a) Basic fee for filing each application for an original patent, except provisional, design, or plant applications:

By a small entity (§ 1.9(f))—\$355.00
By other than a small entity—\$710.00

(b) In addition to the basic filing fee in an original application, except provisional applications, for filing or later presentation of each independent claim in excess of 3:

By a small entity (§ 1.9(f))—\$40.00
By other than a small entity—\$80.00

* * * * *

(d) In addition to the basic filing fee in an original application, except provisional applications, if the application contains, or is amended to contain, a multiple dependent claim(s), per application:

By a small entity (§ 1.9(f))—\$135.00
By other than a small entity—\$270.00

* * * * *

(f) Basic fee for filing each design application:

By a small entity (§ 1.9(f))—\$160.00
By other than a small entity—\$320.00

(g) Basic fee for filing each plant application, except provisional applications:

By a small entity (§ 1.9(f))—\$245.00
By other than a small entity—\$490.00

(h) Basic fee for filing each reissue application:

By a small entity (§ 1.9(f))—\$355.00
By other than a small entity—\$710.00

(i) In addition to the basic filing fee in a reissue application, for filing or later presentation of each independent claim which is in excess of the number of independent claims in the original patent:

By a small entity (§ 1.9(f))—\$40.00
By other than a small entity—\$80.00

* * * * *

3. Section 1.17 is amended by revising paragraphs (a)(2) through (a)(5), (b) through (e), (m), (r), and (s) to read as follows:

§ 1.17 Patent application processing fees.

(a) * * *

(1) * * *

(2) For reply within second month:

By a small entity (§ 1.9(f))—\$195.00
By other than a small entity—\$390.00

(3) For reply within third month:

By a small entity (§ 1.9(f))—\$445.00
By other than a small entity—\$890.00

(4) For reply within fourth month:

By a small entity (§ 1.9(f))—\$695.00
By other than a small entity—\$1,390.00

(5) For reply within fifth month:

By a small entity (§ 1.9(f))—\$945.00
By other than a small entity—\$1,890.00

* * * * *

(b) For filing a notice of appeal from the examiner to the Board of Patent Appeals and Interferences:

By a small entity (§ 1.9(f))—\$155.00
By other than a small entity—\$310.00

(c) In addition to the fee for filing a notice of appeal, for filing a brief in support of an appeal:

By a small entity (§ 1.9(f))—\$155.00
By other than a small entity—\$310.00

(d) For filing a request for an oral hearing before the Board of Patent Appeals and Interferences in an appeal under 35 U.S.C. 134:

By a small entity (§ 1.9(f))—\$135.00
By other than a small entity—\$270.00

(e) To request continued examination pursuant to § 1.114:

By a small entity (§ 1.9(f))—\$355.00
By other than a small entity—\$710.00

* * * * *

(m) For filing a petition for the revival of an unintentionally abandoned application or the unintentionally delayed payment of the issue fee under 35 U.S.C. 41(a)(7) (§ 1.137(b)):

By a small entity (§ 1.9(f))—\$620.00
By other than a small entity—\$1,240.00

* * * * *

(r) For entry of a submission after final rejection under § 1.129(a):

By a small entity (§ 1.9(f))—\$355.00
By other than a small entity—\$710.00

(s) For each additional invention requested to be examined under § 1.129(b):

By a small entity (§ 1.9(f))—\$355.00
By other than a small entity—\$710.00

4. Section 1.18 is revised to read as follows:

§ 1.18 Patent issue fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (§ 1.9(f))—\$620.00
By other than a small entity—\$1,240.00

(b) Issue fee for issuing a design patent:

By a small entity (§ 1.9(f))—\$220.00
By other than a small entity—\$440.00

(c) Issue fee for issuing a plant patent:

By a small entity (§ 1.9(f))—\$300.00
By other than a small entity—\$600.00

5. Section 1.20 is amended by revising paragraphs (e) through (g) to read as follows:

§ 1.20 Post issuance fees.

* * * * *

(e) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond four years; the fee is due by three years and six months after the original grant:

By a small entity (§ 1.9(f))—\$425.00
By other than a small entity—\$850.00

(f) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond eight years; the fee is due by seven years and six months after the original grant:

By a small entity (§ 1.9(f))—\$975.00
By other than a small entity—\$1,950.00

(g) For maintaining an original or reissue patent, except a design or plant patent, based on an application filed on or after December 12, 1980, in force beyond twelve years; the fee is due by eleven years and six months after the original grant:

By a small entity (§ 1.9(f))—\$1,495.00
By other than a small entity—\$2,990.00

* * * * *

6. Section 1.21 is amended by revising paragraph (a)(6) to read as follows:

§ 1.21 Miscellaneous fees and charges.

* * * * *

(a) * * *

(6) For requesting regrading of an examination under § 10.7(c):

(i) Regrading of seven or fewer questions—\$230.00

(ii) Regrading of eight or more questions—\$460.00

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7. Section 1.492 is amended by revising paragraphs (a), (b), and (d) to read as follows:

§ 1.492 National stage fees.

* * * * *

(a) The basic national fee:

(1) Where an international preliminary examination fee as set forth in § 1.482 has been paid on the international application to the United States Patent and Trademark Office:

By a small entity (§ 1.9(f))—345.00
 By other than a small entity—690.00

(2) Where no international preliminary examination fee as set forth in § 1.482 has been paid to the United States Patent and Trademark Office, but an international search fee as set forth in § 1.445(a)(2) has been paid on the international application to the United States Patent and Trademark Office as an International Searching Authority:

By a small entity (§ 1.9(f))—355.00
 By other than a small entity—710.00

(3) Where no international preliminary examination fee as set forth in § 1.482 has been paid and no international search fee as set forth in § 1.445(a)(2) has been paid on the international application to the United States Patent and Trademark Office:

By a small entity (§ 1.9(f))—500.00
 By other than a small entity—1,000.00

(4) Where an international preliminary examination fee as set forth in § 1.482 has been paid to the United States Patent and Trademark Office, and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness), and industrial applicability, as defined in PCT Article 33 (1) to (4) have been satisfied for all the claims presented in the application entering the national stage (see § 1.496(b)):

By a small entity (§ 1.9(f))—50.00
 By other than a small entity—100.00

(5) Where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office:

By a small entity (§ 1.9(f))—430.00
 By other than a small entity—860.00

(b) In addition to the basic national fee, for filing or later presentation of each independent claim in excess of 3:

By a small entity (§ 1.9(f))—40.00
 By other than a small entity—80.00
 * * * * *

(d) In addition to the basic national fee, if the application contains, or is amended to contain, a multiple dependent claim(s), per application:

By a small entity (§ 1.9(f))—135.00
 By other than a small entity—270.00
 * * * * *

Dated: July 14, 2000.

Q. Todd Dickinson,
Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office.

Note: The following appendix is provided as a courtesy to the public, but is not a substitute for the rules. It will not appear in the Code of Federal Regulations.

Appendix A—Comparison of Existing and New Fee Amounts

[—Indicates fees remain at FY 2000 amount]

Fee code	37 CFR Sec.	Description	FY 2000	FY 2001
101	1.16(a)	Basic filing fee—Utility	\$690	\$710
201	1.16(a)	Basic filing fee—Utility (Small Entity)	345	355
131	1.16(a)	Basic filing fee—Utility (CPA)	690	710
231	1.16(a)	Basic filing fee—Utility (CPA) (Small Entity)	345	355
102	1.16(b)	Independent claims in excess of three	78	80
202	1.16(b)	Independent claims in excess of three (Small Entity)	39	40
103	1.16(c)	Claims in excess of twenty	18	—
203	1.16(c)	Claims in excess of twenty (Small Entity)	9	—
104	1.16(d)	Multiple dependent claim	260	270
204	1.16(d)	Multiple dependent claim (Small Entity)	130	135
105	1.16(e)	Surcharge—Late filing fee	130	—
205	1.16(e)	Surcharge—Late filing fee (Small Entity)	65	—
106	1.16(f)	Design filing fee	310	320
206	1.16(f)	Design filing fee (Small Entity)	155	160
132	1.16(f)	Design filing fee (CPA)	310	320
232	1.16(f)	Design filing fee (CPA) (Small Entity)	155	160
107	1.16(g)	Plant filing fee	480	490
207	1.16(g)	Plant filing fee (Small Entity)	240	245
133	1.16(g)	Plant filing fee (CPA)	480	490
233	1.16(g)	Plant filing fee (CPA) (Small Entity)	240	245
108	1.16(h)	Reissue filing fee	690	710
208	1.16(h)	Reissue filing fee (Small Entity)	345	355
134	1.16(h)	Reissue filing fee (CPA)	690	710
234	1.16(h)	Reissue filing fee (CPA) (Small Entity)	345	355
109	1.16(i)	Reissue independent claims	78	80
209	1.16(i)	Reissue independent claims (Small Entity)	39	40
110	1.16(j)	Reissue claims in excess of twenty	18	—
210	1.16(j)	Reissue claims in excess of twenty (Small Entity)	9	—
114	1.16(k)	Provisional application filing fee	150	—
214	1.16(k)	Provisional application filing fee (Small Entity)	75	—
127	1.16(l)	Surcharge—Late provisional filing fee	50	—
227	1.16(l)	Surcharge—Late provisional filing fee (Small Entity)	25	—
115	1.17(a)(1)	Extension—First month	110	—
215	1.17(a)(1)	Extension—First month (Small Entity)	55	—
116	1.17(a)(2)	Extension—Second month	380	390
216	1.17(a)(2)	Extension—Second month (Small Entity)	190	195
117	1.17(a)(3)	Extension—Third month	870	890
217	1.17(a)(3)	Extension—Third month (Small Entity)	435	445
118	1.17(a)(4)	Extension—Fourth month	1,360	1,390
218	1.17(a)(4)	Extension—Fourth month (Small Entity)	680	695
128	1.17(a)(5)	Extension—Fifth month	1,850	1,890
228	1.17(a)(5)	Extension—Fifth month (Small Entity)	925	945
119	1.17(b)	Notice of appeal	300	310
219	1.17(b)	Notice of appeal (Small Entity)	150	155

[—Indicates fees remain at FY 2000 amount]

Fee code	37 CFR Sec.	Description	FY 2000	FY 2001
120	1.17(c)	Filing a brief in support of an appeal	300	310
220	1.17(c)	Filing a brief in support of an appeal (Small Entity)	150	155
121	1.17(d)	Request for oral hearing	260	270
221	1.17(d)	Request for oral hearing (Small Entity)	130	135
179	1.17(e)	Request for continued examination (RCE)	690	710
279	1.17(e)	Request for continued examination (RCE) (Small Entity)	345	355
122	1.17(h)	Petition—Not all inventors	130	—
122	1.17(h)	Petition—Correction of inventorship	130	—
122	1.17(h)	Petition—Decision on questions	130	—
122	1.17(h)	Petition—Suspend rules	130	—
122	1.17(h)	Petition—Expedited license	130	—
122	1.17(h)	Petition—Scope of license	130	—
122	1.17(h)	Petition—Retroactive license	130	—
122	1.17(h)	Petition—Refusing maintenance fee	130	—
122	1.17(h)	Petition—Refusing maintenance fee—expired patent	130	—
122	1.17(h)	Petition—Interference	130	—
122	1.17(h)	Petition—Reconsider interference	130	—
122	1.17(h)	Petition—Late filing of interference	130	—
122	1.20(b)	Petition—Correction of inventorship	130	—
122	1.17(h)	Petition—Refusal to publish SIR	130	—
122	1.17(i)	Petition—For assignment	130	—
122	1.17(i)	Petition—For application	130	—
122	1.17(i)	Petition—Late priority papers	130	—
122	1.17(i)	Petition—Suspend action	130	—
122	1.17(i)	Petition—Divisional reissues to issue separately	130	—
122	1.17(i)	Petition—For interference agreement	130	—
122	1.17(i)	Petition—Amendment after issue	130	—
122	1.17(i)	Petition—Withdrawal after issue	130	—
122	1.17(i)	Petition—Defer issue	130	—
122	1.17(i)	Petition—Issue to assignee	130	—
122	1.17(i)	Petition—Accord a filing date under § 1.53	130	—
122	1.17(i)	Petition—Accord a filing date under § 1.62	130	—
122	1.17(i)	Petition—Make application special	130	—
138	1.17(j)	Petition—Public use proceeding	1,510	—
139	1.17(k)	Non-English specification	130	—
140	1.17(l)	Petition—Revive unavoidably abandoned appl.	110	—
240	1.17(l)	Petition—Revive unavoidably abandoned appl. (Small Entity)	55	—
141	1.17(m)	Petition—Revive unintentionally abandoned appl.	1,210	1,240
241	1.17(m)	Petition—Revive unintent. abandoned appl. (Small Entity)	605	620
112	1.17(n)	SIR—Prior to examiner's action	920	—
113	1.17(o)	SIR—After examiner's action	1,840	—
126	1.17(p)	Submission of an Information Disclosure Statement (§ 1.97)	240	—
123	1.17(q)	Petition—Correction of inventorship (prov. app.)	50	—
123	1.17(q)	Petition—Accord a filing date (prov. app.)	50	—
123	1.17(q)	Petition—Entry of submission after final rejection (prov. app.)	50	—
146	1.17(r)	Filing a submission after final rejection (1.129(a))	690	710
246	1.17(r)	Filing a submission after final rejection (1.129(a)) (Small Entity)	345	355
149	1.17(s)	Per additional invention to be examined (1.129(b))	690	710
249	1.17(s)	Per additional invention to be examined (1.129(b)) (Small Entity)	345	355
142	1.18(a)	Utility issue fee	1,210	1,240
242	1.18(a)	Utility issue fee (Small Entity)	605	620
143	1.18(b)	Design issue fee	430	440
243	1.18(b)	Design issue fee (Small Entity)	215	220
144	1.18(c)	Plant issue fee	580	600
244	1.18(c)	Plant issue fee (Small Entity)	290	300
561	1.19(a)(1)(i)	Patent copy	3	—
562	1.19(a)(1)(ii)	Patent copy, overnight delivery to USPTO Box or overnight fax	6	—
563	1.19(a)(1)(iii)	Patent copy, ordered by expedited mail or fax—exp. service	25	—
564	1.19(a)(2)	Plant patent copy	15	—
565	1.19(a)(3)	Copy of utility patent or SIR in color	25	—
566	1.19(b)(1)(i)	Certified copy of patent application as filed	15	—
567	1.19(b)(1)(ii)	Certified copy of patent application as filed, expedited	30	—
568	1.19(b)(2)	Cert. or uncert. copy of patent-related file wrapper and contents	150	—
569	1.19(b)(3)	Cert. or uncert. copy of document, unless otherwise provided	25	—
570	1.19(b)(4)	For assignment records, abstract of title and certification	25	—
571	1.19(c)	Library service	50	—
572	1.19(d)	List of U.S. patents and SIRs in subclass	3	—
573	1.19(e)	Uncertified statement re status of maintenance fee payment	10	—
574	1.19(f)	Copy of non-U.S. document	25	—
575	1.19(g)	Comparing and certifying copies, per document, per copy	25	—
576	1.19(h)	Duplicate or corrected filing receipt	25	—
145	1.20(a)	Certificate of correction	100	—

[—Indicates fees remain at FY 2000 amount]

Fee code	37 CFR Sec.	Description	FY 2000	FY 2001
147	1.20(c)	Filing a request for reexamination	2,520	—
148	1.20(d)	Statutory disclaimer	110	—
248	1.20(d)	Statutory disclaimer (Small Entity)	55	—
183	1.20(e)	Maintenance fee—due at 3.5 years	830	850
283	1.20(e)	Maintenance fee—due at 3.5 years (Small Entity)	415	425
184	1.20(f)	Maintenance fee—due at 7.5 years	1,900	1,950
284	1.20(f)	Maintenance fee—due at 7.5 years (Small Entity)	950	975
185	1.20(g)	Maintenance fee—due at 11.5 years	2,910	2,990
285	1.20(g)	Maintenance fee—due at 11.5 years (Small Entity)	1,455	1,495
186	1.20(h)	Surcharge—Late payment within 6 months	130	—
286	1.20(h)	Surcharge—Late payment within 6 months (Small Entity)	65	—
187	1.20(i)(1)	Surcharge—Maintenance after expiration—unavoidable	700	—
188	1.20(i)(2)	Surcharge—Maintenance after expiration—unintentional	1,640	—
111	1.20(j)(1)	Extension of term of patent (1.740)	1,120	—
124	1.20(j)(2)	Initial application for interim extension (1.790)	420	—
125	1.20(j)(3)	Subsequent application for interim extension (1.790)	220	—
609	1.21(a)(1)(i)	Application fee (non-refundable)	40	—
619	1.21(a)(1)(ii)	Registration examination fee	310	—
610	1.21(a)(2)	Registration to practice	100	—
611	1.21(a)(3)	Reinstatement to practice	40	—
612	1.21(a)(4)	Copy of certificate of good standing	10	—
613	1.21(a)(4)	Certificate of good standing—suitable for framing	20	—
615	1.21(a)(5)	Review of decision of Director, OED	130	—
616	1.21(a)(6)(i)	Regrading of seven or fewer questions	230	—
620	1.21(a)(6)(ii)	Regrading of eight or more questions	460	—
607	1.21(b)(1)	Establish deposit account	10	—
608	1.21(b)(2)	Service charge for below minimum balance	25	—
608	1.21(b)(3)	Service charge for below minimum balance—restricted account	25	—
577	1.21(c)	Disclosure document filing fee	10	—
578	1.21(d)	Local delivery box rental, annually	50	—
579	1.21(e)	International type search report	40	—
580	1.21(g)	Self-service copy charge, per page	25	—
581	1.21(h)	Recording each patent assignment, per property	40	—
583	1.21(i)	Publication in Official Gazette	25	—
584	1.21(j)	Labor charges for services, per hour or fraction thereof	40	—
585	1.21(k)	Unspecified other services, excluding labor	(¹)	—
592	1.21(k)	APS-CSIR terminal session time, per hour	50	—
586	1.21(l)	Retaining abandoned application	130	—
617	1.21(m)	Processing returned checks	50	—
587	1.21(n)	Handling fee for incomplete or improper application	130	—
588	1.21(o)	APS-Text terminal session time, per hour	40	—
590	1.24	Coupons for patent and trademark copies	3	—
589	1.296	Handling fee for withdrawal of SIR	130	—
150	1.445(a)(1)	Transmittal fee	240	—
153	1.445(a)(2)(i)	PCT search fee—prior U.S. application	450	—
151	1.445(a)(2)(ii)	PCT search fee—no U.S. application	700	—
152	1.445(a)(3)	Supplemental search per additional invention	210	—
190	1.482(a)(1)(i)	Preliminary examination fee—ISA was the U.S.	490	—
191	1.482(a)(1)(ii)	Preliminary examination fee—ISA not the U.S.	750	—
192	1.482(a)(2)(i)	Additional invention—ISA was the U.S.	140	—
193	1.482(a)(2)(ii)	Additional invention—ISA not the U.S.	270	—
956	1.492(a)(1)	IPEA—U.S.	670	690
957	1.492(a)(1)	IPEA—U.S. (Small Entity)	335	345
958	1.492(a)(2)	ISA—U.S.	690	710
959	1.492(a)(2)	ISA—U.S. (Small Entity)	345	355
960	1.492(a)(3)	USPTO not ISA or IPEA	970	1,000
961	1.492(a)(3)	USPTO not ISA or IPEA (Small Entity)	485	500
962	1.492(a)(4)	Claims—IPEA	96	100
963	1.492(a)(4)	Claims—IPEA (Small Entity)	48	50
970	1.492(a)(5)	Filing with EPO or JPO search report	840	860
971	1.492(a)(5)	Filing with EPO or JPO search report (Small Entity)	420	430
964	1.492(b)	Claims—extra independent (over three)	78	80
965	1.492(b)	Claims—extra independent (over three) (Small Entity)	39	40
966	1.492(c)	Claims—extra total (over twenty)	18	—
967	1.492(c)	Claims—extra total (over twenty) (Small Entity)	9	—
968	1.492(d)	Claims—multiple dependent	260	270
969	1.492(d)	Claims—multiple dependent (Small Entity)	130	135
154	1.492(e)	Surcharge	130	—
254	1.492(e)	Surcharge (Small Entity)	65	—
156	1.492(f)	English translation after twenty or thirty months	130	—
361	2.6(a)(1)	Application for registration, per class	325	—
362	2.6(a)(2)	Amendment to Allege Use, per class	100	—

[—Indicates fees remain at FY 2000 amount]

Fee code	37 CFR Sec.	Description	FY 2000	FY 2001
363	2.6(a)(3)	Statement of Use, per class	100	—
364	2.6(a)(4)	Extension for filing Statement of Use, per class	150	—
365	2.6(a)(5)	Application for renewal, per class	400	—
366	2.6(a)(6)	Additional fee for late renewal, per class	100	—
367	2.6(a)(7)	Publication of mark under § 12(c), per class	100	—
368	2.6(a)(8)	Issuing new certificate of registration	100	—
369	2.6(a)(9)	Certificate of correction, registrant's error	100	—
370	2.6(a)(10)	Filing disclaimer to registration	100	—
371	2.6(a)(11)	Filing amendment to registration	100	—
372	2.6(a)(12)	Filing section 8 affidavit, per class	100	—
373	2.6(a)(13)	Filing section 15 affidavit, per class	200	—
381	2.6(a)(14)	Filing a section 8 affidavit during the grace period, per class	100	—
375	2.6(a)(15)	Petition to the Director	100	—
376	2.6(a)(16)	Petition for cancellation, per class	300	—
377	2.6(a)(17)	Notice of opposition, per class	300	—
378	2.6(a)(18)	Ex parte appeal, per class	100	—
379	2.6(a)(19)	Dividing an application, per new application created	100	—
382	2.6(a)(20)	Correcting a deficiency in a section 8 affidavit	100	—
380	2.6(a)(21)	Correcting a deficiency in a renewal application	100	—
461	2.6(b)(1)(i)	Copy of registered mark	3	—
462	2.6(b)(1)(ii)	Copy of registered mark, overnight delivery to USPTO box or fax	6	—
463	2.6(b)(1)(iii)	Copy of reg. mark ordered by exp. mail or fax, exp. service	25	—
466	2.6(b)(2)(i)	Certified copy of trademark application as filed	15	—
467	2.6(b)(2)(ii)	Certified copy of trademark application as filed, expedited	30	—
468	2.6(b)(3)	Cert. or uncert. copy of TM-related file wrapper and contents	50	—
464	2.6(b)(4)(i)	Cert. copy of registered mark, with title or status	15	—
465	2.6(b)(4)(ii)	Cert. copy of registered mark, with title or status—expedited	30	—
469	2.6(b)(5)	Certified or uncertified copy of trademark document	25	—
481	2.6(b)(6)	Recording trademark property, per mark, per document	40	—
482	2.6(b)(6)	For second and subsequent marks in the same document	25	—
470	2.6(b)(7)	For assignment records, abstracts of title and certification	25	—
488	2.6(b)(8)	X-SEARCH terminal session time, per hour	40	—
480	2.6(b)(9)	Self-service copy charge, per page	0.25	—
484	2.6(b)(10)	Labor charges for services, per hour or fraction thereof	40	—
485	2.6(b)(11)	Unspecified other services, excluding labor	(¹)	—
650	2.7(a)	Recordal application fee	20	—
651	2.7(b)	Renewal application fee	20	—
652	2.7(c)	Late fee for renewal application	20	—

¹ Actual Cost.

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FEDERAL COMMUNICATIONS COMMISSION

47 CFR Part 22

[WT Docket No. 96-6; FCC 00-246]

Permit Flexible Service Offerings in the Commercial Mobile Radio Services

AGENCY: Federal Communications Commission.

ACTION: Final rule.

SUMMARY: The Federal Communications Commission (the Commission) has previously permitted commercial mobile radio service (CMRS) providers to offer fixed wireless services on a co-primary basis with commercial mobile services. In this document, the Commission determines that due to the evolving nature of fixed wireless services, it will decide the regulatory treatment of such services on a case-by-

case basis. The Commission also amends its rules to clarify that fixed wireless services provided are not subject to the requirements for incidental communications services. Further, this document eliminates the notification requirement of submitting FCC Form 601 prior to the provision of incidental services.

DATES: Effective September 11, 2000.

FOR FURTHER INFORMATION CONTACT: Jeffrey Steinberg, Wireless Telecommunications Bureau, Commercial Wireless Division, at (202) 418-0896.

SUPPLEMENTARY INFORMATION: 1. The *Second Report and Order and Order on Reconsideration* was released on July 20, 2000, and is available for inspection and copying during normal business hours in the FCC Reference Center, 445 Twelfth Street, S.W., Washington, D.C. The complete text may be purchased from the Commission's copy contractor, International Transcription Service, Inc., 1231 20th Street, N.W., Washington, D.C. 20036. The document

is also available via the internet at <http://www.fcc.gov/Bureaus/Wireless/Orders/2000/index2.html>.

Synopsis of the Second Report and Order and Order on Reconsideration

2. In the *First Report and Order* in this proceeding, 61 FR 45336, the Commission permitted CMRS providers to offer fixed wireless services on a co-primary basis with commercial mobile services. This decision raised the related issue of how such fixed service offerings should be classified for regulatory purposes. In a *Further Notice of Proposed Rulemaking* released together with the *First Report and Order*, 61 FR 43721, the Commission proposed a rebuttable presumption that fixed services offered over frequency bands licensed to CMRS providers would be treated for regulatory purposes as CMRS. The Commission sought comment on this proposal and related issues.

3. Based on the record established in this proceeding, the Commission