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Request for Statutory Invention Registration

Application Number _____, or attached hereto

Filed: _____

Applicant(s): _____

Title:

A. In the above identified patent application, I hereby:

1. Request and authorize the Director of Patent and Trademark Office to publish the above identified regularly filed patent application as a Statutory Invention Registration. (35 U.S.C. 157)
2. Waive the right to receive a United States patent on the same invention claimed in the above identified patent application. These rights, which are waived, include those specified in 35 U.S.C. 183 and 271 through 289 as well as all attributes specified for patents in any other provisions of law other than title 35, United States Code. The waiver includes, but is not limited to, the remedies under 19 U.S.C. 1337 and 1337a, 22 U.S.C. 2356 and 28 U.S.C. 1498. (35 U.S.C. 157(c))
3. Understand that the above waiver will be effective pursuant to 37 CFR 1.293 upon publication of the Statutory Invention Registration to waive the inventor's right to receive a United States patent on the invention claimed in the Statutory Invention Registration. (37 CFR 1.293(b)(1))
4. State that, in my opinion, the disclosure and claims of the above identified patent application meet the requirements of 35 U.S.C. 112. (37 CFR 1.293(b)(3))
5. State that, in my opinion, the above identified patent application complies with the requirements for printing as set forth in the Rules of Practice for Patent Cases, 37 CFR Part 1. (37 CFR 1.293(b)(4))
6. Enclose the fee set forth in 37 CFR 1.17(n) or (o) for requesting publication of a Statutory Invention Registration:

 A first Office Action has not been mailed in the above application, 37 CFR 1.17(n) _____ \$ _____

 A first Office Action has been mailed in the above application, 37 CFR 1.17(o) _____ \$ _____

Request fee \$ _____

MINUS BASIC FILING FEE, IF PREVIOUSLY PAID

 Basic filing fee for utility patent application set forth in 37 CFR 1.16(a);

 Basic filing fee for design patent application set forth in 37 CFR 1.16(f); or

 Basic filing fee for plant patent application set forth in 37 CFR 1.16(g)

Minus basic filing fee \$ _____.

Amount due \$ _____

Payment charged to credit card _____. Form PTO-2038 is attached.

Amount enclosed by check or money order _____.

Please charge Deposit Account No. _____ the amount of \$ _____. A duplicative copy of this form is attached.

If payment of any additional fee is required for publication of the Statutory Invention Registration, charge such amount to Deposit Account No. _____. A duplicative copy of this form is attached.

* Where this request is submitted at the time the application is filed, the filing fee is included in the fee.

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This collection of information is required by 35 U.S.C. 157. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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B. For printing of the Statutory Invention Registration front page, if desired, list below the name(s) of not more than 3 registered patent attorneys and agents OR alternatively, the name of a firm having as a member a registered patent attorney or agent. If no name is listed below, no name will be printed on the Statutory Invention Registration.

_____.

C. Name of assignee, if any, for printing on the Statutory Invention Registration _____

Address (City and State or Country) _____.

State of incorporation, if assignee is a corporation _____.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

The party or parties signing this form are:

attorney or agent of record. Registration Number _____.

applicant(s) and any assignee

Signature(s) (37 CFR 1.293(a))

Typed or Printed Name(s)

Registration Number, if applicable

Telephone Number