**Pre-Interview Communication**

(For use in the First Action Interview Pilot Program)

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...The Mailing DATE of this communication appears on the cover sheet with the correspondence address --

The shortened statutory period for reply is set to expire ONE MONTH OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

This time period for reply is NOT extendable under 37 CFR 1.136(a). This communication constitutes notice under 37 CFR 1.136(a)(1)(i).

To avoid abandonment of the application, applicant must, within this time period for reply, file:

1. A letter requesting not to have a first-action interview, or
2. A completed Applicant Initiated Interview Request Form (PTOL-413A) accompanied by a proposed amendment or arguments.

Inventor participation in the Pre-First Action Interview is encouraged if it would expedite resolution of the application.

**Disposition of Claims**

3. □ Claim(s) ______ is/are pending in the application.
   3a) Of the above claim(s) ______ is/are withdrawn from consideration.
4. □ Claim(s) ______ appear to be allowable.
5. □ Claim(s) ______ appear to be rejectable.
6. □ Claim(s) ______ may be subject to an objection.
7. □ Claim(s) ______ are subject to restriction and/or election requirement.

**Application Papers**

8. □ The specification may be subject to an objection by the Examiner.
9. □ The drawing(s) filed on ______ is/are: a) □ accepted or b) □ may be subject to an objection by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
   Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
10. □ The oath or declaration may be subject to an objection by the Examiner.

**Priority under 35 U.S.C. § 119**

11. □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
   a) □ All   b) □ Some * c) □ None of:
   1. □ Certified copies of the priority documents have been received.
   2. □ Certified copies of the priority documents have been received in Application No. ______.
   3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

*See the attached detailed Office action for a list of the certified copies not received.

**Contact Information**

Examiner’s Telephone Number:
Examiner’s Typical Work Schedule:
Supervisor’s Name:
Supervisor’s Telephone Number:

**Attachment(s)**

1) □ Notice of References Cited (PTO-892)
2) □ Notice of Draftsman’s Patent Drawing Review (PTO-948)
3) □ Information Disclosures Statement(s) (PTO/SB/08)
   Paper No(s)/Mail Date ______.
4) □ Interview Summary (PTO-413)
   Paper No(s)/Mail Date ______.
5) □ Notice of Informal Patent Application
6) □ Other: ______.

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Pre-Interview Communication
(For use in the First Action Interview Pilot Program)

Application No.  
Applicant(s)  
Examiner  
Art Unit  

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Notification of Potential Rejection(s) and/or Objection(s)

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Expanded Discussion/Commentary

DATE:  
Examiner Signature:  
Primary Examiner Signature:  

U.S. Patent and Trademark Office  
PTOL-413FP (Rev. 09-07)  
Pre-Interview Communication  
Part of Paper No./Mail Date  

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