Number of Submissions Per TC

| | |
|---|---|
| TC 1600 | 354 |
| TC 1700 | 458 |
| TC 2100 | 97 |
| TC 2400 | 69 |
| TC 2600 | 147 |
| TC 2800 | 341 |
| TC 3600 | 254 |
| TC 3700 | 396 |

*TC = Technology Center*

# Total Preissuance Submissions
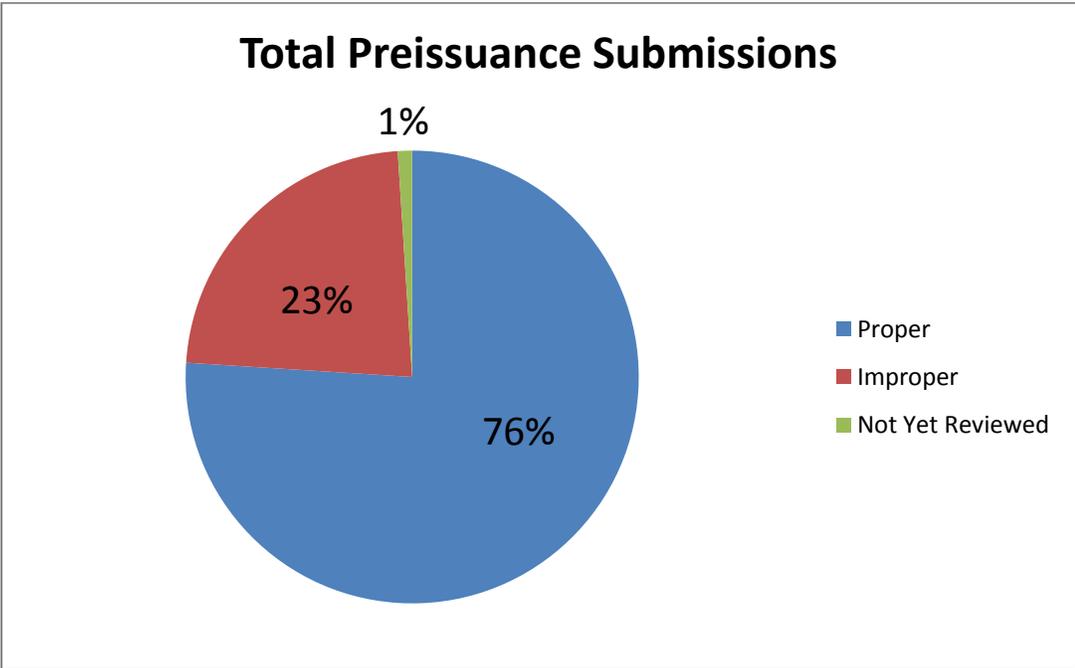


Total Preissuance Submissions

| | |
|---|---|
| Proper | 76% |
| Improper | 23% |
| Not Yet Reviewed | 1% |

## Number of **Proper** Submissions Per TC

| TC | Count |
|------|-----|
| 400 |  |
| 350 |  |
| 300 |  |
| 250 |  |
| 200 |  |
| 150 |  |
| 100 |  |
| 50 |  |
| 0 |  |

1600   1700   2100   2400   2600   2800   3600   3700
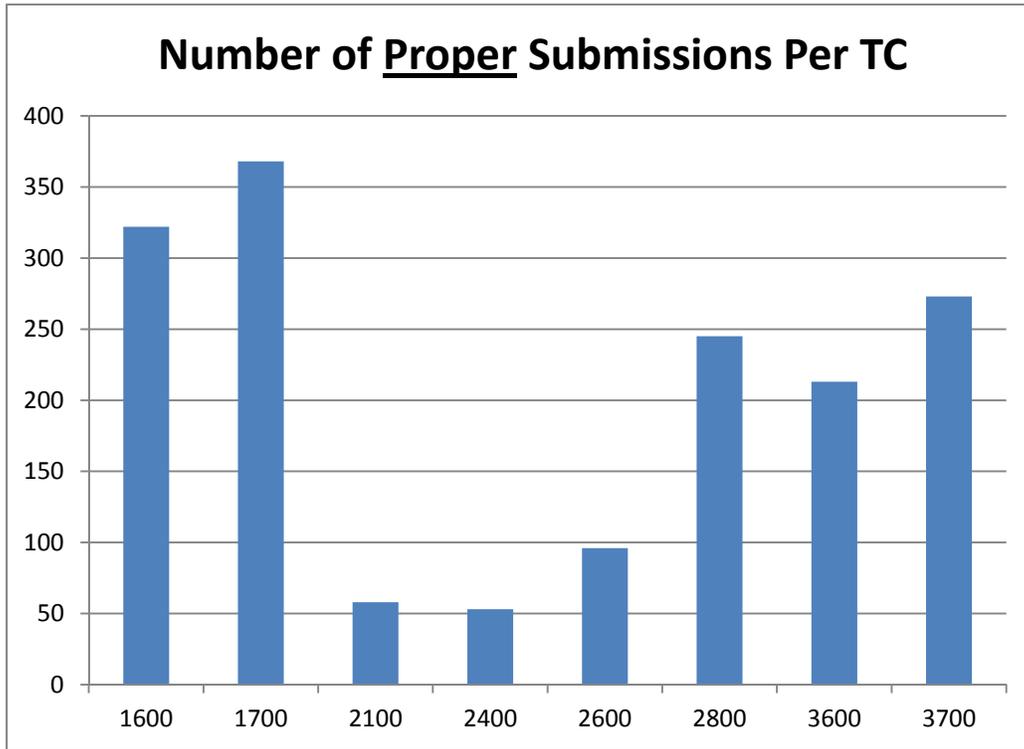
Number of **Proper** Submissions Per TC

| TC 1600 | 322 |
|---------|-----|
| TC 1700 | 368 |
| TC 2100 | 58 |
| TC 2400 | 53 |
| TC 2600 | 96 |
| TC 2800 | 245 |
| TC 3600 | 213 |
| TC 3700 | 273 |

*TC = Technology Center*

September 26, 2014

# Submitted Documents for
# <u>Proper</u> Cases
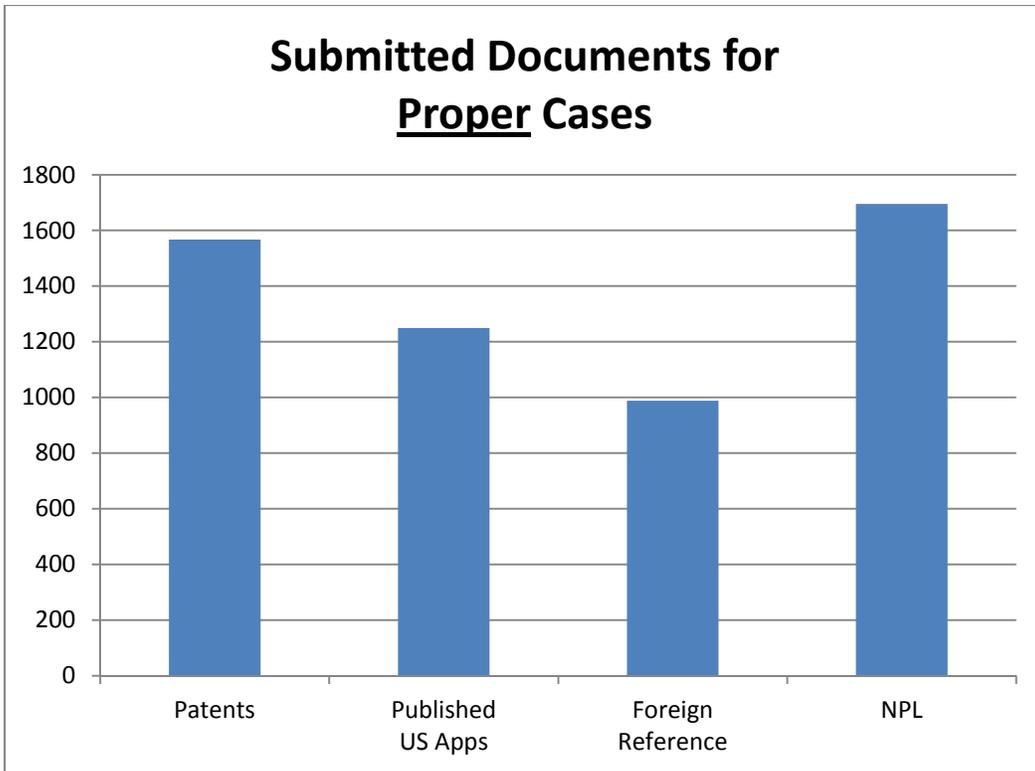


Submitted Documents for Proper Cases

| | |
|---|---|
| Patents | 1567 |
| Published US Apps | 1249 |
| Foreign Reference | 988 |
| NPL | 1695 |
| Total Documents | 5499 |

## Applications w/ Office Actions after receipt of Proper Third-Party Submission

12.96%

87.0%

- Third-party submission relied upon
- Third-party submissions not relied upon
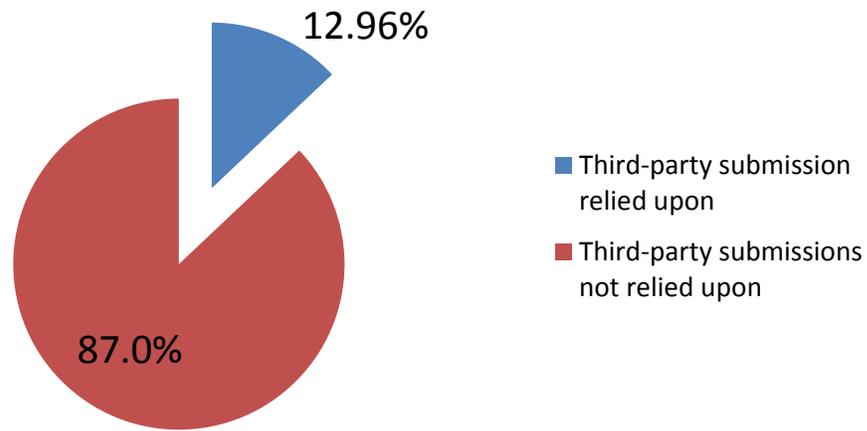
Figure 5: Applications w/ Office Actions Generated after Receipt of Proper Third Party Submissions

Third party submission relied upon                  12.96%
Third party submissions not relied upon          87.04%

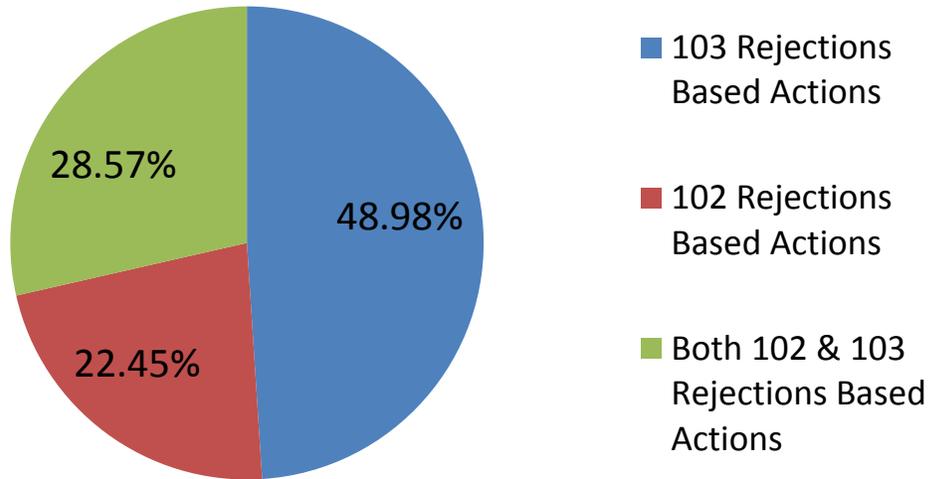## Applications having Rejections (by Statute) & Using Third-Party Submissions



Figure 6: Applications having Rejections (by Statute) & Using Third Party Submissions

| | |
|---|---|
| 103 Rejection Based Actions | 48.9% |
| 102 Rejection Based Actions | 22.5% |
| Both 102 & 103 Rejection Based Actions | 28.6% |

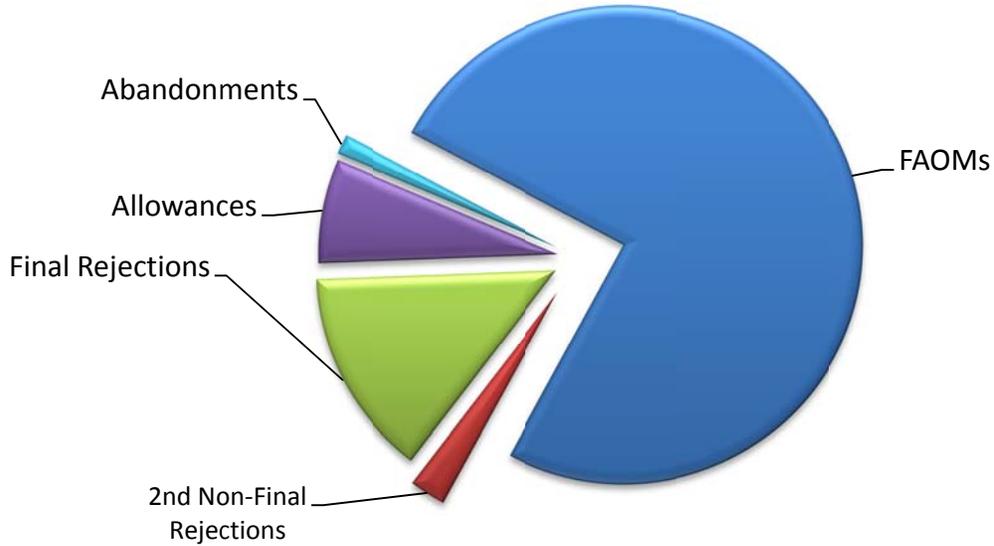# Applications w/Mailed Office Actions (by type) following Proper Third Party Submissions

Abandonments

Allowances

Final Rejections

FAOMs

2nd Non-Final Rejections

Figure 7: Applications w/Mailed Office Actions (by type) following Proper Third-Party Submissions

| | |
|---|---|
| FAOMs | 73.5% |
| Final Rejections | 13.8% |
| Allowances | 6.9% |
| 2nd Non-Final Rejections | 2.4% |
| Abandonments | 1.3% |