**Instructions for Application Data Sheet (ADS) 37 CFR 1.76**

*Updated November 16, 2015*

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### 2. Detailed Form Instructions by Field

At the top of each page, there is a header. No information can be entered here. Data entered in the Application Information section will populate the header information.

**Secrecy Order 37 CFR 5.2**

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| Secrecy Order Checkbox | 37 CFR 5.2 states that if an invention has been determined to be a matter of national security, the Commissioner for Patents has the right to keep the invention secret. Under the same rule, applicants are obligated to notify the Office of any related cases that the Commissioner has kept secret. Note that documents associated with applications under Secrecy Order may not be filed electronically. |

**Inventor Information:**

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| Inventor | One who contributes to the conception of an invention. |
| Prefix | Enter any name prefix of the inventor. (Optional) |
| Given Name | Enter the first or given name of the inventor. If the inventor has only one name, enter a period in this field. |
| Middle name | Enter any middle name of the inventor. (Optional) |
| Family Name | Enter the last or family name of the inventor. |
| Suffix | Enter any name suffix of the inventor; II., III., IV., Jr., Sr. (Optional) |
| Residence Information | Select the radio button that describes the inventor’s residence from US Residency, Non US Residency and Active US Military Service. |
| City | Enter the city of the inventor’s residence. REQUIRED if the inventor is a U.S. resident or Non-U.S. resident. |
| State / Province | Enter the state of the inventor’s residence. REQUIRED if the inventor is a U.S. resident. See State/Province List for choices. |
| Active US Military Service | Select if the inventor is in Active U.S. Military service. REQUIRED if the inventor is in the active U.S. military service. |
| Active Military Service in | If the Active U.S. military service is selected, indicate the region of the world where the inventor is stationed. See Active Military Service Table for options. |
| Country of Residence | Enter the country of residence of the inventor. If US Residency is selected, the Country of Residence will default to US. REQUIRED. See Country Code Table for complete listing, along with abbreviation. |
| Address-1 | Enter the first line of the inventor’s mailing address. |
| Address-2 | Enter the second line of the inventor’s mailing address. |
| City | Enter the city of the inventor’s mailing address. |
| State / Province | If the inventor’s mailing address is in the United States or in a U.S. territory, enter the state of the inventor’s address. See State/Province List for choices. |
| Postal Code | Enter the 5 - 9 digit postal code of the inventor's mailing address. Entry of a postal code for foreign countries is optional. |
| Country | Enter the country of the inventor’s mailing address. See Country Code Table for complete listing, along with abbreviation. |
| Additional Inventors | Joint inventors must apply for a patent jointly and each must make the required oath or declaration: neither of them alone, nor less than the entire number, can apply for a patent for an invention invented by them jointly, except as provided in 37 CFR 1.43, 1.45 & 1.46. Select the Add button to add additional inventor information. |
| Remove | Choose this button to remove an inventor from this section. |
| Add Button | Additional inventor information Blocks may be generated. |

**Correspondence Information:** Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

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| An Address is being provided for the correspondence Information of this application. | Select this checkbox to have correspondence in this application sent to an address that is not associated with a specific Customer Number.  When filing an application, a correspondence address must be set forth in either an application data sheet (§ 1.76), or elsewhere, in a clearly identifiable manner, in any paper submitted with an application filing. If no correspondence address is specified, the Office may treat the mailing address of the first named inventor (if provided, see §§ 1.76(b)(1) and 1.63(b)(2)) as the correspondence address. The Office will direct all notices, official letters, and other communications relating to the application to the correspondence address. The Office will not engage in dual correspondence with an applicant and a registered attorney or agent, or with more than one registered attorney or agent except as deemed necessary by the Director. If more than one correspondence address is specified, the Office will establish one as the correspondence address. For the party to whom correspondence is to be addressed, a daytime telephone number should be supplied in a clearly identifiable manner and may be changed by any party who may change the correspondence address. |
| Customer Number | Enter the Customer Number associated with the address where correspondence in this application should be sent.  A number assigned by the Office that is used to simplify the submission of an address change, to appoint a practitioner, or to designate the fee address for a patent. Customer Numbers are primarily used by attorneys and law firms, and must be requested using the [**"Request for Customer Number" form (PTO/SB/125***)*](http://www.uspto.gov/web/forms/index.html)*.* |
| Provide a customer address | If a Customer Number is not entered, the customer correspondence address must be provided. |
| Name - 1 | Enter the name for the correspondence address. |
| Name -2 | Enter any additional name information for the address. |
| Address-1 | Enter the first line of the correspondence address. |
| Address-2 | Enter the second line of the correspondence address. |
| City | Enter the city for the correspondence address. |
| State / Province | If the correspondence address is located in the United States or in a U.S. territory, enter the state for the correspondence address. REQUIRED for a correspondence address that is in the United States or U.S. territories. See State/Province List for choices. |
| Country | Enter the country of the correspondence address. See Country Code Table for complete listing, along with abbreviation. |
| Postal Code | Enter the 5 - 9 digit postal code for the correspondence address. Entry of a postal code for foreign countries is optional. |
| Phone number | Enter the telephone number for the correspondence address. |
| Fax number | Enter the fax number for the correspondence address. |
| Email address | Enter the e-mail address for the correspondence address. |
| Add Email | Select the Add Email button to add an additional email address. You may enter up to 3 email addresses. |
| Remove Email | Select the Remove Email button to remove the email address associated with the button. |

**Application Information:**

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| Title of the Invention | The title of the invention may have up to 500 characters and it must be as short and specific as possible. The approved characters include: A-Z,0-9,standard keyboard characters plus ƒ,…,Œ,™,œ,Ÿ,¡,¢,£,¤,¥,§,©,ª,«,¬,®,°,±,²,³,µ,¹,º, »,¼,½,¾,¿, À,Á,Â,Ã,Ä,Å,Æ,Ç,È,É,Ê,Ë,Ì,Í,Î,Ï,Ñ,Ò,Ó,Ô,Õ,Ö,Ø,Ù,Ú,Û,Ü,Ý, ß,à,á,â,ã,ä,å,æ,ç,è,é,ê,ë,ì,í,î,ï,ñ,ò,ó,ô,õ,ö,÷,ø,ù,ú,û,ü,ý,ÿ,  NOTE: Please see the EFS-Web FAQ’s regarding using special characters in Title of Invention and in all the Inventor Name fields. |
| Attorney Docket Number | This field is optional. It may be used to enter an internal reference number associated with this submission. This field is limited to 25 characters. |
| Entity Status Small | For purposes of small entity determination per the Manual of Patent Examining Procedure section 509.02 ([*MPEP 509.02*](http://www.uspto.gov/web/offices/pac/mpep/documents/0500_509_02.htm#sect509.02)*)* – small entity means an independent inventor, a small business concern, or a nonprofit organization eligible for reduced patent fees. |
| Application Type | An application may be either provisional or non-provisional. Select the application type from the drop-down list. |
| Subject Matter | An application may be either a utility application, a design application, or a plant application. At this time EFS-Web does not accept plant applications. Select the subject matter of the application from the drop-down list. |
| Filing by Reference | Do not complete this section if application papers including a specification and any drawings are being filed at the time of filing the application. Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a), as provided for in the Patent Law Treaty (effective December 18, 2013). **This section is not for making domestic benefit or foreign priority claims**. Any domestic benefit or foreign priority information must be provided in the “Domestic Benefit/National Stage Information” section and/or the “Foreign Priority Information” section, as appropriate. If filing an application by reference and claiming domestic benefit or foreign priority to the same application being referenced, both the “Filing by Reference” section and either the “Domestic Benefit/National Stage Information” or the “Foreign Priority Information” section must be completed. |

**Publication Information:**

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| Request Early Publication | Request for early publication – Select if this application should be published as soon as possible. |
| Request Not to Publish | Check this box if a request for non-publication under 35 U.S.C. 122(b) and 37 CFR 1.213 is being made. |

**Representative Information:** Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

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| Customer Number Selection | Check this box if you want to assign a Customer Number for patent practitioners associated with this application. |
| Customer Number Entry | If Customer Number is selected enter the Customer Number associated with patent practitioner information for this application. |
| U.S. Patent Practitioner | Check this box if you want to input a US Patent Practitioner name. |
| Prefix | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu. |
| Given Name | Enter the first or given name of the patent practitioner. |
| Middle Name | Enter any middle name of the patent practitioner. |
| Family Name | Enter the last or family name of the patent practitioner. REQUIRED. |
| Suffix | Enter any name suffix of the patent practitioner. |
| Registration number | Enter the USPTO registration number of the patent practitioner. |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove. |
| Limited Recognition | Check this box if you want to input a name under Limited Recognition (37 CFR 11.9). |
| Prefix | Enter any name prefix of the patent practitioner. Choose the desired prefix from the drop-down menu. |
| Given Name | Enter the first or given name of the patent practitioner. |
| Middle Name | Enter any middle name of the patent practitioner. |
| Family Name | Enter the last or family name of the patent practitioner. REQUIRED. |
| Suffix | Enter any name suffix of the patent practitioner. |
| Registration number | Enter the USPTO registration number of the patent practitioner. |
| Add Additional Representatives | If patent practitioner is selected, enter the name and registration information for each patent practitioner and select the Add button when the information is complete. Repeat for each patent practitioner. A maximum of 10 patent practitioners can be added. |
| Remove | If you want to delete a patent practitioner select the Remove button next to the patent practitioner you wish to remove. |

**Domestic Benefit/National Stage Information:** This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. If you are claiming benefit of more than one prior-filed application, you must start with the most recent application and go back chronologically to the earliest application. Otherwise, the benefit claims may not be recognized by the Office if not presented in this manner and will not auto-load into the Office’s electronic systems.  If there is more than one chain of applications for which benefit is being claimed, you must start each chain with the most recent application and go back chronologically to the earliest application in each chain . Otherwise, the benefit claims may not be recognized by the Office if not presented in this manner and will not auto-load into the Office’s electronic systems.

**Note for applications filed on or after September 16, 2012:** Domestic benefit claims must be presented in the Application Data Sheet **only**. Domestic benefit information provided in the first sentence(s) of the specification will not be recognized by the Office unless the domestic benefit claims are also presented in the Application Data Sheet.

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| Application Number | For new applications, **the first field should be left blank**, which will signify "This Application is a" to refer to the application being submitted. By selecting the “Add” button you may enter any application number that is already referred to in the Prior Application Number fields on the current form. |
| Continuity Type | Select the relationship between patent applications by selecting one of seven available relationships listed in the drop-down menu. The relationships are continuation, division, continuation-in-part, 371 of international, substitution, reissue and claims benefit of provisional. Select the appropriate relationship to indicate how one application is related to the earlier filed application.  Continuation of – A second application for the same invention claimed in a prior nonprovisional application and filed before the original prior application becomes abandoned or patented. ([*MPEP 201.07*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e9852))  Division of – A later application for an independent or distinct invention, carved out of a pending application and disclosing and claiming only subject matter disclosed in the earlier or parent application. ([*MPEP 201.06*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e7252))  Continuation-in-part of – An application filed during the lifetime of an earlier nonprovisional application, repeating some substantial portion or all of the earlier nonprovisional application and adding matter not disclosed in the said earlier nonprovisional application. ([*MPEP 201.08*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e9971))  a 371 of international – A U.S. application for patent, which entered the national stage from an international application under the Patent Cooperation Treaty (PCT). ([*MPEP 1893*](http://www.uspto.gov/web/offices/pac/mpep/s1893.html))  substitution of – An application which is a duplicate of an earlier application by the same applicant abandoned before the filing of the later application. Thus a substitute application does not have continuity to the earlier filed application. ([*MPEP 201.02*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e7232))  reissue of – A reissue application is an application for a patent to take the place of an unexpired patent that is defective, as a result of an error in the patent. ([*MPEP 201.05*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e7232))  Claims benefit of provisional - A provisional application is a regular national filing that starts the Paris Convention priority year. ([*MPEP 201.04*](http://www.uspto.gov/web/offices/pac/mpep/s201.html#d0e7232)) |
| Prior Application Number | Provide the application number for the related application to which the application is claiming the benefit of.  Where the prior application is an international design application designating the United States, either the U.S. application number or the international registration number may be provided. |
| Filing Date or 371(c) Date | Select the filing date or 371(c) date of the prior related application from the drop-down menu, which converts into a calendar. The date may be selected from the calendar feature and must be in the format YYYY-MM-DD.  For an international design application, the filing date is the U.S. filing date under 37 CFR 1.1023. |
| Prior Application Status | Select the status of the prior application from Patented, Pending, Abandoned, or Expired. |
| Add Domestic Priority Data | Add the information to the continuity information list by selecting the Add button. |
| Remove Domestic Priority Data | Delete the information to the continuity information list by selecting the Remove button. |

**Foreign Priority Information:** This section allows for the applicant to claim foreign priority. Providing this information in the Application Data Sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. Note: For applications filed on/after September 16, 2012, foreign priority claims must be presented in the Application Data Sheet in accordance with 37 CFR 1.55.

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| Application Number | Enter the Foreign Document Number of an associated foreign-filed application in the Application Number field. PCT number format: PCT/aaYY/xxxxx or PCT/aaYYYY/xxxxx where aa is valid foreign receiving office/country code, YY or YYYY is year and xxxxx is the five-digit number. |
| Country | Enter the country where the application was filed. See WIPO ST. 3 Table for complete listing, along with abbreviation. |
| Filing Date | Enter the foreign filing date in the Date field. The date should be in the format YYYY-MM-DD |
| Access Code | Enter the access code number to uniquely identify a patent application that is registered into the WIPO DAS (World Intellectual Property Organization Digital Access Service). Please [click here](http://www.uspto.gov/patents/process/file/efs/guidance/Access_Code_on_aia14.pdf) for more information |
| Add | Add additional information to the Foreign Priority Information list by selecting the Add button. |
| Remove | Delete information to the Foreign Priority Information list by selecting the Remove button. |

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition**

**Applications:**

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| Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition  Applications  check here | By checking this box, the applicant is indicating that the nonprovisional application is a transition application that should be **examined under the First Inventor to File (FITF) provisions of the AIA**. A nonprovisional application filed on or after March 16, 2013 (including a 35 U.S.C. 371 application having an international filing date on or after 16 March 2013 in which the basic national fee has been paid) that claims priority to or benefit of an application filed before March 16, 2013 is called a transition application. See the First Inventor to File (FITF) final rule published at 78 FR 11024 (Feb. 14, 2013). 37 CFR 1.55 (relating to foreign priority claims) and 37 CFR 1.78 (relating to domestic benefit claims) require applicant to inform the Office via a statement if the transition application contains or ever contained a claim that was not supported by the earlier filed domestic benefit or foreign priority application such that the effective filing date of the claim is the actual filing date of the nonprovisional application filed on or after March 16, 2013.  The AIA FITF provisions apply to any nonprovisional application ever containing a claim with an effective filing date as defined in 35 U.S.C. 100(i) that is on or after March 16, 2013.  This box provided on the Application Data Sheet is for applicant’s convenience to make the statement required by 37 CFR 1.55 or 1.78, as applicable.  For current information regarding whether a nonprovisional application is being examined under the pre-AIA first to invent (FTI) law or under the AIA first inventor to file (FITF) provisions, please check the status of the AIA (FITF) indicator in PAIR. The filing receipt does not reflect FITF status; that information is available in PAIR or in an Office communication. |

**Authorization or Opt-Out of Authorization to Permit Access:**

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| Authorization or Opt-Out of Authorization to Permit Access by a foreign intellectual property office(s) to an application-as-filed via Priority Document Exchange or to Search Results from the instant application | When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 of this section of the ADS) and the European Patent Office access to any search results from the instant application (see paragraph B in subsection 1 of this section of the ADS).  Paragraph A in subsection 1 states that unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People’s Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).  Paragraph B in subsection 1 states that unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).  Should applicant choose NOT to provide an authorization identified in subsection 1 in this section of the Application Data Sheet, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 of this section of the Application Data Sheet. However, once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.  **NOTE:** This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind  authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate. |

**Applicant Information:** If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.

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| Applicant | Select and enter the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46; or the name and address of a joint inventor or inventors who is an applicant together with an applicant under 37 CFR 1.46. |
| If the Assignee is an Organization check here. | Check this box if the assignee is an organization. |
| Inventor Status Drop Down | In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent. Where the inventor dies during the time intervening between the filing of the application and the granting of a patent thereon, the letters patent may be issued to the legal representative upon proper intervention.  In case an inventor is legally incapacitated, the legal representative (guardian, conservator, etc.) of such inventor may make the necessary oath or declaration, and apply for and obtain the patent. |
| If the Applicant is an Organization check here. | Check this box if the applicant is an organization. |
| Organization Name | Enter the name of the organization |
| Prefix | Enter any name prefix of the applicant. Choose the desired prefix from the drop-down menu. |
| Given Name | If the application is assigned to an individual or group of individuals, enter the first or given name of the applicant. If the applicant has only one name, enter a period into one of the name fields. |
| Middle Name | Enter any middle name of the applicant. |
| Family Name | Enter the last or family name of the applicant. |
| Suffix | Enter any name suffix of the applicant |
| Address 1 | Enter the first line of the address of the applicant. |
| Address 2 | Enter the second line of the applicant’s address. |
| City | Enter the city of the applicant’s address |
| Postal Code | Enter the 5 - 9 digit postal code of the assignee. Entry of a postal code for foreign countries is optional |
| State / Province | Enter the state of the applicant’s address. See State/Province List for choices. |
| Country | Enter the country of the applicant’s address. See Country Code Table for complete listing, along with abbreviation. |
| Phone Number | Enter the telephone number of the applicant. |
| Fax Number | Enter the fax number of the applicant. |
| Email Address | Enter the e-mail address of the applicant. |
| Add Button | Additional applicant information Blocks may be generated. |
| Remove Button | Applicant information Blocks may be removed. |

**Assignee Information including Non-Applicant Assignee Information:**

Complete this section if assignee information, including non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.

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| If the assignee or non-applicant assignee is an Organization check here. | Check this box if the assignee or non-applicant assignee is an organization. |
| Organization Name | Enter the name of the organization |
| Prefix | Enter any name prefix of the applicant. Choose the desired prefix from the drop-down menu. |
| Given Name | If the application is assigned to an individual or group of individuals, enter the first or given name of the applicant. If the applicant has only one name, enter a period into one of the name fields. |
| Middle Name | Enter any middle name of the applicant. |
| Family Name | Enter the last or family name of the applicant. |
| Suffix | Enter any name suffix of the applicant |
| Address 1 | Enter the first line of the address of the applicant. |
| Address 2 | Enter the second line of the applicant’s address. |
| City | Enter the city of the applicant’s address |
| Postal Code | Enter the 5 - 9 digit postal code of the assignee. Entry of a postal code for foreign countries is optional |
| State / Province | Enter the state of the applicant’s address. See State/Province List for choices. |
| Country | Enter the country of the applicant’s address. See Country Code Table for complete listing, along with abbreviation. |
| Phone Number | Enter the telephone number of the applicant. |
| Fax Number | Enter the fax number of the applicant. |
| Email Address | Enter the e-mail address of the applicant. |
| Add Button | Additional applicant information Blocks may be generated. |
| Remove Button | Applicant information Blocks may be removed. |

**Signature:** This form must be signed in accordance with 37 CFR 1.33(b).

**However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the “Authorization or Opt-Out of Authorization to Permit Access” section, then this form must also be signed in accordance with 37 CFR 1.14(c).**

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for signature requirements and certifications

|  |  |
| --- | --- |
| Signature | This signature is an electronic legal signature. The individual named MUST add a signature. Electronic signature should be in the format /###/ where # is alpha-numeric, space or punctuation (but not / or ?); it cannot just have space or punctuations. Example: "/John Doe/". For more information see 37 CFR 1.4(d)(3). |
| Date | Enter the date that the submission is being signed. Or, select the date from the drop-down menu, which converts into a calendar. The date format should be in the form YYYY-MM-DD. |
| First Name, Last Name | Type or write the name of the filer. |
| Registration Number | Please enter a Registration Number if you are a patent practitioner. |
| Legal Representative under 35 U.S.C. 117 | 35 U.S.C. 117 Legal representatives of deceased inventors and of those under legal incapacity may make application for patent upon compliance with the requirements and on the same terms and conditions applicable to the inventor. |
| Add Button | Additional signature blocks may be generated. |
| Remove Button | Additional signature blocks may be removed. |

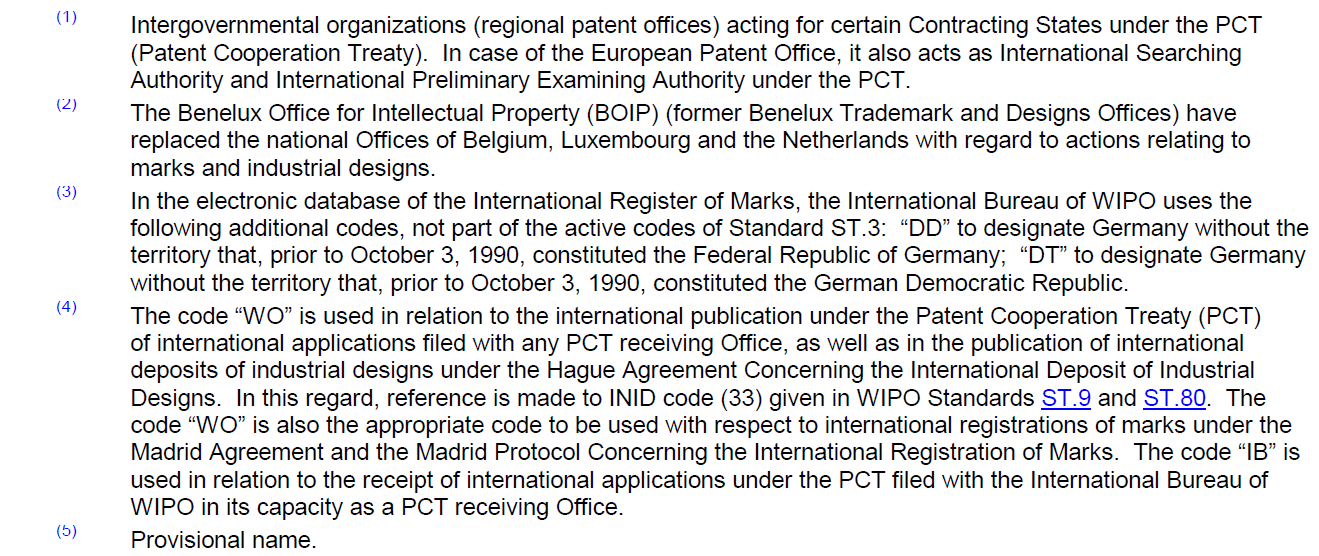
### 3. Country Code Table

|  |  |
| --- | --- |
| COUNTRY | CODE |
| AFGHANISTAN | AF |
| ALBANIA | AL |
| ALGERIA | DZ |
| ANDORRA | AD |
| ANGOLA | AO |
| ANGUILLA | AI |
| ANTARCTICA | AQ |
| ANTIGUA AND BARBUDA | AG |
| ARGENTINA | AR |
| ARMENIA | AM |
| ARUBA | AW |
| AUSTRALIA | AU |
| AUSTRIA | AT |
| AZERBAIJAN | AZ |
| BAHAMAS | BS |
| BAHRAIN | BH |
| BANGLADESH | BD |
| BARBADOS | BB |
| BELARUS | BY |
| BELGIUM | BE |
| BELIZE | BZ |
| BENIN | BJ |
| BERMUDA | BM |
| BHUTAN | BT |
| BOLIVIA | BO |
| BOSNIA AND HERZEGOVINA | BA |
| BOTSWANA | BW |
| BOUVET ISLAND | BV |
| BRAZIL | BR |
| BRITISH INDIAN OCEAN TERRITORY | IO |
| BRUNEI DARUSSALAM | BN |
| BULGARIA | BG |
| BURKINA FASO | BF |
| BURUNDI | BI |
| CAMBODIA | KH |
| CAMEROON | CM |
| CANADA | CA |
| CANTON AND ENDERBURY ISLANDS | CT |
| CAPE VERDE | CV |
| CAYMAN ISLANDS | KY |
| CENTRAL AFRICAN REPUBLIC | CF |
| CHAD | TD |
| CHILE | CL |
| CHINA | CN |
| CHRISTMAS ISLAND | CX |
| COCOS (KEELING) ISLANDS | CC |
| COLOMBIA | CO |
| COMOROS | KM |
| CONGO | CG |
| CONGO, THE DEMOCRATIC REPUBLIC OF THE | CD |
| COOK ISLANDS | CK |
| COSTA RICA | CR |
| COTE D'IVOIRE | CI |
| CROATIA | HR |
| CUBA | CU |
| CYPRUS | CY |
| CZECH REPUBLIC | CZ |
| CZECHOSLOVAKIA | CS |
| DENMARK | DK |
| DJIBOUTI | DJ |
| DOMINICA | DM |
| DOMINICAN REPUBLIC | DO |
| DRONNING MAUD LAND | NQ |
| EAST TIMOR | TP |
| ECUADOR | EC |
| EGYPT | EG |
| EL SALVADOR | SV |
| EQUATORIAL GUINEA | GQ |
| ERITREA | ER |
| ESTONIA | EE |
| ETHIOPIA | ET |
| FALKLAND ISLANDS (MALVINAS) | FK |
| FAROE ISLANDS | FO |
| FIJI | FJ |
| FINLAND | FI |
| FRANCE | FR |
| FRENCH GUIANA | GF |
| FRENCH POLYNESIA | PF |
| FRENCH SOUTHERN AND ANTARCTIC TERRITORIES | FQ |
| FRENCH SOUTHERN TERRITORIES | TF |
| GABON | GA |
| GAMBIA | GM |
| GEORGIA | GE |
| GERMAN DEMOCRATIC REPUBLIC | DD |
| GERMANY | DE |
| GHANA | GH |
| GIBRALTAR | GI |
| GREECE | GR |
| GREENLAND | GL |
| GRENADA | GD |
| GUADELOUPE | GP |
| GUATEMALA | GT |
| GUINEA | GN |
| GUINEA-BISSAU | GW |
| GUYANA | GY |
| HAITI | HT |
| HEARD ISLAND AND MCDONALD ISLANDS | HM |
| HOLY SEE (VATICAN CITY STATE) | VA |
| HONDURAS | HN |
| HONG KONG | HK |
| HUNGARY | HU |
| ICELAND | IS |
| INDIA | IN |
| INDONESIA | ID |
| IRAN, ISLAMIC REPUBLIC OF | IR |
| IRAQ | IQ |
| IRELAND | IE |
| ISRAEL | IL |
| ITALY | IT |
| JAMAICA | JM |
| JAPAN | JP |
| JORDAN | JO |
| KAZAKSTAN | KZ |
| KENYA | KE |
| KIRIBATI | KI |
| KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF | KP |
| KOREA, REPUBLIC OF | KR |
| KUWAIT | KW |
| KYRGYZSTAN | KG |
| LAO PEOPLE'S DEMOCRATIC REPUBLIC | LA |
| LATVIA | LV |
| LEBANON | LB |
| LESOTHO | LS |
| LIBERIA | LR |
| LIBYAN ARAB JAMAHIRIYA | LY |
| LIECHTENSTEIN | LI |
| LITHUANIA | LT |
| LUXEMBOURG | LU |
| MACAU | MO |
| MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF | MK |
| MADAGASCAR | MG |
| MALAWI | MW |
| MALAYSIA | MY |
| MALDIVES | MV |
| MALI | ML |
| MALTA | MT |
| MARSHALL ISLANDS | MH |
| MARTINIQUE | MQ |
| MAURITANIA | MR |
| MAURITIUS | MU |
| MAYOTTE | YT |
| MEXICO | MX |
| MIRCRONESIA, FEDERATED STATES OF | FM |
| MOLDOVA, REPUBLIC OF | MD |
| MONACO | MC |
| MONGOLIA | MN |
| MONTSERRAT | MS |
| MOROCCO | MA |
| MOZAMBIQUE | MZ |
| MYANMAR | MM |
| NAMIBIA | NA |
| NAURU | NR |
| NEPAL | NP |
| NETHERLANDS | NL |
| NETHERLANDS ANTILLES | AN |
| NEUTRAL ZONE | NT |
| NEW CALEDONIA | NC |
| NEW ZEALAND | NZ |
| NICARAGUA | NI |
| NIGER | NE |
| NIGERIA | NG |
| NIUE | NU |
| NORFOLK ISLAND | NF |
| NORWAY | NO |
| NOT PROVIDED | XP |
| OMAN | OM |
| PACIFIC ISLANDS (TRUST TERRITORY) | PC |
| PAKISTAN | PK |
| PALAU | PW |
| PANAMA | PA |
| PANAMA CANAL ZONE | PZ |
| PAPUA NEW GUINEA | PG |
| PARACEL ISLANDS | QP |
| PARAGUAY | PY |
| PERU | PE |
| PHILIPPINES | PH |
| PITCAIRN | PN |
| POLAND | PL |
| PORTUGAL | PT |
| QATAR | QA |
| REUNION | RE |
| ROMANIA | RO |
| RUSSIAN FEDERATION | RU |
| RWANDA | RW |
| SAINT HELENA | SH |
| SAINT KITTS AND NEVIS | KN |
| SAINT LUCIA | LC |
| SAINT PIERRE AND MIQUELON | PM |
| SAINT VINCENT AND THE GRENADINES | VC |
| SAMOA | WS |
| SAN MARINO | SM |
| SAO TOME AND PRINCIPE | ST |
| SAUDI ARABIA | SA |
| SENEGAL | SN |
| SEYCHELLES | SC |
| SIERRA LEONE | SL |
| SINGAPORE | SG |
| SLOVAKIA | SK |
| SLOVENIA | SI |
| SOLOMON ISLANDS | SB |
| SOMALIA | SO |
| SOUTH AFRICA | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SPAIN | ES |
| SRI LANKA | LK |
| STATELESS | ZZ |
| SUDAN | SD |
| SURINAME | SR |
| SVALBARD AND JAN MAYEN | SJ |
| SWAZILAND | SZ |
| SWEDEN | SE |
| SWITZERLAND | CH |
| SYRIAN ARAB REPUBLIC | SY |
| TAIWAN | TW |
| TAJIKISTAN | TJ |
| TANZANIA, UNITED REPUBLIC OF | TZ |
| THAILAND | TH |
| TOGO | TG |
| TOKELAU | TK |
| TONGA | TO |
| TRINIDAD AND TOBAGO | TT |
| TUNISIA | TN |
| TURKEY | TR |
| TURKMENISTAN | TM |
| TURKS AND CAICOS ISLANDS | TC |
| TUVALU | TV |
| UGANDA | UG |
| UKRAINE | UA |
| UNION OF SOVIET SOCIALIST REPUBLICS | SU |
| UNITED ARAB EMIRATES | AE |
| UNITED KINGDOM | GB |
| UNITED STATES | US |
| URUGUAY | UY |
| UZBEKISTAN | UZ |
| VANUATU | VU |
| VENEZUELA | VE |
| VIET NAM | VN |
| VIET-NAM, DEMOCRATIC REPUBLIC OF | VD |
| VIRGIN ISLANDS, BRITISH | VG |
| WALLIS AND FUTUNA | WF |
| WESTERN SAHARA | EH |
| YEMEN | YE |
| YEMEN, DEMOCRATIC | YD |
| YUGOSLAVIA | YU |
| ZAMBIA | ZM |
| ZIMBABWE | ZW |

### 4. WIPO ST.3 Table

LIST OF STATES, OTHER ENTITIES AND INTERGOVERNMENTAL ORGANIZATIONS, IN ALPHABETIC SEQUENCE OF THEIR SHORT NAMES, AND THEIR CORRESPONDING CODES (as of February 2015)

|  |  |
| --- | --- |
| AFGHANISTAN | AF |
| AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI)(1) | OA |
| AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)(1) | AP |
| ALBANIA | AL |
| ALGERIA | DZ |
| ANDORRA | AD |
| ANGOLA | AO |
| ANGUILLA | AI |
| ANTIGUA AND BARBUDA | AG |
| ARGENTINA | AR |
| ARMENIA | AM |
| ARUBA | AW |
| AUSTRALIA | AU |
| AUSTRIA | AT |
| AZERBAIJAN | AZ |
| BAHAMAS | BS |
| BAHRAIN | BH |
| BANGLADESH | BD |
| BARBADOS | BB |
| BELARUS | BY |
| BELGIUM | BE |
| BELIZE | BZ |
| BENELUX OFFICE FOR INTELLECTUAL PROPERTY (BOIP) (2) | BX |
| BENIN | BJ |
| BERMUDA | BM |
| BHUTAN | BT |
| BOLIVIA | BO |
| BOSNIA AND HERZEGOVINA | BA |
| BOTSWANA | BW |
| BOUVET ISLAND | BV |
| BRAZIL | BR |
| BRUNEI DARUSSALAM | BN |
| BULGARIA | BG |
| BURKINA FASO | BF |
| BURUNDI | BI |
| CAMBODIA | KH |
| CAMEROON | CM |
| CANADA | CA |
| CAPE VERDE ... | CV |
| CAYMAN ISLANDS | KY |
| CENTRAL AFRICAN REPUBLIC | CF |
| CHAD | TD |
| CHILE | CL |
| CHINA | CN |
| COMMUNITY PLANT VARIETY OFFICE (EUROPEAN COMMUNITY) (CPVO) | QZ |
| COLOMBIA | CO |
| COMOROS | KM |
| CONGO (see Congo, below; Democratic Republic of the Congo) |  |
| CONGO | CG |
| COOK ISLANDS. | CK |
| COSTA RICA | CR |
| CÔTE D’IVOIRE | CI |
| CROATIA | HR |
| CUBA | CU |
| CURACAO | CW |
| CYPRUS | CY |
| CZECH REPUBLIC | CZ |
| DEMOCRATIC PEOPLE’S REPUBLIC OF KOREA | KP |
| DEMOCRATIC REPUBLIC OF THE CONGO | CD |
| DENMARK | DK |
| DJIBOUTI | DJ |
| DOMINICA ... | DM |
| DOMINICAN REPUBLIC | DO |
| ECUADOR | EC |
| EGYPT | EG |
| EL SALVADOR | SV |
| EQUATORIAL GUINEA | GQ |
| ERITREA | ER |
| ESTONIA | EE |
| ETHIOPIA | ET |
| EURASIAN PATENT ORGANIZATION (EAPO)(1) | EA |
| EUROPEAN COMMUNITY TRADE MARK OFFICE (See Office for Harmonization in the Internal Market) |  |
| EUROPEAN PATENT OFFICE (EPO)(1) | EP |
| FALKLAND ISLANDS (MALVINAS) | FK |
| FAROE ISLANDS | FO |
| FIJI | FJ |
| FINLAND | FI |
| FRANCE | FR |
| GABON | GA |
| GAMBIA | GM |
| GEORGIA | GE |
| GERMANY(3) | DE |
| GHANA | GH |
| GIBRALTAR | GI |
| GREECE | GR |
| GREENLAND ... | GL |
| GRENADA ... | GD |
| GUATEMALA ... | GT |
| GUERNSEY. | GG |
| GUINEA | GN |
| GUINEA–BISSAU | GW |
| GULF COOPERATION COUNCIL (see Patent Office of the Cooperation Council for the Arab States of the Gulf) |  |
| GUYANA | GY |
| HAITI | HT |
| HOLY SEE ... | VA |
| HONDURAS | HN |
| HONG KONG (See The Hong Kong Special Administrative Region of the People’s Republic of China) |  |
| HUNGARY | HU |
| ICELAND | IS |
| INDIA | IN |
| INDONESIA | ID |
| INTERNATIONAL BUREAU OF THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)(4) | IB, WO |
| INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS (UPOV) | XU |
| IRAN, ISLAMIC REPUBLIC OF | IR |
| IRAQ | IQ |
| IRELAND | IE |
| ISLE OF MAN | IM |
| ISRAEL | IL |
| ITALY | IT |
| JAMAICA | JM |
| JAPAN | JP |
| JERSEY | JE |
| JORDAN | JO |
| KAZAKHSTAN | KZ |
| KENYA | KE |
| KIRIBATI | KI |
| KOREA (see Democratic People’s Republic of Korea; Republic of Korea) |  |
| KUWAIT | KW |
| KYRGYZSTAN | KG |
| LAO PEOPLE’S DEMOCRATIC REPUBLIC | LA |
| LATVIA | LV |
| LEBANON | LB |
| LESOTHO | LS |
| LIBERIA | LR |
| LIBYAN ARAB JAMAHIRIYA | LY |
| LIECHTENSTEIN | LI |
| LITHUANIA | LT |
| LUXEMBOURG | LU |
| MACAO | MO |
| MACEDONIA (see The former Yugoslav Republic of Macedonia) |  |
| MADAGASCAR | MG |
| MALAWI | MW |
| MALAYSIA ... | MY |
| MALDIVES ... | MV |
| MALI | ML |
| MALTA | MT |
| MAURITANIA | MR |
| MAURITIUS | MU |
| MEXICO | MX |
| MOLDOVA (see Republic of Moldova) |  |
| MONACO | MC |
| MONGOLIA | MN |
| MONTENEGRO. | ME |
| MONTSERRAT | MS |
| MOROCCO | MA |
| MOZAMBIQUE | MZ |
| MYANMAR | MM |
| NAMIBIA | NA |
| NAURU | NR |
| NEPAL | NP |
| NETHERLANDS | NL |
| NEW ZEALAND | NZ |
| NICARAGUA | NI |
| NIGER | NE |
| NIGERIA | NG |
| NORDIC PATENT INSTITUTE (NPI) | XN |
| NORTHERN MARIANA ISLANDS | MP |
| NORWAY | NO |
| OFFICE FOR HARMONIZATION IN THE INTERNAL MARKET (TRADE MARKS AND DESIGNS) (OHIM) | EM |
| OMAN | OM |
| PAKISTAN ... | PK |
| PALAU | PW |
| PANAMA | PA |
| PAPUA NEW GUINEA | PG |
| PARAGUAY | PY |
| PATENT OFFICE OF THE COOPERATION COUNCIL FOR THE ARAB STATES OF THE GULF (GCC) | GC |
| PERU | PE |
| PHILIPPINES ... | PH |
| POLAND | PL |
| PORTUGAL | PT |
| QATAR | QA |
| REPUBLIC OF KOREA | KR |
| REPUBLIC OF MOLDOVA | MD |
| ROMANIA | RO |
| RUSSIAN FEDERATION | RU |
| RWANDA | RW |
| SAINT HELENA | SH |
| SAINT KITTS AND NEVIS ... | KN |
| SAINT LUCIA ... | LC |
| SAINT VINCENT AND THE GRENADINES | VC |
| SAMOA | WS |
| SAN MARINO | SM |
| SAO TOME AND PRINCIPE | ST |
| SAUDI ARABIA | SA |
| SENEGAL | SN |
| SERBIA | RS |
| SEYCHELLES | SC |
| SIERRA LEONE | SL |
| SINGAPORE | SG |
| SINT MAARTEN (Dutch part) | SX |
| SLOVAKIA ... | SK |
| SLOVENIA ... | SI |
| SOLOMON ISLANDS | SB |
| SOMALIA | SO |
| SOUTH AFRICA | ZA |
| SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS | GS |
| SOUTH SUDAN | SS |
| SPAIN | ES |
| SRI LANKA | LK |
| SUDAN | SD |
| SURINAME | SR |
| SWAZILAND | SZ |
| SWEDEN | SE |
| SWITZERLAND | CH |
| SYRIAN ARAB REPUBLIC | SY |
| TAIWAN, PROVINCE OF CHINA | TW |
| TAJIKISTAN | TJ |
| TANZANIA (see United Republic of Tanzania) |  |
| THAILAND ... | TH |
| THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA | MK |
| THE HONG KONG SPECIAL ADMINISTRATIVE REGION OF THE PEOPLE’S REPUBLIC OF CHINA | HK |
| TIMOR–LESTE | TL |
| TOGO. | TG |
| TONGA | TO |
| TRINIDAD AND TOBAGO | TT |
| TUNISIA | TN |
| TURKEY | TR |
| TURKMENISTAN | TM |
| TURKS AND CAICOS ISLANDS | TC |
| TUVALU | TV |
| UGANDA | UG |
| UKRAINE | UA |
| UNITED ARAB EMIRATES | AE |
| UNITED KINGDOM | GB |
| UNITED REPUBLIC OF TANZANIA | TZ |
| UNITED STATES OF AMERICA | US |
| URUGUAY ... | UY |
| UZBEKISTAN ... | UZ |
| VANUATU ... | VU |
| VATICAN CITY STATE (See Holy See) |  |
| VENEZUELA | VE |
| VIET NAM | VN |
| VIRGIN ISLANDS, BRITISH | VG |
| WESTERN SAHARA(5) | EH |
| WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO) (INTERNATIONAL BUREAU OF)(4) | WO, IB |
| YEMEN | YE |
| ZAMBIA | ZM |
| ZIMBABWE | ZW |



### 5. State/Province List

|  |  |
| --- | --- |
| U.S. State / U.S. territories / Canadian Provinces | Code |
| ALABAMA | AL |
| ALASKA | AK |
| ALBERTA | AB |
| AMERICAN SAMOA | AS |
| ARIZONA | AZ |
| ARKANSAS | AR |
| Armed Forces in Americas excluding Canada | AA |
| Armed Forces in Europe, the Middle East, Africa, and Canada | AE |
| Armed Forces in the Pacific | AP |
| BAKER ISLAND | 81 |
| BRITISH COLUMBIA | BC |
| CALIFORNIA | CA |
| CHANNEL ISLANDS | CHA |
| COLORADO | CO |
| CONNECTICUT | CT |
| DELAWARE | DE |
| DISTRICT OF COLUMBIA | DC |
| FLORIDA | FL |
| GEORGIA | GA |
| GUAM | GU |
| HAWAII | HI |
| HOWLAND ISLAND | 84 |
| IDAHO | ID |
| ILLINOIS | IL |
| INDIANA | IN |
| IOWA | IA |
| JARVIS ISLAND | 86 |
| JOHNSTON ATOLL | 67 |
| KANSAS | KS |
| KENTUCKY | KY |
| KINGMAN REEF | 89 |
| LOUISIANA | LA |
| MAINE | ME |
| MANITOBA | MB |
| MARYLAND | MD |
| MASSACHUSETTS | MA |
| MICHIGAN | MI |
| MIDWAY ISLANDS | 71 |
| MINNESOTA | MN |
| MISSISSIPPI | MS |
| MISSOURI | MO |
| MONTANA | MT |
| NAVASSA ISLAND | 76 |
| NEBRASKA | NE |
| NEVADA | NV |
| NEW BRUNSWICK | NB |
| NEW HAMPSHIRE | NH |
| NEW JERSEY | NJ |
| NEW MEXICO | NM |
| NEW YORK | NY |
| NEWFOUNDLAND | NF |
| NORTH CAROLINA | NC |
| NORTH DAKOTA | ND |
| NORTHWEST TERRITORIES | NT |
| NOVA SCOTIA | NS |
| NUNAVUT | NU |
| OHIO | OH |
| OKLAHOMA | OK |
| ONTARIO | ON |
| OREGON | OR |
| PALMYRA ATOLL | 95 |
| PENNSYLVANIA | PA |
| PRINCE EDWARD ISLAND | PE |
| PUERTO RICO | PR |
| QUEBEC | QC |
| RHODE ISLAND | RI |
| SASKATCHEWAN | SK |
| SOUTH CAROLINA | SC |
| SOUTH DAKOTA | SD |
| TENNESSEE | TN |
| TEXAS | TX |
| UNITED STATES MINOR OUTLYING ISLANDS | UM |
| UTAH | UT |
| VERMONT | VT |
| VIRGIN ISLANDS, U.S. | VI |
| VIRGINIA | VA |
| WAKE ISLAND | 79 |
| WASHINGTON | WA |
| WEST VIRGINIA | WV |
| WISCONSIN | WI |
| WYOMING | WY |
| YUKON TERRITORY | YT |

### 6. Active Military Descriptions List

|  |  |
| --- | --- |
| AE | The inventor is serving in Europe or Africa |
| AP | The inventor is serving in the Pacific |
| AA | The inventor is serving in the Americas excluding Canada |