

Indexing Your Documents



The USPTO uses rule-based messaging to trigger messages for Patent Staff based on the document descriptions that you choose in EFS-Web. Proper indexing of your documents in EFS-Web is essential to ensure that submissions are properly processed. As an added step, we have a first line team of Quality Control staff to catch errors associated with user indexing.

General Rules for Indexing

1. Index according to document description table included below
2. Applications must be divided and indexed into appropriate sections:
 - Specification (SPEC)
 - Claims (CLM)
 - Abstract (ABST)
 - Drawing (DRW)
3. Papers associated with Amendments must be separated out to different document descriptions. A typical amendment will include:
 - Amendment transmittal document. This is the first document discussing the type of amendment being filed. It could be a:
 - Amendment after Non-Final (A..)
 - Amendment after Notice of Allowance (Rule 312) (A.NA)
 - Preliminary Amendment (A.PE)
 - Amendment after Final (A.NE)
 - Application Parts (see above), if they exist
 - Remarks, such as Applicant Arguments or Remarks Made in an Amendment (These should be indexed with the document description "Applicant Arguments or Remarks")
4. Foreign References (FOR) and Backfile Non-Patent Literature (NPL) must be separated out into separate documents
5. Appeal Briefs do not have to have the "Claims" section separated out
6. Petitions that are accompanied with Amendments must have separate application parts documents descriptions as mentioned above, but if the petition cites the application part then it should not be separated out

Description of Documents Supported in EFS-Web



1.501 Submission by Patent Owner	IDS/References	At any time after allowance of an application and during the period of enforceability of the patent, a patent owner may cite, to the Office in writing, prior art consisting of patents or printed publications which are stated to be pertinent and applicable to the patent and believed to have a bearing on the patentability of any claim of the patent. The period of enforceability is the length of the term of the patent plus the 6 years under the statute of limitations for bringing an infringement action.
3D Protein Crystals (Text File)	Application Part	Applicants may file supplemental protein structure content in PDB-compatible text format (i.e., .txt file) to facilitate prosecution of application.
Abstract	Amendment	A portion of the application, as submitted by the applicant, that gives a brief overview of the disclosed invention.
Abstract	Application Part	A portion of the application, as submitted by the applicant, that gives a brief overview of the disclosed invention.
Abstract	PCT - Application Part	A portion of the application, as submitted by the applicant, that gives a brief overview of the disclosed invention.
Accelerated Exam - Transmittal amendment/reply	General Transmittal	The transmittal accompanying an amendment or reply in an application undergoing accelerated examination.
Affidavit/Dec/Exhibit after Notice of Appeal	BPAI	An affidavit, declaration or exhibit may be filed after the Notice of Appeal. However, after the jurisdiction of the case has passed to the Board of Patent Appeals and Interferences, an affidavit, declaration or exhibit may be considered by the Examiner only in the case that the Board remands the case to the Examiner for that purpose.
Amendment - After Non-Final Rejection	Amendment	Amendment filed by the applicant in response to a non-final office action issued by the Examiner based on the merits of the application.
Amendment After Final	Amendment	Amendment provided by the applicant after the Examiner closes prosecution with a final rejection. Amendment is not automatically entered by the Examiner. It will not be entered if it requires additional search or more than cursory review.
Amendment after Notice of Allowance (Rule 312)	Amendment	When applicant files an amendment after the Notice of Allowance has been mailed but before the issue fee is paid, the amendment is not entered automatically. It may only be entered upon recommendation of a Primary Examiner. It will not be entered if it requires additional search or more than cursory review.
Amendment after Notice of Allowance (Rule 312)	Office of Patent Pub	When applicant files an amendment after the Notice of Allowance has been mailed but before the issue fee is paid, the amendment is not entered automatically. It may only be entered upon recommendation of a Primary Examiner. It will not be entered if it requires additional search or more than cursory review.
		While the claims of two or more applications may not be identical, an interference exists if they are directed to the same patentable invention. If an applicant is not claiming the same patentable invention as another applicant, the examiner may suggest a claim or claims to the first applicant, provided the intention of the parties to claim the same patentable invention as expressed in the summary of the invention or elsewhere in the disclosure or claims is present. When an examiner suggests a claim, the applicant will be required to copy verbatim as suggested. A reply to the examiner's suggestion of a claim is not complete unless it includes an amendment adding the exact claim suggested to the application.
Amendment Copy Claims/Response to Suggested Claims	Amendment	
Amendment Submitted/Entered with Filing of CPA/RCE	Amendment	Applicant may submit an amendment at the time of the filing of a Request for Continued Prosecution Application (CPA)
Amendment Submitted/Entered with Filing of CPA/RCE	Continued Prosecution	Applicant may submit an amendment at the time of the filing of a Request for Continued Prosecution Application (CPA)
Amendment to the claims under PCT Article 19	PCT - Amendment	Under PCT Article 19, after the International Search Report has been mailed, the applicant has one opportunity to submit amendments to the claims prior to international publication. While these amendments are supposed to be filed directly with the International Bureau, they are sometimes erroneously filed with the receiving Office which may then forward them to the IB.
Amendment to the claims under PCT Article 19	PCT - Application Part	Under PCT Article 19, after the International Search Report has been mailed, the applicant has one opportunity to submit amendments to the claims prior to international publication. While these amendments are supposed to be filed directly with the International Bureau, they are sometimes erroneously filed with the receiving Office which may then forward them to the IB.
Amendment to the claims under PCT Article 19	PCT - Form	Under PCT Article 19, after the International Search Report has been mailed, the applicant has one opportunity to submit amendments to the claims prior to international publication. While these amendments are supposed to be filed directly with the International Bureau, they are sometimes erroneously filed with the receiving Office which may then forward them to the IB.

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Amendment/Argument after BPAI Decision	Amendment	An amendment may be filed after the decision of the Board of Patent Appeals and Interferences. If it does include any recommendation made by the Board and presents new or amended claims, in view of the fact that prosecution is closed, the appellant is not entitled to have such amendment entered automatically. However, if the amendment is submitted with a request for continued examination, the prosecution of the application will be reopened and the amendment will be entered. If the amendment obviously places an application in condition for allowance, the primary examiner, with the concurrence of the supervisory patent examiner, will have the amendment entered. Arguments may be filed after the Board's decision such as the judicial precedent or rule or statute has been rescinded or overruled.
Amendment/Argument after Notice of Amendment Appeal		An amendment may be filed at any time after final rejection, but before the jurisdiction of the case has passed to the Board of Patent Appeals and Interferences. However, after the Notice of Appeal has been filed by applicant, any amendment or argument filed by applicant is not normally entered unless the paper presented clearly places the application in condition for allowance.
Amendment/Argument after Notice of BPAI Appeal		An amendment may be filed at any time after final rejection, but before the jurisdiction of the case has passed to the Board of Patent Appeals and Interferences. However, after the Notice of Appeal has been filed by applicant, any amendment or argument filed by applicant is not normally entered unless the paper presented clearly places the application in condition for allowance.
Any request going to L R	General Transmittal	Requests coming into Licensing and Review include expedited licensing requests for foreign filing, retroactive licensing requests and responses to property rights potential claims from NASA or the Department of Energy.
Appeal Brief Filed	BPAI	After two rejections from the Examiner, applicant (now appellant) may file an Appeal Brief of the Examiner's decision. The appeal is to be decided upon by an administrative patent judge from the Board of Patent Appeals and Interferences within the USPTO. The judge weighs the evidence in the Appeal Brief and in an Examiner's Answer to reach a decision.
Appeal Brief- Third Party Requester	Reexam	An Appellant Brief filed by the third party requester in an inter partes reexamination proceeding. The patent owner can then file a Respondent Brief. An Examiner's Answer is then prepared by the Examiner based on the issues of the Brief(s). The appeal is then decided upon by an administrative judge from the Board of Patent Appeals and Interferences within the PTO. The judge weighs the evidence in the Brief(s) and the Examiner's Answer.
Appeal Brief-Owner	Reexam	An Appellant Brief filed by the patent owner in an inter partes reexamination proceeding. The third party requester can then file a Respondent Brief. An Examiner's Answer is then prepared by the Examiner based on the issues of the Brief(s). The appeal is then decided upon by an administrative judge from the Board of Patent Appeals and Interferences within the PTO. The judge weighs the evidence in the Brief(s) and the Examiner's Answer.
Appeal Postponement of Oral Hearing Request	BPAI	Appellant may request an oral hearing before the Board of Patent Appeals and Interferences. In response to that request, a notice of the hearing stating the date, time and docket is forwarded to the appellant by the Board. The appellant must send a confirmation within a stated time period confirming that appellant will attend. If appellant cannot attend at the designated time, appellant may request a postponement of the hearing. Such a request may be granted if it does not unduly delay a decision in the case or place undue burden on the Board.
Appendix to the Specification	Application Part	A sequence listing table or a computer program of greater than a specified size must be submitted as an appendix to the specification and be provided on a compact disc.
Applicant Arguments or Remarks Made in an Amendment	Amendment	A reply by the applicant to an office action must request reconsideration for further examination, must distinctly and specifically point out the supposed errors in the examiner's action and must reply to every ground of objection and rejection in the prior Office action. The reply must present arguments or remarks pointing out the specific distinctions believed to render the claims, including any newly presented claims, patentable over any applied references. In the amendment in reply to a rejection of claims, applicant's arguments or remarks must clearly point out the patentable novelty which he or she thinks the claims present in view of the references cited or the objections made.
Applicant Response to Pre-Exam Formalities Notice	OIPE	Response is sent by applicant to a notification to file missing parts or a notification of failure to comply with reissue rules within the time period for reply as set in the notice.
Applicant summary of interview with examiner	General Transmittal	This letter from the applicant provides the applicant's summary of an interview held with the examiner.
Application Data Sheet	Application Part	The application data sheet is a sheet voluntarily submitted in either provisional or nonprovisional applications and contains bibliographic data, arranged in a format specified by the USPTO in 37 CFR § 1.76. Supplemental application data sheets may be submitted prior to payment of the issue fee either to correct or update information in a previously submitted application data sheet.
Assignee showing of ownership per 37 CFR 3.73(b)	General Transmittal	When an assignee to the entire title first seeks to take an action in a patent application, patent or reexamination proceeding, the assignee must file documentary evidence of title as well as a document stating that the evidence has been reviewed and certifying that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take action.

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Authorization for Extension of Time all replies	General Transmittal	37 CFR 1.136 is the patent rule governing extensions of time. A constructive petition for an extension of time should be document coded as 136A, and is treated as requesting an extension of time for any paper needing an extension of time to be treated as timely filed. In accordance with 37 CFR 1.136(a)(3), there are two types of deposit account authorizations that may be treated as a constructive petition for time for any concurrent or future reply.
Authorization for USPTO to retrieve priority docs.	Priority Documents	Request from the applicant authorizing the USPTO to electronically retrieve Official Priority Documents from participating foreign IP Office and enter them into the file wrapper.
Authorization to access Appl. by Trilateral Office	Priority Documents	This document is an authorization from a US applicant permitting access to their electronic US Patent Applications by participating International Offices.
Cert. of Express Mail/Exp. Mail Envelope label	PCT - General Transmittal	Documentation under 37 CFR 1.10 establishing the date that submissions to the USPTO were deposited with the USPS.
Change of Address	Change Requests	Where an attorney or agent of record (or applicant, if he or she is prosecuting the application pro se) changes his or her correspondence address, he or she is responsible for promptly notifying the USPTO of the new correspondence address (including ZIP Code). The notification should also include his or her telephone number. A change of correspondence address must be signed by an attorney or agent of record.
Chemical Formulae (Text File)	Application Part	Applicants may file supplemental chemical content in InChI text format (i.e., .txt file) to facilitate prosecution of application.
CHII - Amendment to the claims - PCT Art. 34	PCT - Amendment	Under PCT Article 34, the applicant may submit amendments to the claims prior to preparation of the International Preliminary Examination Report.
CHII - Amendment to the claims - PCT Art. 34	PCT - Application Part	Under PCT Article 34, the applicant may submit amendments to the claims prior to preparation of the International Preliminary Examination Report.
CHII - Amendments to descript/drawings - PCT Art. 34	PCT - Amendment	Under PCT Article 34, the applicant may submit amendments to the claims, description and drawings prior to preparation of the International Preliminary Examination Report.
CHII - Fee payment - International Application	PCT - General Transmittal	A payment of fees by the applicant either in response to an invitation therefore (RO/102, RO/133, IPEA/403 or IPEA/440) or, as sometimes happens, spontaneously.
CHII - Form IPEA/401 Annex	PCT - Form	As part of the papers needed to initiate Chapter II processing, the applicant submits a fee calculation sheet (Form IPEA/401 Annex).
CHII - Form PCT/IPEA/401 - Demand	PCT - Form	As part of the papers needed to initiate Chapter II processing, the applicant submits a bibliographic data form, the Demand, PCT Form/IPEA/401.
CHII - Misc. communication from Applicant - IA	PCT - General Transmittal	Miscellaneous incoming communication from the applicant concerning an international application. (Chapter II).
CHII - Petition for review by the PCT legal office	PCT - Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. Some petitions are delegated to the Patent Cooperation Treaty Legal Administrator to act on the decision or continue prosecution. (Chapter II)
CHII - Request for Reconsideration - IA	PCT - Amendment	Request for Reconsideration - IA (Chapter II)
CHII - Request for Reconsideration - IA	PCT - General Transmittal	Request for Reconsideration - IA (Chapter II)
CHII - Request for recording change/PCT Rule 92bis	PCT - Change Request	Under PCT Rule 92bis, the applicant may submit changes to the person, name, residence, nationality or address of the applicant, inventor or agent (attorney) (Chapter II)
CHII - Request to withdraw as attorney - IA	PCT - Change Request	Under PCT Rule 90.6(d), an agent or common representative may renounce his appointment by a notification signed by him. (Chapter II)
CHII - Request to withdraw as attorney - IA	PCT - General Transmittal	Under PCT Rule 90.6(d), an agent or common representative may renounce his appointment by a notification signed by him. (Chapter II)
CHII - Request to withdraw designation - IA	PCT - Change Request	Under PCT Rule 90bis.2, the applicant may withdraw the designation of any designated State at any time prior to the expiration of 30 months from the priority date. (Chapter II)
CHII - Request to withdraw designation - IA	PCT - General Transmittal	Under PCT Rule 90bis.2, the applicant may withdraw the designation of any designated State at any time prior to the expiration of 30 months from the priority date. (Chapter II)
CHII - Request to withdraw priority claim - IA	PCT - Change Request	Under PCT Rule 90bis.3, the applicant may withdraw a priority claim made under Article 8(1) at any time prior to the expiration of 30 months from the priority date. (Chapter II)
CHII - Request to withdraw priority claim - IA	PCT - General Transmittal	Under PCT Rule 90bis.3, the applicant may withdraw a priority claim made under Article 8(1) at any time prior to the expiration of 30 months from the priority date. (Chapter II)
CHII - Response to form PCT/IPEA/404	PCT - Form	If certain defects are noted in the Demand, the IPEA invites the applicant to make correction by sending a form IPEA/404. Applicant is expected to submit corrections within one month.
CHII - Response to form PCT/IPEA/405	PCT - General Transmittal, PCT - Chapter II	Applicant's response to invitation from the IPEA/US to restrict or pay additional examination fees.
CHII - Response to form PCT/IPEA/408	PCT - General Amendment	The applicant is entitled to submit a response to the written opinion (Form IPEA/408). If the response includes amendments, it should be indexed as P.ART34.CLM (for claims) or P.ART34.A (for other parts of the application).

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CHII - Response to form PCT/IPEA/408	PCT - General Transmittal	The applicant is entitled to submit a response to the written opinion (Form IPEA/408). If the response includes amendments, it should be indexed as P.ART34.CLM (for claims) or P.ART34.A (for other parts of the application).
CHII -Request for rectification - IA	PCT - Change Request	Under PCT Rule 91, the applicant may request that obvious errors in the international application or other papers submitted by the applicant may be rectified.
CHII -Request for rectification - IA	PCT - General Transmittal	Under PCT Rule 91, the applicant may request that obvious errors in the international application or other papers submitted by the applicant may be rectified.
Claims	Amendment	The claim is that part of a patent application particularly pointing out and distinctly claiming the subject matter which the applicant regards as the invention or discovery.
Claims	Application Part	The claim is that part of a patent application particularly pointing out and distinctly claiming the subject matter which the applicant regards as the invention or discovery.
Claims	PCT - Application Part	The claim is that part of a patent application particularly pointing out and distinctly claiming the subject matter which the applicant regards as the invention or discovery.
Computer Listing(Text File)	Application Part	Computer program listings may be submitted in patent applications when necessary for the purpose of providing the appropriate sequence of instructions, routines, and other contents of a program for a computer. If the computer program listing is sufficiently short, it may be submitted either as drawings or as part of the specification. Longer computer program listings must be submitted on a compact disc. A compact disc containing such a computer program listing is referred to as a "computer program listing appendix." The "computer program listing appendix" is not be part of the printed patent.
Confirmation of Hearing by Appellant BPAI		Appellant may request an oral hearing before the Board of Patent Appeals and Interferences. In response to that request, a notice of the hearing stating the date, time and docket is forwarded to the appellant by the Board. The appellant must send a confirmation within a stated time period confirming that appellant will attend.
Confirmation of Incorporation by Reference	PCT - Change Request	An applicant submits a statement, to the RO, confirming that an element or part contained in a previously filed application is incorporated by reference in the international application. See PCT Rule 20.6.
Consent of Assignee accompanying the declaration	Reissue	If any of the inventors has/have assigned (transferred) their ownership of the original patent to one or more assignees, then all of the assignees must consent to the filing of a reissue application. The consent must be accompanied by an assignee showing of ownership per 37 CFR 3.73(b) for each assignee.
Continued Prosecution Application - Continuation (ACPA)	Continued Prosecution	A Continued Prosecution Application (CPA) is filed by applicant before abandonment or termination of proceedings of a prior application and requests express abandonment of that prior application. The CPA discloses and claims only subject matter disclosed in the prior application.
Continuing Prosecution Application - Divisional (DCPA)	Continued Prosecution	A Continued Prosecution Application (CPA) is filed by applicant before abandonment or termination of proceedings of a prior application and requests express abandonment of that prior application. The CPA discloses and claims only subject matter disclosed in the prior application. The CPA may be either a continuation or a Divisional CPA. In the Divisional CPA (DCPA), applicant requests prosecution of claims that were directed to the non-elected invention in the prior application.
Copy of Earlier Application for Incorporation by Reference	PCT - Change Request	An applicant submits a previously filed application to which the international application claims priority. The earlier application contains the element or part which the international application includes by reference. See PCT Rule 20.6.
Copy of patent for which reexamination is requested	Reexam	The patent copy containing the specification and claims, for which patent the reexamination is requested.
Copy of Translator of Earlier Application for Incorporation by Reference	PCT - Change Request	An applicant submits the translation of a previously filed application to which the international application claims priority. The translated application is in the language of the RO and contains the element or part which the international application includes by reference. See PCT Rule 20.6.
Copy/Translation of Stmt. Under PCT Article 19	PCT - Amendment	When filing an amendment under Article 19, the applicant may also submit a brief statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings.
Corp. Resolution/Auth. to Act on Behalf of Corp.	PCT - General Transmittal	If the applicant in an international application is a legal entity such as a corporation, then a "resolution" signed by one or more corporate officers is needed in order to delegate authority to sign legal documents on behalf of the corporate entity to one or more people.
CRF entered - partial listing printed by STIC (General Transmittal)	General Transmittal	In an application with a sequence listing, applicant must submit a copy of the "Sequence Listing" in computer readable form (CRF). In addition to a compact disc copy, a paper copy is required along with a statement that the "Sequence Listing" content of the paper and the computer readable copy are the same. If errors are found in the CRF and these errors are able to be corrected by the Scientific and Technical Information Center (STIC) Systems Branch, a partial listing is printed by STIC with the corrections and applicant is notified of the defects and that correction has been made.
CRF Statement Paper and CRF are the same	General Transmittal	In an application with a sequence listing, applicant must submit a copy of the "Sequence Listing" in computer readable form (CRF). In addition to a compact disc copy, a paper copy is required along with a statement that the "Sequence Listing" content of the paper and the computer readable copy are the same.
Defective Notice of Appeal or Cross Appeal Filed	Reexam	Defective Notice of Appeal or Cross Appeal Filed

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Documents submitted with 371 Applications	Application Part	For an international application designating the United States, to begin the national stage, an applicant files International Bureau documents including the international application, a translation if necessary, and other documents such as an international search report and a preliminary examination report.
Drawings	Amendment	Where it is necessary to understand the invention, a complete application must include drawings illustrating the disclosed invention.
Drawings	Application Part	Where it is necessary to understand the invention, a complete application must include drawings illustrating the disclosed invention.
Drawings	PCT - Application Part	Where it is necessary to understand the invention, a complete application must include drawings illustrating the disclosed invention.
Evidence for Restoring of Priority Claim	PCT - Change Request	An applicant submits to the RO a request to restore Right of Priority for an international application. The request must be received by the RO within two months from the date of priority expiration. See PCT Rule 26bis.3.
Examination support document	General Transmittal Petition	An accelerated examination support document including an information disclosure statement in compliance with 37 CFR 1.98 citing each reference deemed most closely related to the subject matter of each of the claims, identification of all the limitations in the claims that are disclosed by the reference specifying where the limitation is disclosed for each reference cited, a detailed explanation of how each of the claims are patentable over the references cited with the particularity required by 37 CFR 1.11(b) and (c), a concise statement of the utility of the invention as defined in each of the independent claims, a showing of where each limitation of the claims finds support under the first paragraph of 35 U.S.C. 112 in the written description of the specification, and identification of any cited references that may be disqualified as prior art under 35 U.S.C. 103(c) as amended by the Cooperative Research and Technology Enhancement (CREATE) Act.
Expedited Request for a Right of Appeal Notice	Reexam	An Expedited Request for a Right of Appeal Notice is filed by either party w/agreement of other party.
Extension of Time	General Transmittal	If applicant is required to respond within a shortened statutory time, the applicant may extend that period up to the maximum 6 month period set by statute by filing a written request and the appropriate fee.
Extension of Time	PCT - General Transmittal	If applicant is required to respond within a shortened statutory time, the applicant may extend that period up to the maximum 6 month period set by statute by filing a written request and the appropriate fee.
Fee payment - International Application	PCT - General Transmittal	A payment of fees by the applicant either in response to an invitation therefore (RO/102, RO/133, IPEA/403 or IPEA/440) or, as sometimes happens, spontaneously.
Fee Worksheet (PTO-06)	General Transmittal	Fee worksheet is found at the end of the application used by the Technical Administrative Staff to determine the amount of fees due from the applicant. This worksheet can also include various other payment related internal documents.
Foreign Origin Search copies - IA	PCT - Form	The ISA/US has agreed to be a searching authority for a number of foreign countries. Upon completion of receiving Office processing, the foreign receiving Office forwards the Search Copy to the USPTO.
Foreign Reference	IDS/References	The foreign references originally cited by the Examiner or the applicant are made of record in the application and are viewable in IFW.
Form PTO/SB/94 - SIR Request	General Transmittal	An applicant for an original patent may request, at any time during the pendency of applicant's pending complete application, that the specification and drawings be published as a statutory invention registration (SIR). Any request for publication of a statutory invention registration must include a waiver of the applicant's right to receive a patent on the invention claimed effective upon the date of publication of the SIR, the required fee, and a statement that the application to which the request is directed complies with the formal requirements for printing as a patent. The application to be published as a SIR must be complete including a specification with a claim or claims, an oath or declaration, and drawings when necessary.
Information Disclosure Statement (IDS) Filed	IDS/References	The applicant provides an Information Disclosure Statement (IDS) at the earliest possible stage of prosecution detailing the most pertinent references known to applicant. The Examiner is to consider each reference cited in the IDS prior to the next Office action on the merits.
Issue Fee Payment Recorded (PTO-85B)	General Transmittal	When applicant transmits the issue fee payments on an issue fee transmittal form, the payment of that fee in Revenue Accounting and Management (RAM) system is recorded on that form.
Letter Express Abandonment of the application	General Transmittal	At any time during the prosecution of the application, applicant may file a letter expressly abandoning the application.
Letter Requesting Interview with Examiner	General Transmittal	Interviews with examiners concerning applications and other matters pending before the Office must be conducted on Office premises and within Office hours. An interview should normally be arranged for in advance, as by letter or telephone call, in order to insure that the primary examiner and/or the examiner in charge of the application will be present and available in the Office.

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Letter Requesting Suspension of Prosecution	General Transmittal	An applicant may file a petition to suspend action in an application for a specific period of time for good and sufficient reasons. An example would be where a request for continued examination is made before a preliminary amendment is filed. The suspension would be requested to prevent having the case examined before the preliminary amendment is entered.
Letter to Official Draftsman Filed P/E	General Transmittal	Where applicant files a reply to a request for drawing corrections in an application, the applicant sends that reply to the Official Draftsman requesting approval of the changes.
Mathematical Formulae (Text File)	Application Part	Applicants may file supplemental mathematical content in MathML text format (i.e., .txt file) to facilitate prosecution of application.
Misc. incoming letter from Applicant - PCT - General IA	General Transmittal	Miscellaneous incoming communication from the applicant concerning an international application.
Miscellaneous Incoming Letter	General Transmittal	A miscellaneous incoming letter from applicant shall be submitted any time during prosecution that is not directed to a requirement, notice or Office action sent by the USPTO.
New or Additional Drawings	General Transmittal	Transmittal letter is filed by applicant during prosecution of an application indicating that new or additional drawings were submitted.
Nonpublication request from applicant	Office of Patent Pub	A nonpublication request must be submitted with the application upon filing, certifying that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. Form PTO/SB/35 may be used by applicant for filing this request.
Nonpublication request from applicant	OIPE	A nonpublication request must be submitted with the application upon filing, certifying that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. Form PTO/SB/35 may be used by applicant for filing this request.
Notice of Appeal Filed	BPAI	After two rejections from the Examiner, applicant may file an Appeal Notice noting that applicant (now appellant) is appealing the Examiner's decision. The appeal is to be decided upon by an administrative patent judge from the Board of Patent Appeals and Interferences within the USPTO. The judge weighs the evidence in the Appeal Brief and in an Examiner's Answer to reach a decision.
Notice of Appeal- Requester	Reexam	In an inter partes reexamination proceeding, after an examiner issues a Right of Appeal Notice (RAN), the patent owner and a third party requester may both participate in the appeal process. As such, the patent owner and the third party may each file a Notice of Appeal in response to the RAN. A Notice of Appeal may also be filed with the Court of Appeals for the Federal Circuit, after a final agency Board decision.
Notice of concurrent proceeding(s)	Reexam	Notice of concurrent proceeding(s) filed by any party informing the Office of concurrent proceeding(s) with respect to the patent being reexamined.
Notice of Cross Appeal- Owner	Reexam	Patent owner filed a Notice of Cross Appeal in an Inter Partes Reexam.
Notice of Cross Appeal- Third Party Requester	Reexam	Third party requester filed a Notice of Cross Appeal in an Inter Partes Reexam.
NPL Documents	IDS/References	Document code is used for indicating Non Patent Literature (NPL) submitted by applicant or cited by the examiner.
Oath or Declaration field	Application Part	Each inventor must make an oath or declaration that he/she believes himself/herself to be the original and first inventor of the subject matter of the application, and he/she must make various other statements under 37 CFR § 1.63, As set forth in 37 CFR § 1.64, the oath or declaration must be signed by all of the actual inventors except as provided for in 37 CFR §§ 1.42, 1.43, 1.47, or 1.67.
Oath or Declaration field	Change Requests	Each inventor must make an oath or declaration that he/she believes himself/herself to be the original and first inventor of the subject matter of the application, and he/she must make various other statements under 37 CFR § 1.63, As set forth in 37 CFR § 1.64, the oath or declaration must be signed by all of the actual inventors except as provided for in 37 CFR §§ 1.42, 1.43, 1.47, or 1.67.
Oral Hearing Request- Third Party Requester	Reexam	In an inter partes reexamination proceeding, after an examiner issues a Right of Appeal Notice, a third party requester may participate in the appeal process. As such, the third party requester may file a request for an oral hearing by the Board.
Oral Hearing Request-Owner	Reexam	Request filed by patent owner in an inter partes reexamination proceeding requesting an oral hearing.
Patent Owner Appeal to the Federal Circuit Filed	Reexam	Patent Owner filed an Appeal to the Federal Circuit.
Patent Owner Comments after Action Closing Prosecution	Reexam	Patent owner amendment/comments filed after Action Closing Prosecution - the paper is placed in the file, but not automatically entered; it is entered only if approved by the examiner for entry in a reexamination proceeding.
Patent Owner Comments on Examiner's Determination after Board Decision	Reexam	Patent owner filed Comments on Examiner's Determination after Board Decision With New Ground of Rejection.
Patent Owner Reply to Requester Comments on Examiner's Determination after Board Decision With New Ground of Rejection	Reexam	Patent owner filed a Reply to requester Comments on Examiner's Determination after Board Decision With New Ground of Rejection.

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Patent Owner request for Rehearing	Reexam	Patent owner filed request in an inter partes reexamination proceeding for rehearing of the decision rendered by the Board of Patent Appeals and Interferences.
Patent Owner Response after Board Decision	Reexam	Response filed by patent owner in an inter partes reexamination proceeding after a decision by the Board of amendment Patent Appeals and Interferences pursuant to 37 CFR 41.77-41.79.
Patent Term Extension Application Under 35 USC 156	General Transmittal	If a product is required to be reviewed by the FDA or the Dept of Agriculture, a patent claiming the product, a method of use of the product, and/or a method of manufacturing the product may be eligible for extension. To obtain an extension, an application must be filed pursuant to 35 USC 156.
PCT SAFE Validation Log	PCT - General Transmittal	The authoring program devised by the International Bureau for preparing the request form (Form PCT/RO/101) automatically scans entered data for obvious errors and inconsistencies and generates this list of any problems detected.
PCT-Transmittal Letter	PCT - General Transmittal	Upon filing any papers to the USPTO regarding the filing of a new application, applicant includes a transmittal letter indicating the contents of the submission, including any fees that may accompany the papers. This letter usually includes the Express Mail Certificate of Mailing. This transmittal letter corresponds to the transmittal letter in a PCT application.
Petition for 12-month Accelerated Exam	General Transmittal Petition	A petition to make an application special for accelerated examination except in the cases of an applicant's age or health. See 37 CFR 1.102 and MPEP 708.02. This is preferably filed using form PTO/SB/28.
Petition for review by the Office of Petitions	Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. Some petitions are delegated to the Office of Petitions to act on the decision or continue prosecution.
Petition for review by the PCT legal office.	PCT - Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. Some petitions are delegated to the Patent Cooperation Treaty Legal Administrator to act on the decision or continue prosecution.
Petition for review by the PCT legal office.	Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. Some petitions are delegated to the Patent Cooperation Treaty Legal Administrator to act on the decision or continue prosecution.
Petition for review by the Technology Center SPRE	Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. Some petitions are delegated to the Technology Center Special Program Examiner to act on the decision or continue prosecution.
Petition for Review of Reexam Denial	Reexam	A petition filed by the requester (can be patent owner or third party requester), asking that the decision denying the reexamination request be reconsidered.
Petition for review/ processing depending on status	Petition	An incoming petition from applicant is sent to the official who has been delegated with the authority to decide the petition. For some petitions, the office that is to review and process a petition is determined based on the processing status of the application as defined by the PALM status codes.
Petition received re:Denial of a Request	Reexam	Petition filed by third party requester in an inter partes reexamination proceeding seeking review of the PTO's denial for inter partes reexamination.
Petition to Convert Regular to Provisional	General Transmittal	A non-provisional regular application may be converted to a provisional application provided a petition requesting the conversion is submitted by applicant along with the petition fee. The petition and fee must be submitted prior to the earlier of the abandonment of the nonprovisional application, the payment of the issue fee, the expiration of 12 months after the filing date of the nonprovisional application, or the filing of a request for statutory invention registration.
Petition to Convert Regular to Provisional	Petition	A non-provisional regular application may be converted to a provisional application provided a petition requesting the conversion is submitted by applicant along with the petition fee. The petition and fee must be submitted prior to the earlier of the abandonment of the nonprovisional application, the payment of the issue fee, the expiration of 12 months after the filing date of the nonprovisional application, or the filing of a request for statutory invention registration.
Petition to Withdraw from Issue	Office of Patent Pub	Prior to paying the issue fee, applicant can request that the Office withdraw an application from issue upon filing a petition including the fee and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary. Once the issue fee has been paid, the application will only be withdrawn from issue upon filing a petition by applicant indicating unpatentability of one of more claims. The petition must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to the unpatentable claims, and an explanation as to how the amendment causes the claims to be patentable.
Petition to Withdraw from Issue	Petition	Prior to paying the issue fee, applicant can request that the Office withdraw an application from issue upon filing a petition including the fee and a showing of good and sufficient reasons why withdrawal of the application from issue is necessary. Once the issue fee has been paid, the application will only be withdrawn from issue upon filing a petition by applicant indicating unpatentability of one of more claims. The petition must be accompanied by an unequivocal statement that one or more claims are unpatentable, an amendment to the unpatentable claims, and an explanation as to how the amendment causes the claims to be patentable.
Petition under 1.378(c)	(No category)	Electronically granted petition under 37 CFR 1.378 (c) submitted via EFS-Web.
Post Allowance Communication Transaction to Record Incoming Correspondence	General Transmittal	Communication is received from applicant after allowance of an application and prior to publication thereof.

Description of Documents Supported in EFS-Web



Power of Attorney	PCT - Change Request Change Requests	Applicant may file a change in Power of Attorney or an associate Power of Attorney at any time during prosecution of an application. The POA indicates representatives given permission to act on behalf of the inventor or assignee to prosecute an application.
Power to Make Copies and/or Inspect	Change Requests	Written authority signed by an applicant, an attorney or agent of record, an authorized official of an assignee or a registered attorney or agent named in the papers accompanying the application papers can be submitted to give access to an application to any person. This written authority gives the named person or persons a power to inspect and make copies of the application. An unrestricted power to inspect given by an applicant is recognized as in effect until rescinded.
Pre-Brief Appeal Conference Request	BPAI	After a pre-brief review conference has taken place, the PTO provides a notice to the appellant advising the appellant: (1) that the review occurred and that the period set for filing an appeal brief runs from the mail date of such notice; and (2) of any rejection(s) that is withdrawn as a result of the review.
Preliminary Amendment	Amendment	Amendment filed by the applicant prior to the Examiner issuing an office action that is based on the merits of the application.
Priority Claim Adjustment under Rules 26bis	PCT - Change Request	Under PCT Rule 26bis, the applicant may correct or add a priority claim.
Priority Claim Adjustment under Rules 26bis	PCT - General Transmittal	Under PCT Rule 26bis, the applicant may correct or add a priority claim.
Processed Request for Refund	General Transmittal	A formal request made from the applicant to refund fees paid during the prosecution of an application. The request must include reasons indicating why the fees are to be refunded. Any request for refund must be filed within two years from the date the fee was paid.
Rebuttal Brief- Owner	Reexam	Patent owner filed a Rebuttal Brief, after an Examiner's Answer was issued in an inter partes reexamination proceeding.
Rebuttal Brief- Requester	Reexam	Third party requester filed a Rebuttal Brief, after an Examiner's Answer was issued in an inter partes reexamination proceeding.
Receipt of Corrected Original Ex Parte Request	Reexam	An incoming document (request) that corrects a previously filed original (request) document requesting an ex parte reexamination proceeding (a corrected request would be filed in response to the Office's notice of failure of the originally filed request papers to comply with filing date requirements).
Receipt of Corrected Original Inter Partes Request	Reexam	Incoming document (request) that corrects previously filed original (request) document requesting an inter partes reexamination proceeding (a corrected request would be filed in response to the Office's notice of failure to comply with reexamination request filing date requirements).
Receipt of Orig. Ex Parte Request by Third Party	Reexam	An incoming original document (request) requesting an ex parte reexamination proceeding, where the request is made by a third party.
Receipt of Original Ex Parte Request	Reexam	An incoming original document (request) requesting an ex parte reexamination proceeding.
Receipt of Original Inter Partes Reexam Request	Reexam	A Request for Inter Partes Reexamination of a patent filed by a third party requester is received.
Receipt of Petition in a Reexam	Reexam	A petition filed by the requester or owner in a reexamination proceeding.
Reexam - Affidavit(s), Declaration(s) and/or Exhibit(s) Filed by Third Party Requester	Reexam	Reexamination - affidavit(s), declaration(s) and/or exhibit(s) filed by third party requester.
Reexam - Change in Power of Attorney (May Include Associate POA) for Third Party Requester	Reexam	A change in Power of Attorney (POA) for third party requester (May include associate POA).
Reexam - Correspondence Address Change for Third Party Requester	Reexam	A correspondence address change for third party requester, filed in a reexamination proceeding.
Reexam - Information Disclosure Statement Filed by Third Party Requester	Reexam	An Information Disclosure Statement (IDS) filed by third party requester, in a reexamination proceeding.
Reexam - Opposition filed in response to petition	Reexam	Opposition paper filed in response to petition
Reexam Appeal BPAI Decision to Court of Appeals	Reexam	Notification by owner that the Board of Patent Appeals and Interferences (BPAI) decision has been appealed to the Court of Appeals to reverse/overturn the BPAI decision.
Reexam Certificate of Mailing	Reexam	A certificate included with an incoming paper, filed by either the owner or the third party requester, which indicates the date that the paper was deposited in the U.S. mail for filing in a reexamination proceeding.
Reexam Certificate of Service	Reexam	A certificate included with an incoming paper, filed by either the owner or the third party requester, which indicates the date that the paper was served on the other party (or parties) in a reexamination proceeding.
Reexam Court of Appeals Decision Rendered	Reexam	Notification that a Court of Appeals decision was mailed by the Court in a reexamination proceeding.
Reexam Defective Brief Filed	Reexam	An appeal brief that is defective, because it is not in a format in compliance with the rules of practice governing appeal briefs in a reexamination proceeding.
Reexam Fee Payment Only Filed	Reexam	An incoming paper filed by the owner or the third party requester, which only includes payment of a fee.

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Reexam follow-on papers received in OIPE	Reexam	Any follow-on incoming ex parte reexam papers (control no. 90/xxxxx or 95/xxxxx) addressed to the CRU/TC may, and probably will, initially be indexed with a generic document code. An auto-message will be sent for review by CRU/TC SPRE. This code may also be used for an internal notice and/or special instructions to SPRE/SPE/Examiner.
Reexam Miscellaneous Incoming Letter	Reexam	A miscellaneous letter filed by either the owner or the third party requester in a reexamination proceeding.
Reexam Notice of Court Action	Reexam	A notification filed by any party informing the Office of action taken by the Court with respect to patent being reexamined, or other patent.
Reexam Request for Extension of Time	Reexam	A letter or petition filed by the owner, requesting an extension of time to respond to the last Office action in a reexamination proceeding.
Reexam Request for Foreign Priority (Priority Papers May Be Included)	Reexam	A letter filed by the owner, requesting that foreign priority be given to the patent being reexamined.
Reexam Request to Lift Suspension of Prosecution	Reexam	A letter requesting that the suspension of the reexamination proceeding be lifted.
Reexam Response to Final Rejection	Reexam	A response filed by the patent owner in response to a final rejection, which is placed in the file, but not automatically entered; it is entered only if approved by the examiner for entry in a reexamination proceeding.
Reexam Timely Patent Owner's Statement in Response to Order	Reexam	An optional "statement" that was filed by patent owner within two months of the mailing of the order, which triggers a two month period (from service on requester) in which the third party requester may optionally file a requester's "reply" to the owner's statement in a reexamination proceeding.
Reexam Timely Requester's Reply to an Owner's Statement	Reexam	An optional third party requester's "reply" that was filed by the requester within two months of the service of the owner's "statement" on the requester in a reexamination proceeding.
Reexam Untimely Patent Owner's Statement in Response to Order	Reexam	An optional "statement" that was filed by patent owner more than two months after the mailing of the order, and is therefore not timely filed in a reexamination proceeding.
Reexam Untimely Requester Reply to an Owner's Statement - Not Made of Record/Returned	Reexam	An optional third party requester's "reply" that was filed by the requester more than two months from the date of service of the owner's "statement" on the requester, and therefore is not timely filed.
Refund Request	General Transmittal	A formal request made from the applicant to refund fees paid during the prosecution of an application. The request must include reasons indicating why the fees are to be refunded. Any request for refund must be filed within two years from the date the fee was paid.
Reissue dec filed in accordance with MPEP 1414	Reissue	Each inventor must make a reissue oath or declaration under 37 CFR 1.175(a)(1) and (2) that: (1) he/she believes the original patent to be wholly or partly inoperative or invalid by reason of a defective specification or drawing, or by reason of the patentee claiming more or less than the patentee had the right to claim in the patent, stating at least one error being relied upon as the basis for reissue; (2) he/she believes the original patent to be wholly or partly inoperative or invalid by reason of a defective specification or drawing, or by reason of the patentee claiming more or less than the patentee had the right to claim in the patent, stating at least one error being relied upon as the basis for reissue; and (3) various other statements under 37 CFR § 1.63. Under 37 CFR 1.172 - the reissue oath or declaration must be signed by all of the actual inventors unless: (a) the reissue declaration is being executed by the assignee of the entire right title and interest of the patent (where the reissue application does not enlarge the scope of the claims of the original patent) or (b) the provisions of 37 CFR §§ 1.42, 1.43 or 1.47 are satisfied.
Reply Brief Filed	BPAI	In response to an Examiner's Answer prepared by the Examiner following appellant's appeal brief to the Board of Patent Appeals and Interferences, appellant has a right to file a reply brief within two months of the mailing date of the Examiner's Answer.
Req for Rule 1.138(c) Express Abandon to avoid pub	Pre-Grant Pub	An applicant seeking to abandon an application to avoid publication of the application must submit a declaration of express abandonment by way of a petition including a fee in sufficient time to recognize the abandonment and remove the application from the publication process. Applicant should submit the declaration of express abandonment, petition and fee more than four weeks prior to the projected date of publication.
Request for Certificate of Correction	Office of Patent Pub	Whenever a mistake of a minor character appears in a patent and a showing has been made that such mistake occurred in good faith, the applicant may request that the USPTO issue a certificate of correction. The certificate is then attached to each printed copy of the patent, and the certificate is considered as part of the original patent.
Request for Certificate of Deposit under Budapest Treaty	General Transmittal	Upon U.S. patent grant, where a biological deposit has been made under the Budapest Treaty for patent provision purposes, a third party may request that the USPTO grant release of the deposited biological material from the referenced International Depository Authority.
Request for Continued Examination (RCE)	Amendment	If prosecution in an application is closed, an applicant may request continued examination (RCE) of the application by filing a submission and the fee prior to payment of the issue fee, abandonment of the application, or the filing of a notice of appeal. A submission includes, but is not limited to, an information disclosure statement, an amendment to the written description, claims, or drawings, new arguments, or new evidence in support of patentability. The USPTO will withdraw the finality of any Office action and the submission will be entered and considered.

Description of Documents Supported in EFS-Web



Request for Continued Examination (RCE)	Petition	If prosecution in an application is closed, an applicant may request continued examination (RCE) of the application by filing a submission and the fee prior to payment of the issue fee, abandonment of the application, or the filing of a notice of appeal. A submission includes, but is not limited to, an information disclosure statement, an amendment to the written description, claims, or drawings, new arguments, or new evidence in support of patentability. The USPTO will withdraw the finality of any Office action and the submission will be entered and considered.
Request for Corrected Filing Receipt	OIPE	When an application is initially filed, applicant is informed of the application number and filing date by a filing receipt sent out by the USPTO. If applicant discovers an error in the filing receipt, applicant may send a request to the USPTO to correct the error.
Request for Corrected Notice of Allowance	Office of Patent Pub	If, on examination, it appears that the applicant is entitled to a patent under the law, a Notice of Allowance is sent to the applicant. The Notice of Allowance specifies an issue fee that must be paid within three months from the date of mailing of the Notice of Allowance to avoid abandonment of the application. Applicant may file necessary amendments, assignments, petitions, information disclosure statements, or other papers prior to the date of issue fee payment. One such paper is a request for a corrected Notice of Allowance, where some information on the Notice of Allowance is incorrect.
Request for Early Publication	Pre-Grant Pub	Applications are normally published 18 months after they are filed. Applicant may request that his or her application be published earlier than that date.
Request for Expedited Processing, Design Rocket Docket	General Transmittal	A request is sent by applicant to expedite the processing and examination of a Design application. The request must be received prior to an examiner's action. The request must include a submission of request with fee, drawings, a statement that a preexamination search was conducted indicating the field of search with an Information Disclosure Statement (IDS) and that the application is in condition for examination.
Request for New or Replacement Patent Grant	Office of Patent Pub	At the request of the patentee or the patentee's assignee, the USPTO may issue a certificate of correction pursuant to correct a mistake in a patent, incurred through the fault of the Office, wherein the mistake is clearly disclosed in the records of the Office. If the nature of the mistake on the part of the Office is such that a certificate of correction is deemed inappropriate in form, the USPTO may issue a corrected patent as a more appropriate form for correction, without expense to the patentee.
Request for Oral Hearing	BPAI	Appellant may request an oral hearing before the Board of Patent Appeals and Interferences. In response to that request, a notice of the hearing stating the date, time and docket is forwarded to the appellant by the Board.
Request for Publishing of Information Relating to Priority Claim Declared Void	PCT - Change Request	An applicant requests IB to publish information concerning a priority claim declared void or a priority claim having a missing application number, an inconsistency with the priority document, or a filing date that is more than 12 months earlier than the international filing date, but not more than 14 months earlier than the international filing date. See PCT Rule 26bis.2(d).
Request for Publishing of Late Furnished Priority Claims Correction	PCT - Change Request	An applicant misses the deadline to have an addition or correction made to a priority claim. The applicant then submits a request, within 30 months from the date of priority expiration, to have the IB publish information concerning the matter. See PCT Rule 26bis.2(e).
Request for Reconsideration - IA	PCT - Amendment	Request for Reconsideration - IA
Request for Reconsideration - IA	PCT - General Transmittal	Request for Reconsideration - IA
Request for Reconsideration of BPAI Decision	BPAI	The Examiner may request rehearing of the decision of the Board of Patent Appeals and Interferences. All requests by the Examiner to the Board for rehearing of a decision must be approved by the TC Director and must also be forwarded to the Office of the Deputy Commissioner for Patent Examination Policy for approval before mailing. The request should set a period of 1 month for the appellant to file a reply. After the period set for appellant to file a reply has expired, the application file will be forwarded to the Board.
Request for recording of a change/ PCT Rule 92bis	PCT Change Request	Under PCT Rule 92bis, the applicant may submit changes to the person, name, residence, nationality or address of the applicant, inventor or agent (attorney).
Request for rectification - IA	PCT - Change Request	Under PCT Rule 91, the applicant may request that obvious errors in the international application or other papers submitted by the applicant may be rectified.
Request for rectification - IA	PCT - General Transmittal	Under PCT Rule 91, the applicant may request that obvious errors in the international application or other papers submitted by the applicant may be rectified.
Request for Refund of Publication Fee Paid	Pre-Grant Pub	Upon filing a request to withdraw the request for publication, applicant may also include a request for a refund of any amount paid in excess of the application filing fee and a handling fee as set forth by the USPTO. The request for refund of the publication fee must be filed prior to the publication of the application.
Request for status of Application	General Transmittal	This letter from the applicant is a simple request for the status of the application, such as the time until a first action can be expected.
Request for status of Application	PCT - General Transmission	This letter from the applicant is a simple request for the status of the application, such as the time until a first action can be expected.
Request to Merge Reexam Proceedings	Reexam	A letter requesting that the reexamination be merged with another copending reexamination proceeding for reexamination of the same patent.

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Request to Withdraw Appeal by Appellant	BPAI	Appellant may file a request to withdraw an appeal to the Board of Patent Appeals and Interferences. If no claims are allowed, the withdrawal is an express abandonment. If some claims are allowed, the withdrawal is the equivalent of an amendment canceling the appealed claims.
Request to withdraw as attorney - IA	PCT - Change Request	Under PCT Rule 90.6(d), an agent or common representative may renounce his appointment by a notification signed by him.
Request to withdraw as attorney - IA	PCT - General Transmittal	Under PCT Rule 90.6(d), an agent or common representative may renounce his appointment by a notification signed by him.
Request to withdraw designation - IA	PCT - Change Request	Under PCT Rule 90bis.2, the applicant may withdraw the designation of any designated State at any time prior to the expiration of 30 months from the priority date.
Request to withdraw designation - IA	PCT - General Transmittal	Under PCT Rule 90bis.2, the applicant may withdraw the designation of any designated State at any time prior to the expiration of 30 months from the priority date.
Request to withdraw IA	PCT - General Transmittal	Under PCT Rule 90bis.1, the applicant may withdraw the international application at any time prior to the expiration of 30 months from the priority date.
Request to withdraw priority claim - IA	PCT - Change Request	Under PCT Rule 90bis.3, the applicant may withdraw a priority claim made under Article 8(1) at any time prior to the expiration of 30 months from the priority date.
Request to withdraw priority claim - IA	PCT - General Transmittal	Under PCT Rule 90bis.3, the applicant may withdraw a priority claim made under Article 8(1) at any time prior to the expiration of 30 months from the priority date.
Request to withdraw US designation - IA	PCT - Change Request	In order to avoid some of the ensuing complications, some applicants in international applications particularly do not want the US designated. In such situations, they will withdraw the designation of the United States under PCT Rule 90bis.2.
Request to withdraw US designation - IA	PCT - General Transmittal	In order to avoid some of the ensuing complications, some applicants in international applications particularly do not want the US designated. In such situations, they will withdraw the designation of the United States under PCT Rule 90bis.2.
Request under Rule 129 to Reopen Prosecution	Continued Prosecution	An applicant in an application, other than for reissue or a design patent, that has been pending for at least two years as of June 8, 1995 can request to have prosecution reopened for examination. Applicant is entitled to have a first submission entered and considered on the merits after a final rejection on the merits has closed prosecution if the first submission and the fee are filed prior to the filing of an appeal brief and prior to abandonment of the application. The finality of the final rejection is automatically withdrawn upon the timely filing of the submission and payment of the fee. A submission includes, but is not limited to, an information disclosure statement, an amendment to the written description, claims or drawings and a new substantive argument or new evidence in support of patentability.
Request under Rule 48 correcting inventorship.	Amendment	Applicant can file a request to correct inventorship under Rule 48 at any time during prosecution of an application.
Request under Rule 48 correcting inventorship.	Petition	Applicant can file a request to correct inventorship under Rule 48 at any time during prosecution of an application.
Request/Corrected Patent Application Publications	Pre-Grant Pub	The Office will grant a request for a corrected or revised patent application publication from applicant when the Office makes a material mistake which is apparent from Office records. Any request for a corrected or revised patent application publication from applicant must be filed within two months from the date of the patent application publication and include an indication of the mistake.
Requester Appeal to the Federal Circuit	Reexam	Third party requester filed an Appeal to the Federal Circuit.
Requester Comments on Examiner's Determination after Board Decision	Reexam	Third party requester filed Comments on Examiner's Determination after Board Decision With New Ground of Rejection.
Requester Comments on Patent Owner Response after Board Decision	Reexam	Comments filed by third party requester, after Patent Owner Response after Board Decision.
Requester Reply to Patent Owner Reply on Examiner's Determination after Board Decision With New Ground of Rejection-Timely	Reexam	Third party requester filed a Reply to patent owner's comments after Examiner's Determination after Board Decision With New Ground of Rejection.
Requester Request for Rehearing after Board Decision	Reexam	Third party requester filed a Request for Rehearing after Board Decision.
Rescind Nonpublication Request for Pre Grant Pub	Office of Patent Pub	An applicant may rescind at any time a prior filed request that an application not be published. This request will result in the publication of the application at eighteen months from the filing date of the application or as of the request to rescind the nonpublication request, whichever is earlier.
Rescind Nonpublication Request for Pre Grant Pub	OIPE	An applicant may rescind at any time a prior filed request that an application not be published. This request will result in the publication of the application at eighteen months from the filing date of the application or as of the request to rescind the nonpublication request, whichever is earlier.
Respondent Brief- Owner	Reexam	Patent owner filed a Respondent Brief, after an Appellant Brief was filed by the third party requester in an inter partes reexamination proceeding.
Respondent Brief- Requester	Reexam	Third Party Requester filed a Respondent Brief, after an Appellant Brief was filed by the patent in an inter partes reexamination proceeding.

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Response after Ex Parte Quayle Action	Amendment	An Ex parte Quayle action is an Office action noting that all claims are allowable and the application is in condition for allowance except as to matters of form such as correction of the specification or a new oath. An Ex parte Quayle action closes prosecution on the merits. A proper response from the applicant to an Ex parte Quayle action is limited to correcting these matters of form.
Response after non-final action-owner timely	Reexam	A timely amendment filed by the patent owner in an inter partes reexamination proceeding in response to a non-final Office action issued by the examiner, based on the merits of the proceedings.
Response providing copy of record for reconstruction	General Transmittal	Response providing copy of record for reconstruction: A copy of the applicant's or patentee's record (if any) of all of the correspondence between the Office and the applicant or patentee for such application, patent, or other proceeding (except for U.S. patent documents). See 37 CFR 1.251.
Response to Election / Restriction Filed	Amendment	Restriction is a generic term that includes the practice of requiring an election between distinct inventions, for example, election between combination and subcombination inventions, and the practice relating to an election between independent inventions, for example, an election of species. An Examiner may make a restriction requirement when an application may properly support separate patents and they are either independent or distinct. The Examiner may make this request either over the telephone, in which case the applicant's response is recorded in the next Office action, or in a separate letter making the Election or Restriction requirement. In the latter case, the applicant responds to this requirement in a separate response electing an invention or species to be examined.
Response to form PCT/ISA/206 Unity of Invention	PCT - General Transmittal	If the application contains claims to more than one invention, the applicant is invited to either limit the application to only one of the inventions or to pay additional search fees for one or more of the remaining inventions. If the invitation is made in writing, the written response is titled under this doc code.
Response to Pre-Exam Reissue Notice	OIPE	Response is sent by applicant to a notification to file missing parts or a notification of failure to comply with reissue rules within the time period for reply as set in the notice.
Response to Pre-Exam Sequence Notice	General Transmittal	The USPTO will send applicant a notification of a failure to comply with the sequence rules and include an analysis of any submitted computer readable form. Upon detection during the preexamination processing of damage or a deficiency, a notice will be sent to the applicant detailing the damage or deficiency and setting a two month period for reply. Applicant must respond to the notice within the indicated time period and supply any information required.
Response to Pre-Exam Sequence Notice	OIPE	The USPTO will send applicant a notification of a failure to comply with the sequence rules and include an analysis of any submitted computer readable form. Upon detection during the preexamination processing of damage or a deficiency, a notice will be sent to the applicant detailing the damage or deficiency and setting a two month period for reply. Applicant must respond to the notice within the indicated time period and supply any information required.
Response to Rule 105 Communication	General Transmittal	If an Examiner determines that he or she does not have all information reasonably necessary to properly examine or treat a matter in a pending application, the Examiner may require additional information from the applicant or any assignee. Applicant's response to a Requirement for Information must include a complete response to each enumerated requirement for information giving either the information required or a statement that the information required to be submitted is unknown and/or is not readily available to the party or parties from which it was requested. There is no requirement for the applicant to show that the required information was not readily attainable, but applicant is required to make a good faith attempt to obtain the information and to make a reasonable inquiry once the information is requested.
RO/101 - Request form for new IA - Conventional	PCT - Form	New international applications are accompanied by a bibliographic data form authored by the applicant. The form is referred to by number as the RO/101 or, alternatively, by name as the "Request". The "conventional" format of this form is filled out manually.
RO/101 - Request form for new IA- PCT SAFE Format	PCT - Form	New international applications are accompanied by a bibliographic data form authored by the applicant. The form is referred to by number as the RO/101 or, alternatively, by name as the "Request". The "SAFE" format of this form is filled out using an authoring tool developed by the International Bureau (IB). The bibliographic data is stored to a diskette that accompanies the paper application to the receiving Office.
RO/101 Annex (fee calculation sheet)	PCT- General Transmittal	New international applications are accompanied by a form authored by the applicant showing how much money is due and for which fees.
RO/134 - Deposited Microorganisms/Bio Material	PCT - Application Part	If the invention in an international application pertains to a microorganism or other biological material, the applicants use this form to document that they have fulfilled their obligation to deposit a sample with an appropriate authority.

Description of Documents Supported in EFS-Web



Rule 130, 131 or 132 Affidavits	Application Part	When any claim of an application or a patent under reexamination is rejected under 35 U.S.C. 103 on a U.S. patent or U.S. patent application publication which is not prior art under 35 U.S.C. 102(b), and the inventions defined by the claims in the application or patent under reexamination and by the claims in the patent or published application are not identical but are not patentably distinct, and the inventions are owned by the same party, the applicant or owner of the patent under reexamination may disqualify the patent or patent application publication as prior art by submission of an affidavit under Rule 130 stating common ownership and stating that the inventor named is the prior inventor. When a reference applied by the Examiner has a patent or publication date less than 1 year before the U.S. filing date of the application being examined, applicant may file an affidavit under Rule 131 to show prior inventorship to overcome the rejection. Evidence submitted by applicant to overcome a rejection or objection on a basis not otherwise provided for in the rules is submitted as an affidavit under Rule 132. Exhibits may be supplied to support either type of affidavit. Affidavits are normally submitted prior to a final rejection.
Seq Listing Cover Sheet/Stmt under PCT Rule 13ter Sequence Listing	PCT - General Transmittal Application Part	Where a sequence listing is provided in both computer readable and in paper form, the applicant supplies a statement verifying that the content of the two forms is the same. Patent applications which contain disclosures of nucleotide and /or amino acid sequences must contain, as a separate part of the disclosure, a paper or electronic copy disclosing the nucleotide and/or amino acid sequences and associated information using the symbols and format established in 37 CFR 1.822 and 1.823.
Sequence Listing	PCT - Application Part	In a PCT application, the "sequence listing part of the description" under PCT Rule 5.2 in paper or electronic format in compliance with the PCT Administrative Instructions. If applicant files the sequence listing as a PDF file, applicant must also file the sequence listing as a .txt file in response to an invitation from the International Searching Authority under PCT Rule 13ter.1.
Sequence Listing (Text File)	Application Part	In a patent application, a sequence listing filed as a .txt file is either the "Sequence Listing" under 37 CFR 1.821(c) or the "computer readable form (CRF)" under 37 CFR 1.821(e).
Sequence Listing (Text File)	PCT - Application Part	In a PCT application, the sequence listing is filed as a .txt file either as the "sequence listing part of the description" under PCT Rule 5.2 or the sequence listing in electronic form under PCT Rule 13ter.1.
Specification Specification	Application Part PCT - Application Part	The written portion of the application is submitted by the applicant describing the invention.
Specification - Not in English	Application Part	The written portion of the application is submitted by the applicant describing the invention that is not submitted in English. Note translation requirements under 37 CFR § 1.52(d).
Statement explaining lack of signature - IA	PCT - General Transmittal	PCT Rule 4.15(b) provides that where an applicant refused to sign the request or could not be found or reached after diligent effort, the request need not be signed by that applicant if it is signed by at least one applicant and a statement is furnished explaining, to the satisfaction of the receiving Office, the lack of the signature concerned.
Statement of preexamination search	General Transmittal Petition	In a request for accelerated examination, a statement that a preexamination search was conducted, including an identification of the field of search by United States class and subclass and the date of the search, where applicable, and for database searches, the search logic or chemical structure sequence used as a query, the name of the file or files search and the database service, and the date of the search. The search must involve U.S. patents and patent application publications, foreign patent documents, and non-patent literature.
Status Letter Mailed to Applicant	General Transmittal	At any time during prosecution of an application, applicant can request to know the status of an application. The USPTO responds to the request indicating the current status of the application after determination is made that the person requesting the status is of record in the application. This letter to applicant will also be used to acknowledge receipt of an application and documents received by the Electronic Filing System (EFS).
Statutory disclaimers per MPEP 1490.	Application Part	A statement in which a patent owner relinquishes legal rights to one or more claims of a patent. Claims of a patent cannot be disclaimed in favor of new claims to be added to the patent or an amendment to existing claims.
Substitute Drawings	PCT - Amendment	Under PCT rule 26, the applicant may submit formalized drawings as long as no new matter is introduced.
Substitute Drawings	PCT - Application Part	Under PCT rule 26, the applicant may submit formalized drawings as long as no new matter is introduced.
Substitute Sheets - IA	PCT - Amendment	Under PCT Rule 26, the applicant may submit corrected versions of one or more pages of the initially filed description, claims, abstract or sequence listing in an international application as long as no new matter is introduced.
Substitute Sheets - IA	PCT - Application Part	Under PCT Rule 26, the applicant may submit corrected versions of one or more pages of the initially filed description, claims, abstract or sequence listing in an international application as long as no new matter is introduced.
Substitute Sheets of Request (Form PCT/RO/101)	PCT - Change Request	Under PCT Rule 26, the applicant may submit a new version of one or more pages of the bibliographic data form (PCT/RO/101) as long as no new matter is introduced.
Substitute Sheets of Request (Form PCT/RO/101)	PCT - Form	Under PCT Rule 26, the applicant may submit a new version of one or more pages of the bibliographic data form (PCT/RO/101) as long as no new matter is introduced.

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Where prosecution is reopened by the primary examiner after an appeal or reply brief has been filed, appellant must either file a reply to the new office action or request a reinstatement of the appeal to avoid abandonment of the application. If reinstatement of the appeal is requested by appellant, such request must be accompanied by a supplemental appeal brief, but no new amendments, affidavits or other evidence are permitted. The supplemental appeal brief must follow the same rules for filing an appeal brief. Appellant also may file a Supplemental Appeal Brief after filing an Appeal Brief and prior to the Examiner's Answer.

Supplemental Appeal Brief	BPAI	
Supplemental reissue declaration filed in accordance with MPEP 1414.01	Reissue	After the first filed reissue oath or declaration, one or more additional reissue oaths or declarations may later be required. For example, if errors not covered by a prior reissue declaration are later corrected during prosecution of the application, then a supplemental reissue oath/declaration under either 37 CFR 1.175(b) or (c) must be filed prior to allowance by the examiner. If any error previously stated in the original reissue oath or declaration is still being corrected, then the supplemental oath/declaration need only state that "every error in the patent which was corrected in the present reissue application, and which is not covered by the prior oath(s) and/or declaration(s) submitted in this application, arose without any deceptive intention on the part of the applicant." If no error previously stated in the original reissue oath or declaration is still being corrected, then the supplemental reissue oath or declaration must also specify at least one error being corrected in the reissue application. The supplemental reissue oath or declaration must be signed by the same parties who can sign an original declaration/oath.
Supplemental Response or Supplemental Amendment	Amendment	Supplemental Response filed by the applicant at any time during prosecution in response to a communication issued by the USPTO to address informalities, corrections to the application or to augment arguments or a prior amendment submitted.
Table not included in SPEC (Text File)	Application Part	In a PCT application, the only tables that may be filed in .txt format are "Tables relating to sequence listing" in accordance with PCT Administrative Instruction Annex C-bis.
Table not included in SPEC (Text File)	PCT - Application Part	In a PCT application, the only tables that may be filed in .txt format are "Tables relating to sequence listing" in accordance with PCT Administrative Instruction Annex C-bis.
Terminal Disclaimer Filed	Application Part	A timely filed terminal disclaimer may be filed by applicant to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. The purpose of a terminal disclaimer is to obviate a double patenting rejection by removing the potential harm to the public by issuing a second patent, and not to remove a patent as prior art.
Third Party Requester Comments after Action Closing Prosecution	Reexam	Third party requester comments filed after Action Closing Prosecution. Can only be filed in response to patent owner amendment/comments after the Action Closing Prosecution.
Third Party Requester Comments after Non-final Action	Reexam	Third party requester Comments after Non-final Action.
Translation of Foreign Priority Documents	Application Part	An application may be entitled to the benefit of the filing date of a prior application filed in a foreign country, to overcome an intervening reference or for similar purposes. As part of claiming the benefit of foreign priority, applicant is required to file a certified copy of the original foreign application, specification, and drawings upon which it is based. If the priority papers are not in the English language, a translation is required that may be filed with the priority papers or at a later time.
Translation of Priority Document for incorporation by Reference	PCT - Change Request	An applicant submits a certified priority document to which the international application claims priority. The priority document contains the element or part which the international application includes by reference. See PCT Rule 20.6.
Transmittal letter filed with reexam paper	Reexam	A general Transmittal letter accompanying the filing of a paper in a reexamination proceeding.
Transmittal of New Application	General Transmittal	A transmittal letter for an application indicating the contents of the submission. Applicant usually files the PTO-1382.
Transmittal Reissue Application	General Transmittal	Upon filing any papers in the USPTO for a reissue application, applicant includes a transmittal letter stating/listing the contents of the submission, including any fees that may accompany the papers. This filing may include an Express Mail Certificate of Mailing.
Transmittal Reissue Application	Reissue	Upon filing any papers in the USPTO for a reissue application, applicant includes a transmittal letter stating/listing the contents of the submission, including any fees that may accompany the papers. This filing may include an Express Mail Certificate of Mailing.
Waiver of Hearing by Appellant	BPAI	Appellant may request an oral hearing before the Board of Patent Appeals and Interferences. In response to that request, a notice of the hearing stating the date, time and docket is forwarded to the appellant by the Board. The appellant must send a confirmation within a stated time period confirming that appellant will attend. If appellant no longer can or wishes to attend the scheduled hearing, appellant should inform the Board of a of waiver of hearing at the earliest possible opportunity.
Withdrawal of Appeal	Reexam	Letter filed by either the Patent Owner or the 3rd Party Requester in an inter partes reexamination proceeding requesting the withdrawal of the Appeal.
Withdrawal of Application for PTE	General Transmittal	If a product is required to be reviewed by the FDA or the Dept of Agriculture, a patent claiming the product, a method of use of the product, and/or a method of manufacturing the product may be eligible for extension. To obtain an extension, an application must be filed pursuant to 35 USC 156.