Patent Public Advisory Committee Meeting
USPTO Budget Update

Tony Scardino
Chief Financial Officer
December 1, 2011
## FY 2011 End of Year Status

<table>
<thead>
<tr>
<th></th>
<th>Patents</th>
<th>Trademarks</th>
<th>USPTO Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Appropriated Fee Level</strong></td>
<td>1,878.6</td>
<td>211.4</td>
<td>2,090.0</td>
</tr>
<tr>
<td><strong>YTD Income</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Actual Fee Collection Through September 30</td>
<td>2,066.5</td>
<td>232.3</td>
<td>2,298.8</td>
</tr>
<tr>
<td>Actual Carryover</td>
<td>122.2</td>
<td>100.5</td>
<td>222.7</td>
</tr>
<tr>
<td>Actual Surcharge and Prioritized Examination Collections</td>
<td>4.8</td>
<td>0.0</td>
<td>4.8</td>
</tr>
<tr>
<td>Actual Other Income</td>
<td>17.3</td>
<td>3.8</td>
<td>21.1</td>
</tr>
<tr>
<td>Collections in Excess of Appropriation&lt;sup&gt;1/&lt;/sup&gt;</td>
<td>(188.1)</td>
<td>(20.8)</td>
<td>(208.9)</td>
</tr>
<tr>
<td><strong>Total YTD Available Income</strong></td>
<td>2,022.8</td>
<td>315.8</td>
<td>2,338.5</td>
</tr>
<tr>
<td>Total Spending</td>
<td>1,948.3</td>
<td>212.6</td>
<td>2,160.9</td>
</tr>
<tr>
<td><strong>Final Carryover Amount</strong></td>
<td>74.4</td>
<td>103.3</td>
<td>177.7</td>
</tr>
</tbody>
</table>
Projected Fee Collection range: $2,302.4 to 2,706.3 million

- Patents: $2,070.1 to $2,466.4 million
- Trademarks: $232.3 to $239.9 million

Projected end of year Patent surplus (as of October 31, 2011): $(159.8) to $236.5 million

Projected obligations (as of October 31, 2011): $2,561.2 million

- Patents: $2,311.9 million (90%)
- Trademarks: $249.3 million (10%)
FY 2012 Status

Appropriated at $2,706 million

Minibus signed November 18, 2011

FY 2012 operating plans are being monitored closely in case fee collections come in below the appropriated level
FY 2013 Budget Process

December
- Develop the FY 2013 President’s Budget Request
- FY 2013 OMB Passback
- PPAC review of President’s Budget (end of month)

February
- Submit FY 2013 President’s Budget Request
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