

United States of America

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Reg. No. 7,008,518

Registered Mar. 28, 2023

Amended May 14, 2024

Int. Cl.: 9, 16, 35, 36, 38, 42

Service Mark

Trademark

Principal Register

London Stock Exchange Group plc (UNITED KINGDOM PUBLIC LIMITED COMPANY)

10 Paternoster Square
LONDON EC4M 7LS
UNITED KINGDOM

CLASS 9: Downloadable computer software, recorded computer software, and downloadable mobile application software for trading in financial instruments, securities and derivatives, and accessing, reading, tracking, recording, computing, analysis and reporting of information regarding trading in financial instruments, securities and derivatives in the fields of finance, investments, business and news; downloadable computer programs and recorded computer programs for accessing a variety of databases in the field of business; downloadable communications software to facilitate the transfer of electronic data by providing access to databases which provide business information; downloadable computer programs for use in trading stocks and bonds; downloadable computer programs for accessing, reading, tracking, recording, computing, analysis and reporting of information relating to the provision of stock exchange and capital markets services; downloadable computer software and recorded computer software for the collection and distribution of data, financial exchanges, share dealing, stock dealing, and financial transactions; downloadable computer software and recorded computer software for order routing and execution for the purchase and sale of securities for use by securities brokers, dealers, and their customers; downloadable computer software and recorded computer software for providing information for use in connection with know-your-customer due diligence, information on financial services regulatory compliance, and corporate risk assessment

CLASS 16: Printed publications, namely, books, magazines, journals, directories, pamphlets and brochures containing information in the fields of finance, investments, corporate ownership and business; printed matter and printed publications, namely, booklets, newsletters, brochures, manuals and guides relating to the provision of stock exchange and capital markets services

CLASS 35: Business information services; business appraisal services; business research services; provision of business statistical information; business information retrieval services; economic forecasting services; providing business information by means of business-related news and commentary, business analysis and reports on market performance and pricing of municipal bonds and business news and analysis on corporate treasury cash and compliance, business news and analysis on mergers and acquisitions, information on ownership of corporations, information regarding corporate and financial executives, post trade business operations in the nature of trade processing and settlement, allocation, and account reconciliation in the global securities markets; providing business information via a database, including in relation to business corporate filings, and business reports for legal and compliance research; business research services and business consultancy in the field of computer security; market research services, namely, providing customized statistical reports concerning demographic data * financial services in relation to recording and registering the transfer of stocks, shares and securities, maintaining and recording the ownership of

Katherine Kelly Vidal

Director of the United States
Patent and Trademark Office



stocks, shares and securities; financial services in relation to maintaining and recording the ownership of stocks, shares and securities *

CLASS 36: Financial information management and analysis services; stock exchange quotation and listing services; share price information services; financial securities exchange services, namely, provision of financial market for the trading of securities, shares and options; [financial services in relation to recording and registering the transfer of stocks, shares and securities, maintaining and recording the ownership of stocks, shares and securities; financial services in relation to maintaining and recording the ownership of stocks, shares and securities;] financial clearing houses and debt settlement services; trading of securities options, namely, services for enabling the trading of securities by matching orders for purchase with orders for sale; financial services, namely, preparation and quotation of stock exchange prices and indices; provision of information on the topic of financial advisory services; financial research, namely, providing research assistance on the topic of financial advisory services; financial data analysis in the nature of providing customized statistical reports concerning financial data; financial analysis; financial consultancy; fiscal assessments; electronic funds transfers; providing financial information on bonds; financial services information, namely, provision of data on warrant bonds, and exchange and investment trust data; providing financial information in the nature of market trade and quotation information for securities; securities trade execution services, namely, trading support services in the field of financial securities, namely, trade comparison, collection, processing, tabulation, distribution, negotiation, and execution; providing securities trading information, namely, classification of securities, brokers, dealers, and issuers; securities trading and investing services for others in the nature of calculation, provision, and updating for the benefit of others of financial securities indices and sub-indices; automated financial services, namely, online brokerage of shares and other securities via a global computer network; providing securities trading information via online electronic computer databases on a global computer network; providing information services in the fields of economic financial data, monetary and stock exchange data, and currency trading information; financial consultancy in the field of financial market intelligence; providing financial statistical information in the nature of historical financial market data

CLASS 38: Electronic transmission of data, messages and information by computer, electronic mail; computer communication services, namely, communication by computer terminals; telecommunication of information including web pages, computer programs and data, namely, transfer of data by telecommunications; electronic mail services in the nature of transmission of electronic mail; providing access to telecommunication networks in the nature of provision of telecommunications access links to computer databases and the Internet; leasing of access time to a computer database in the nature of rental of access time to global computer networks; providing access to online electronic computer databases which provide subscribers with trading information concerning securities and provide institutions and individual investors with an automatic network for trading in securities

CLASS 42: Software as a service (SaaS) services featuring software that provides financial information in the nature of trading, financial exchanges, trade settlements, financial markets information, information for use in commodities trading and forecasting; provision of online non-downloadable software containing financial information as well as databases of public records for use in connection with know-your-customer due diligence and corporate risk assessment; software as a service (SaaS) services featuring software for providing public document search and retrieval functions for use in connection with know-your-customer due diligence and corporate risk assessment; electronic data storage; providing information relating to computer technology and programming via the internet; cloud storage for electronic data

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STYLE, SIZE OR COLOR

PRIORITY DATE OF 01-29-2021 IS CLAIMED

OWNER OF INTERNATIONAL REGISTRATION 1636581 DATED 07-23-2021,
EXPIRES 07-23-2031

SER. NO. 79-330,003, FILED 07-23-2021

REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years*

What and When to File:

- **First Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.
- **Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between the 9th and 10th years after the registration date.* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods*

What and When to File:

- You must file a Declaration of Use (or Excusable Nonuse) and an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.*

Grace Period Filings*

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

***ATTENTION MADRID PROTOCOL REGISTRANTS:** The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the United States Patent and Trademark Office (USPTO). The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see <http://www.wipo.int/madrid/en/>.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <http://www.uspto.gov>.

NOTE: A courtesy e-mail reminder of USPTO maintenance filing deadlines will be sent to trademark owners/holders who authorize e-mail communication and maintain a current e-mail address with the USPTO. To ensure that e-mail is authorized and your address is current, please use the Trademark Electronic Application System (TEAS) Correspondence Address and Change of Owner Address Forms available at <http://www.uspto.gov>.