

**UNITED STATES  
PATENT AND TRADEMARK OFFICE**



# FY20 Midyear stats review

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Patent Public Advisory Committee Quarterly Meeting

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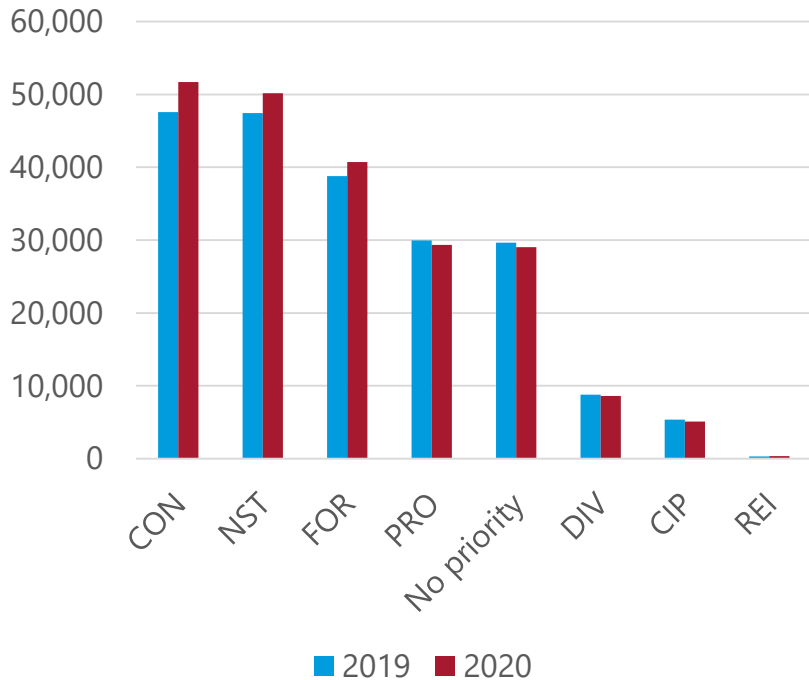
# Key points of interest

- Patent Term Adjustment
  - Overall PTA compliance of mailed actions: 83%
  - Overall PTA compliance of remaining inventory: 87%
- First action pendency is 15.9 months
  - Decrease from 16.5 months in Q2 of FY19
- Total pendency is 23.5 months
  - Decrease compared to 23.9 months in Q2 of FY19
- Serialized growth rate is 3.1%
- Attrition rate is 4.4%

# FY 2020 filing trends

- Serialized filings increased by 3.1% in Q2
  - Compared to 2.7% growth for FY19 Q2
- RCE filings have decreased by 6.6%
  - Compared to 4.7% decrease this time last year
- Provisional filings have decreased by less than 1% (0.02%)
  - Compared to the 2.1% increase last year
- Design filings increased by 9%
  - Compared to 6.6% decrease in FY19 Q2

# Filings by priority type



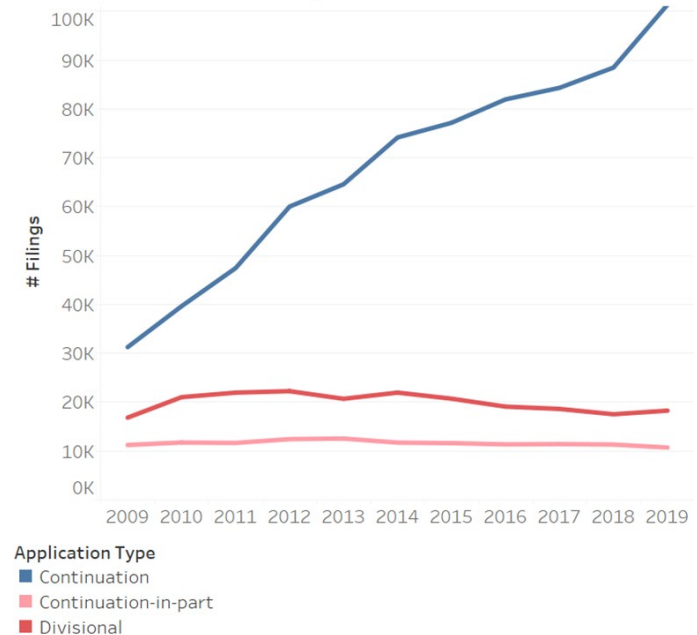
- FY 2020 Q2 increase in serialized filings was driven primarily by continuations
- National stage applications, applications claiming foreign priority, and brand new cases also contributed to growth

Priority type	FY19	FY20	% growth
CON	47568	51718	8.7%
NST	47434	50148	5.7%
FOR	38787	40720	5.0%
PRO	29966	29357	-2.0%
No priority	29660	29016	-2.2%
DIV	8793	8624	-1.9%
CIP	5358	5114	-4.6%
REI	306	341	11.4%

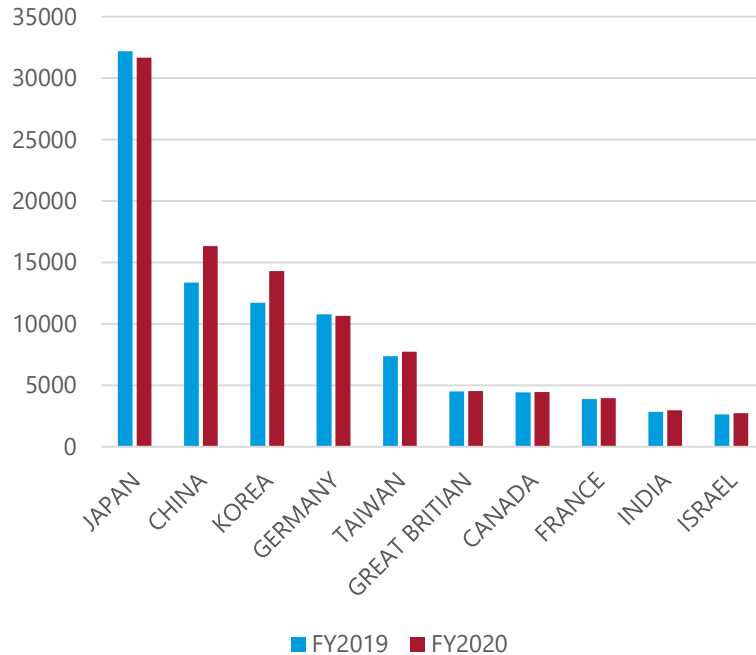
# Continuation filing trends

- Continuations are rapidly increasing
  - Tripled in a decade
  - Now account for nearly a quarter of all serialized filings
- Impacts workload and docketing practice
  - Makes first-in-first-out and workload balancing more difficult
- Large majority filed from allowed cases
  - 70% of continuations have a patented parent
  - 26% of issued patents spawn a continuation

All Continuation Filings



# Top filing countries in FY 2020 Q2

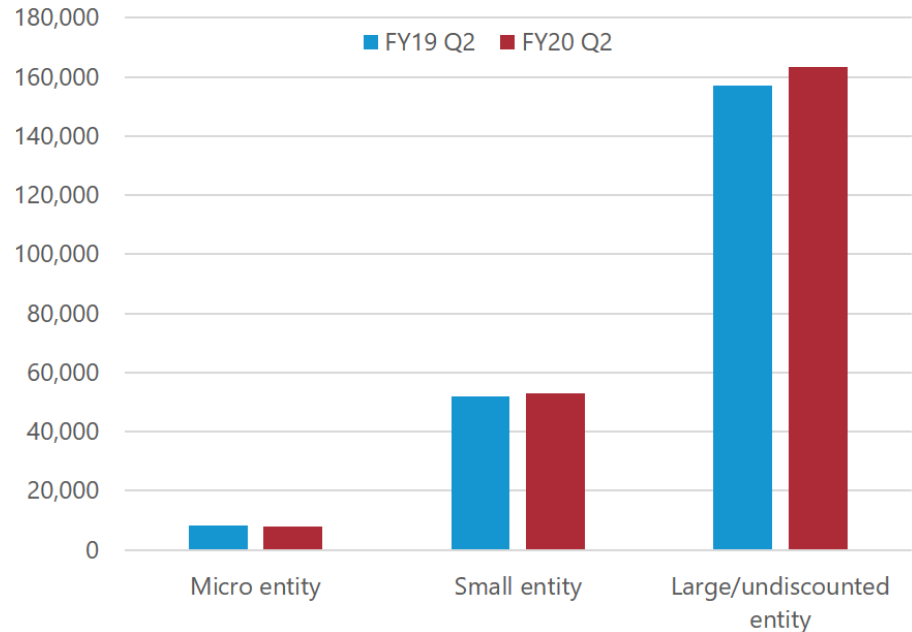


Country	FY2019	FY2020	% Growth
JAPAN	32185	31666	-1.6%
CHINA	13349	16331	22.3%
KOREA	11719	14294	22.0%
GERMANY	10771	10633	-1.3%
TAIWAN	7374	7730	4.8%
GREAT BRITIAN	4506	4529	0.5%
CANADA	4421	4447	0.6%
FRANCE	3881	3957	2.0%
INDIA	2825	2971	5.2%
ISRAEL	2622	2719	3.7%
US	102486	103151	0.6%



# Q2 serialized filings by entity status

- Ratio of entity status relatively stable
- Most growth appears to have come from large entities





# Questions and comments

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