

**UNITED STATES  
PATENT AND TRADEMARK OFFICE**



**Patent Public Advisory Committee  
Quarterly Meeting**

**Patent Operations Update**

Daniel Sullivan  
Group Director, Technology Center 1600

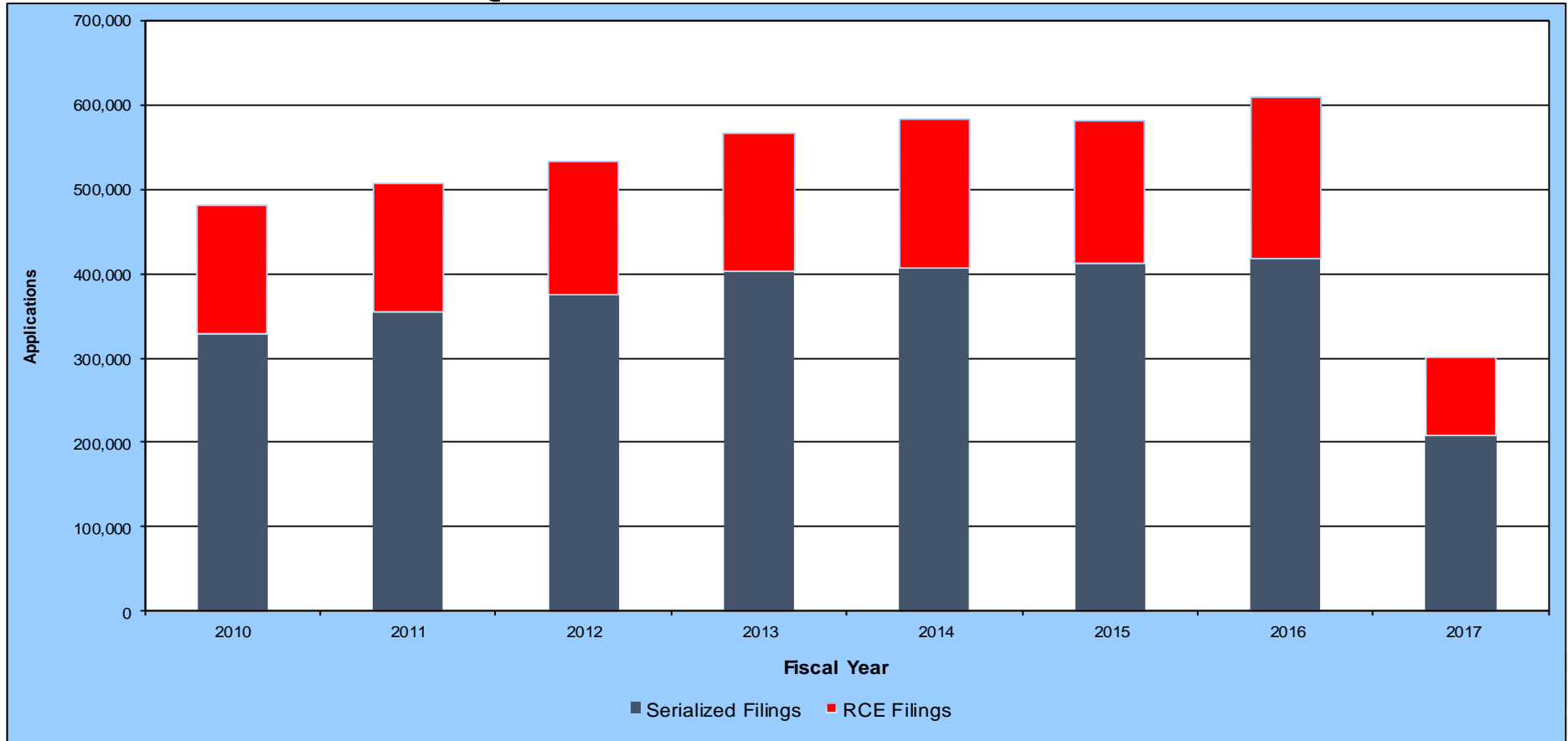
May 4, 2017

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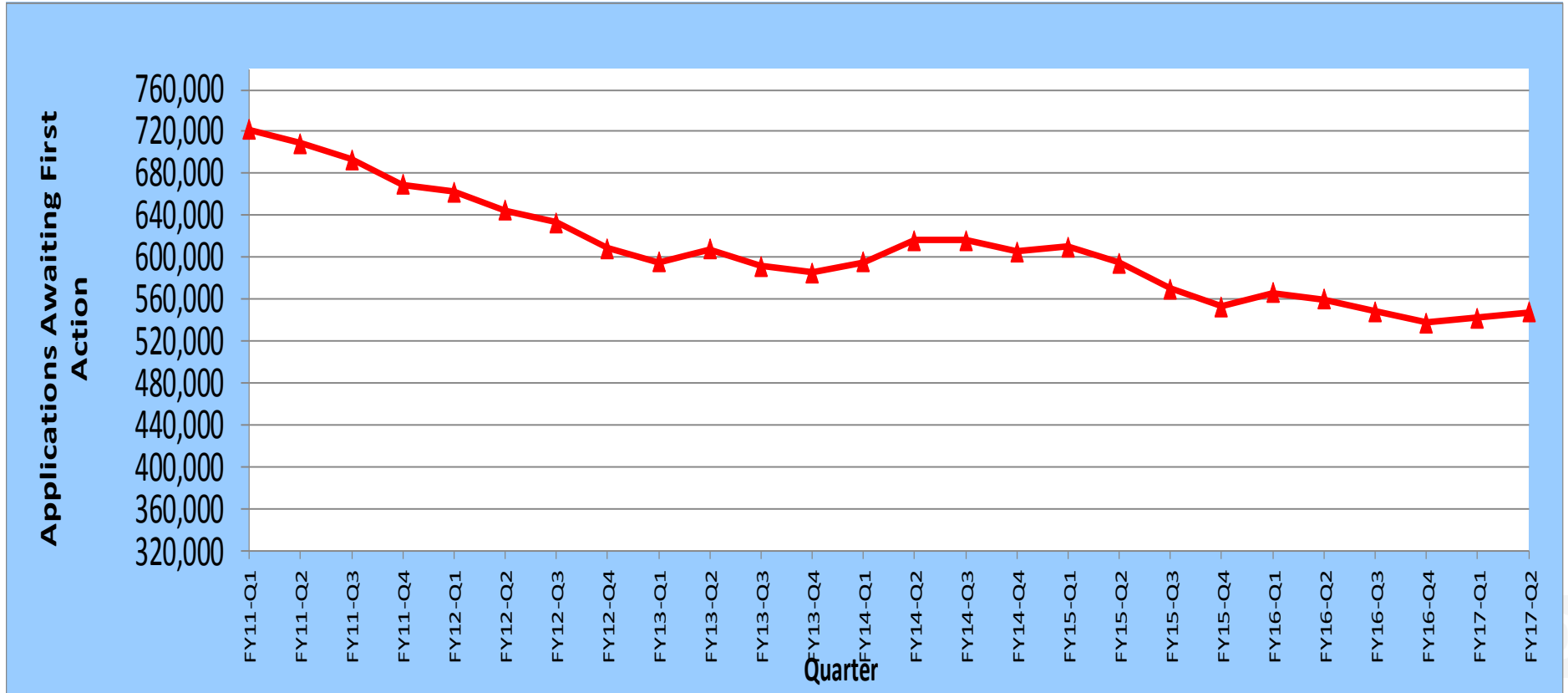
# Serialized and RCE Filings

FY 2010 - FY 2017 Q2



# Unexamined Patent Application Inventory

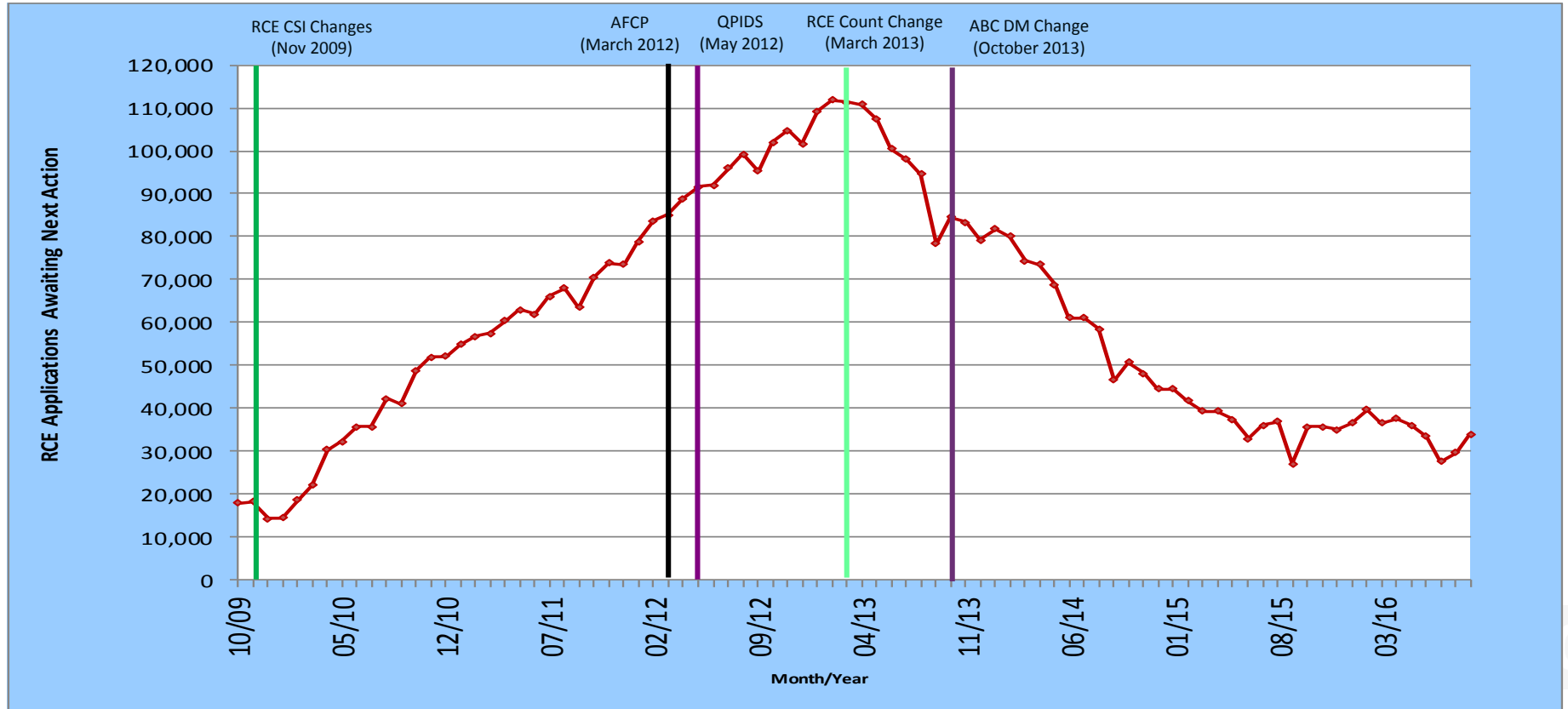
## FY 2011 – FY 2017 Q2



546,766 Unexamined Applications as of March 31, 2017

# RCE Inventory

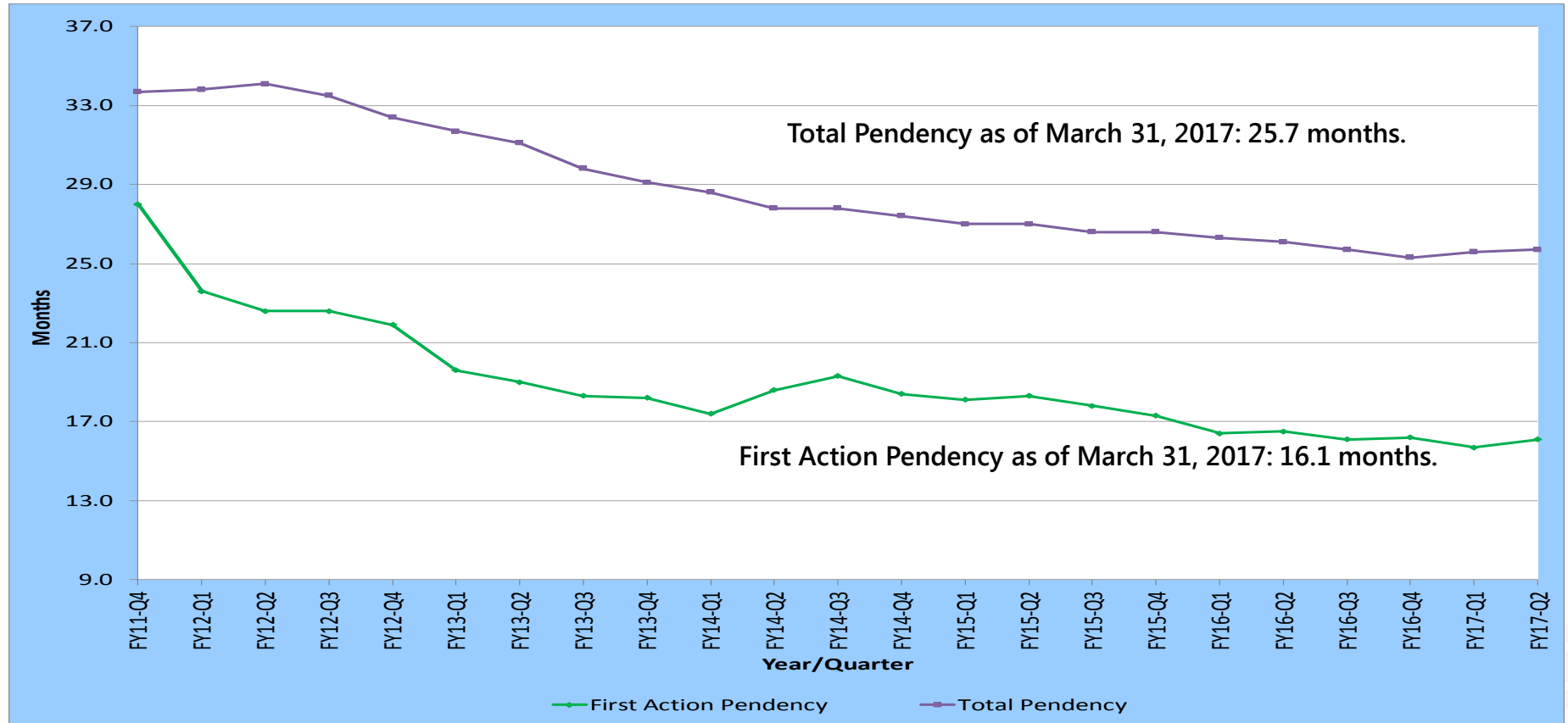
FY 2010 – FY 2017 Q2



33,796 RCE Applications as of March 31, 2017

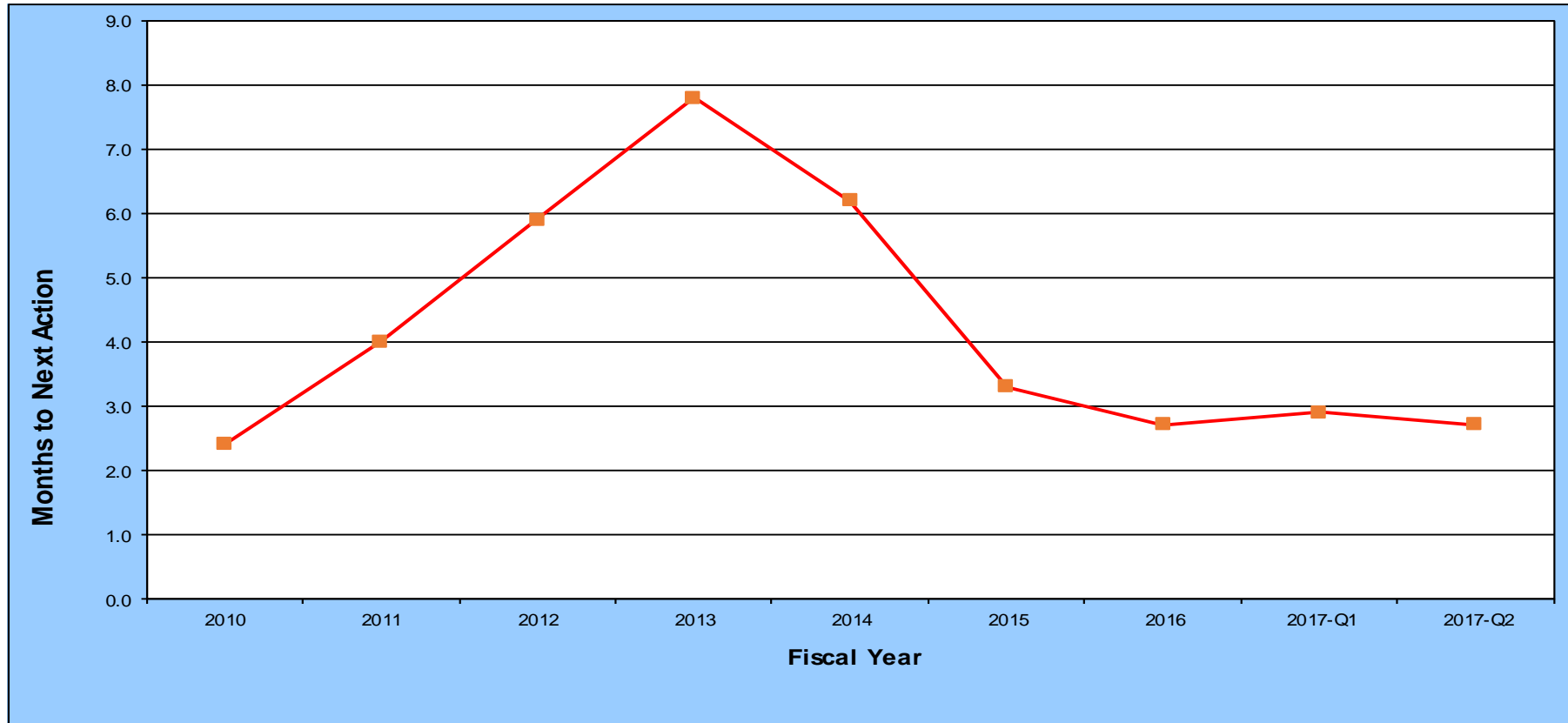
# First Action Pendency and Total Pendency

FY 2011 – FY 2017 Q2

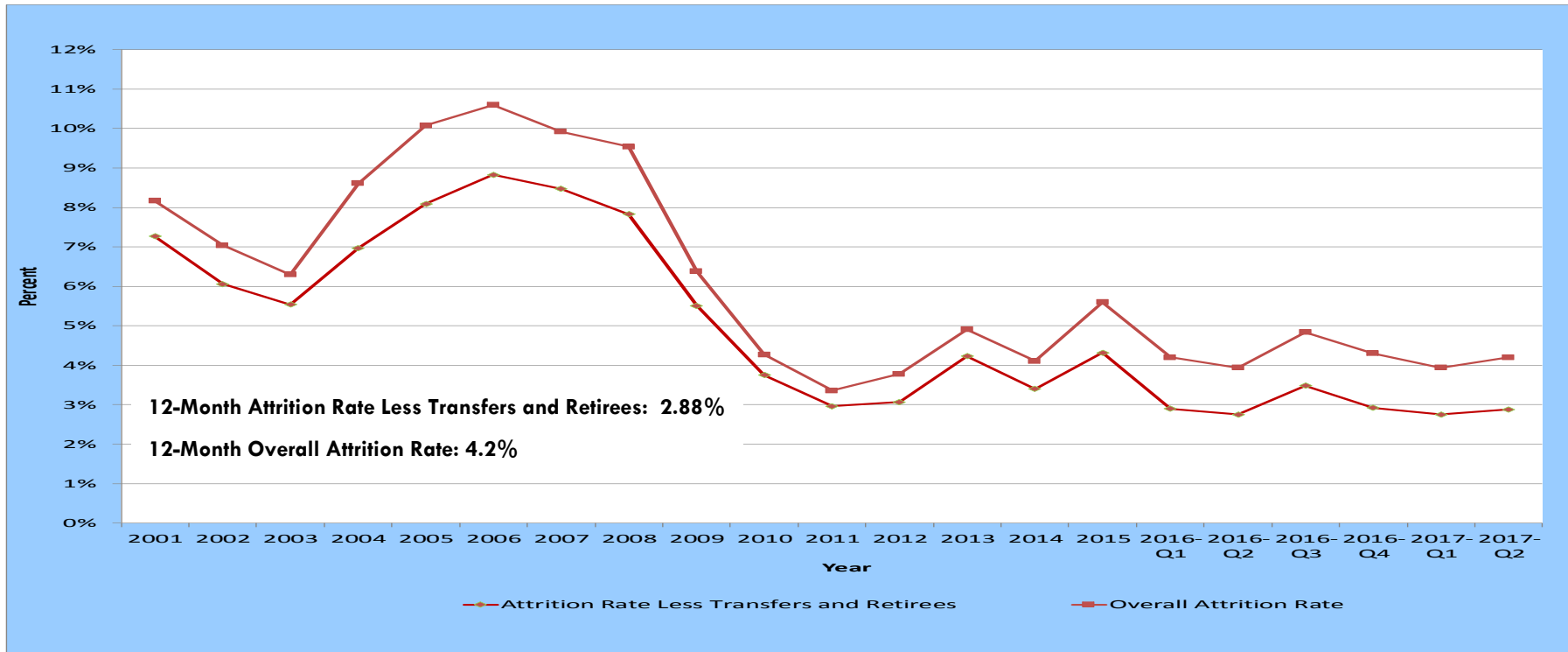


# Pendency from RCE Filing to Next Action

FY 2010 – FY 2017 Q2



# 12-Month Average UPR Examiner Attrition Rate Less Transfers and Retirees and Overall Attrition Rate FY 2001 – FY 2017 Q2



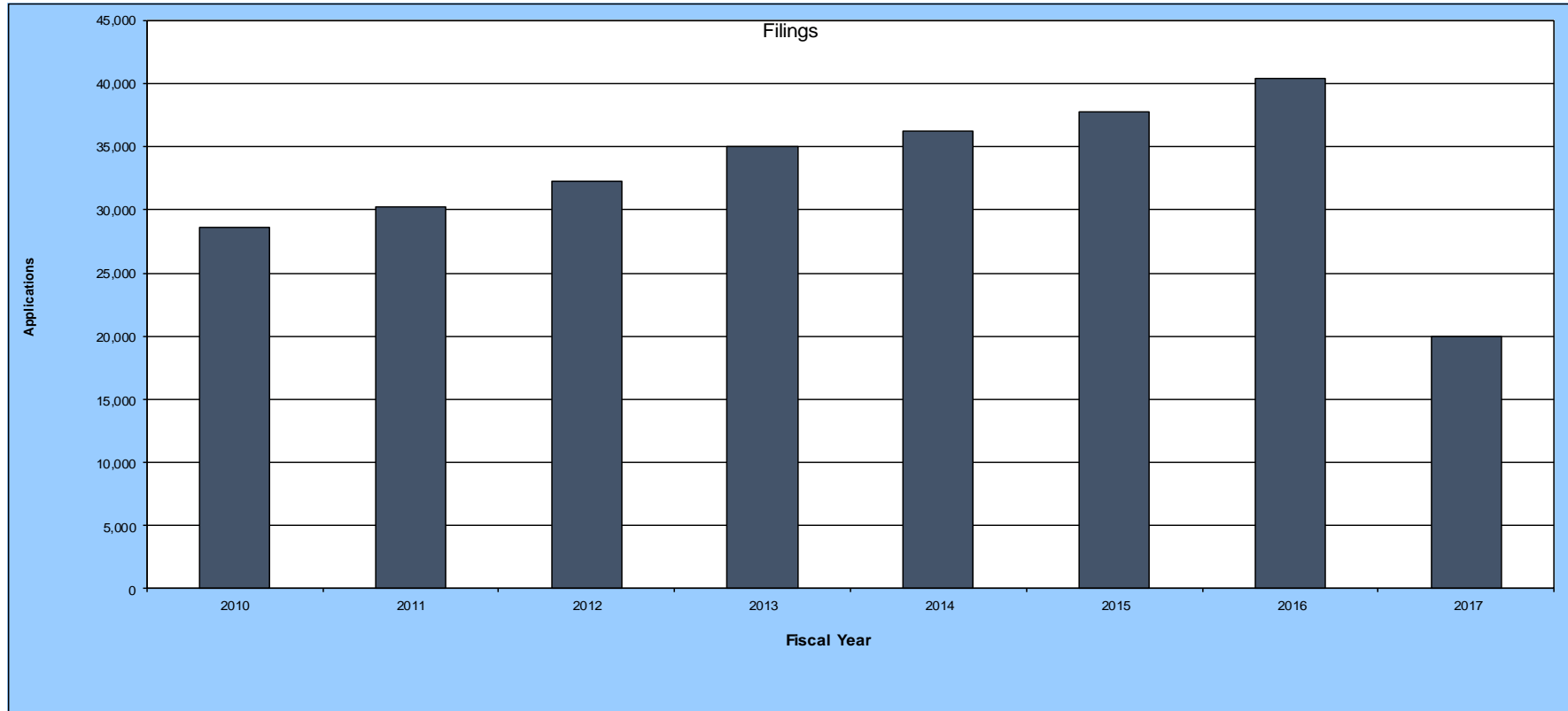
FY 2016 Attrition Rate Less Transfers and Retirees: 2.9%

FY 2016 Overall Attrition Rate: 4.3%



# Design Filings

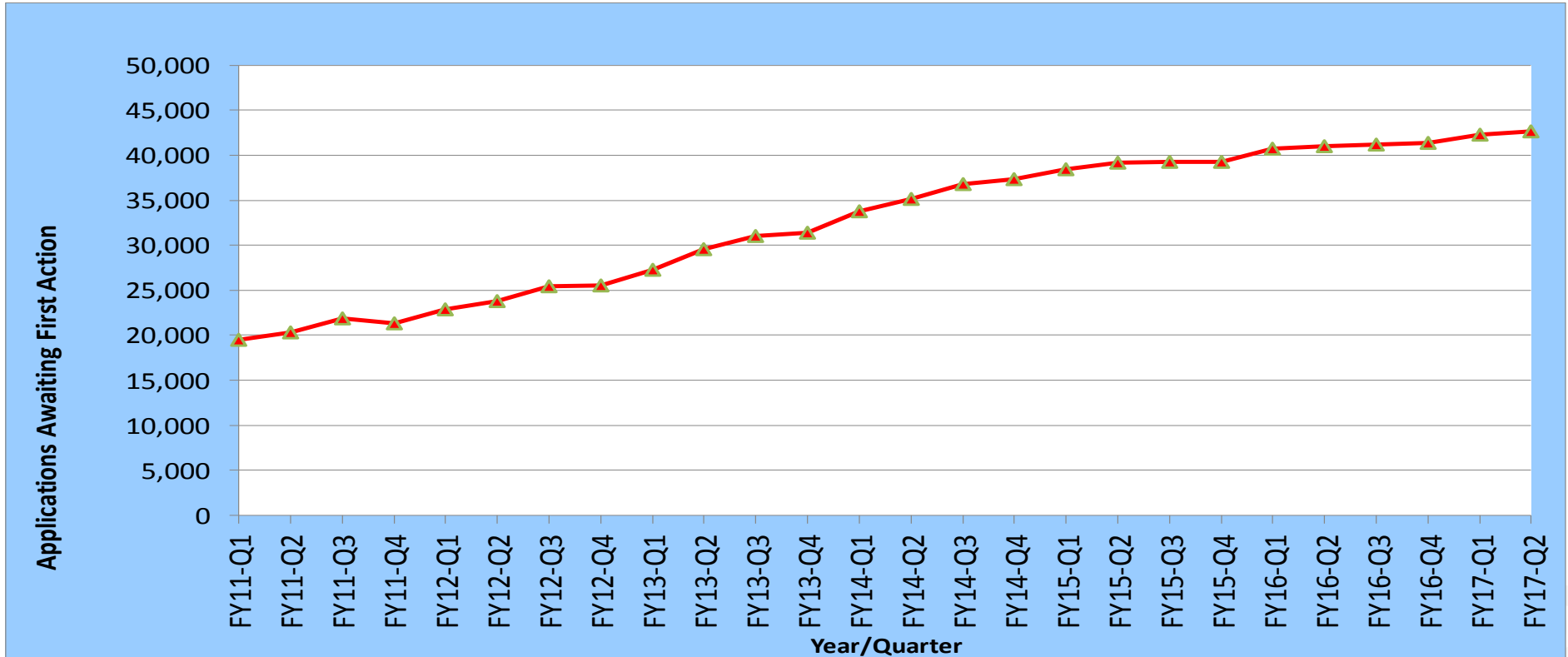
FY 2009 – FY 2017 Q2



19,974 Applications received as of March 31, 2017

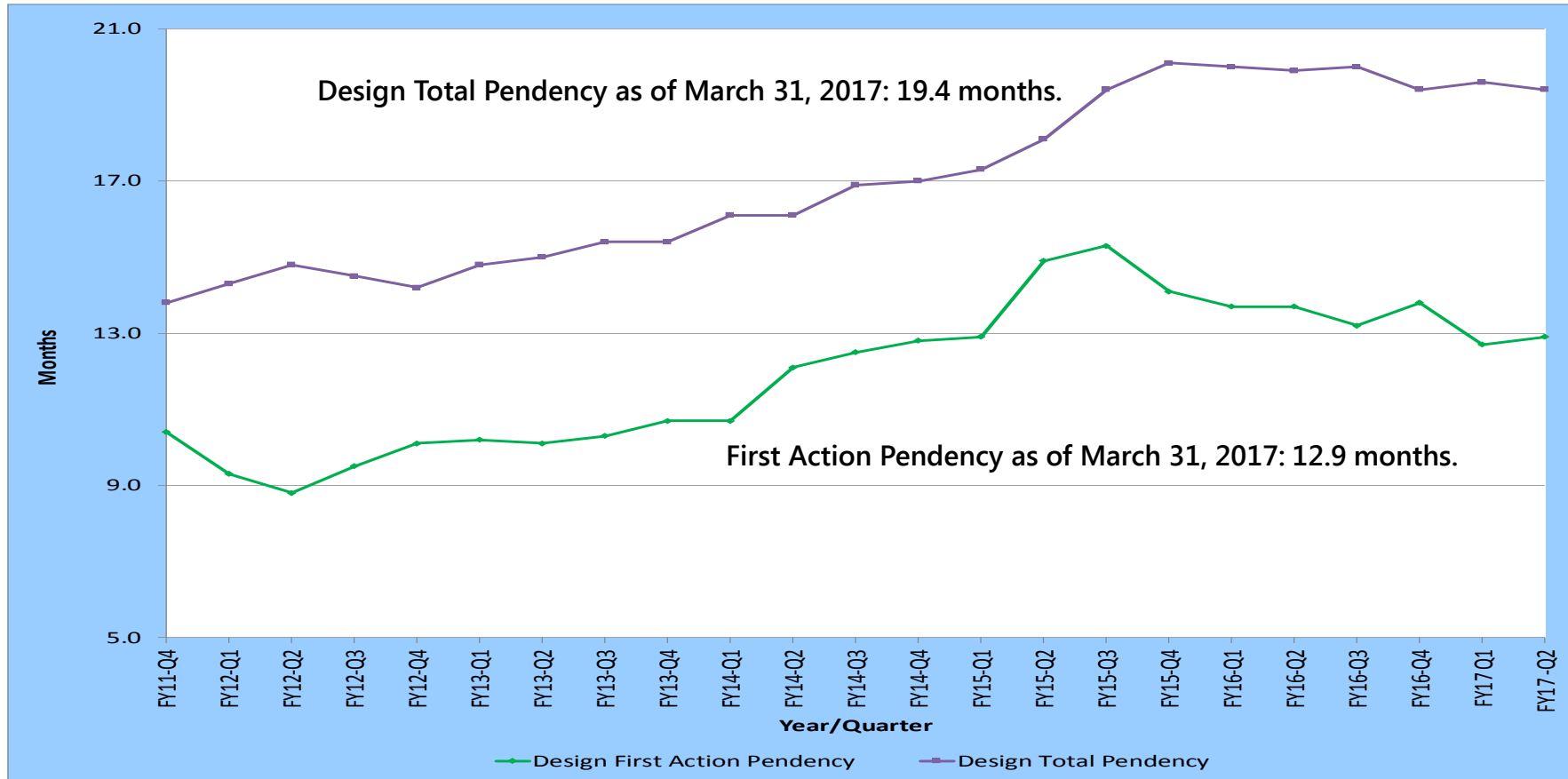
# Design Unexamined Application Inventory

## FY 2011 – FY 2017 Q2



42,668 Applications as of March 31, 2017

# Design First Action Pendency and Total Pendency FY 2011 – FY 2017 Q2



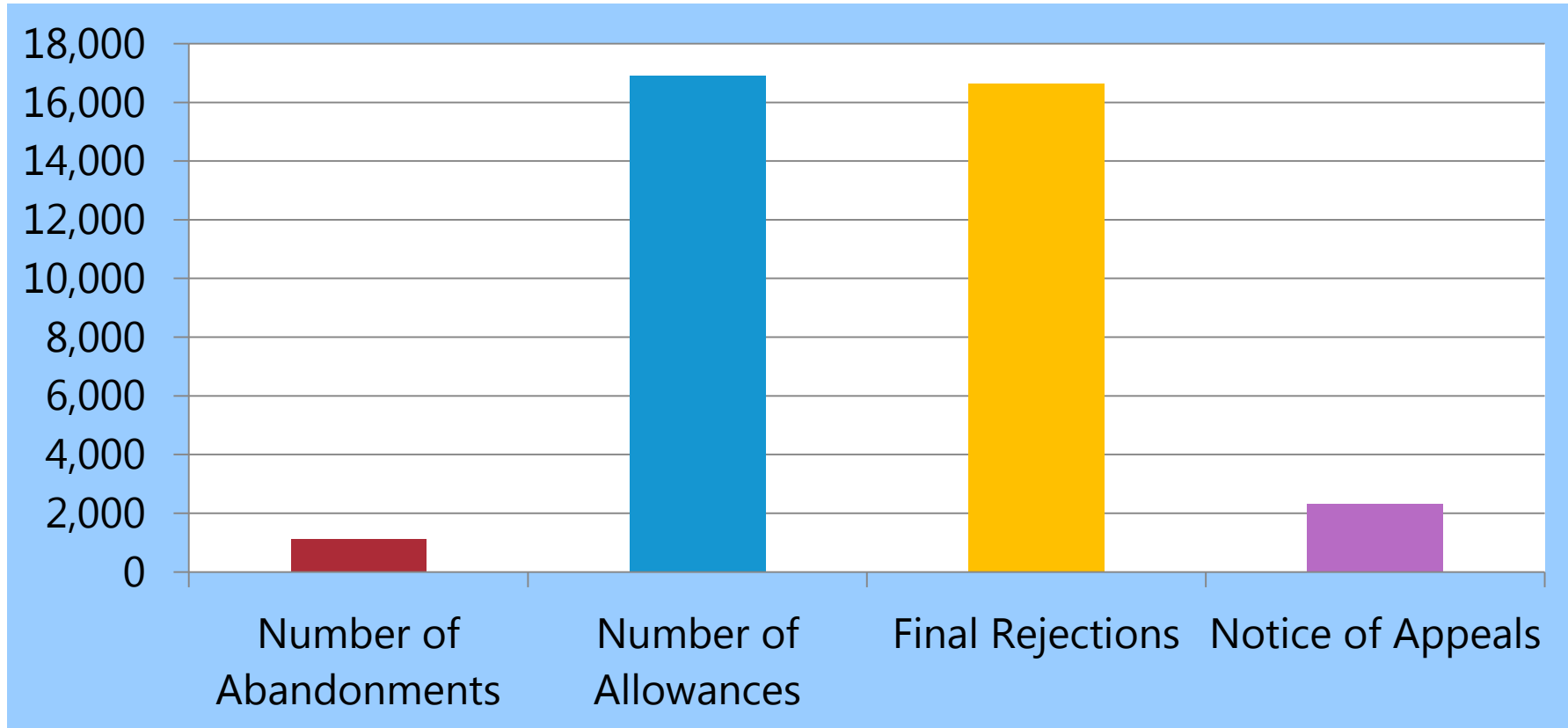
# Track One Filings

Petitions Received	October	November	December	January	February	March	April	May	June	July	August	September	Total
FY 11												855	855
FY 12	390	302	285	292	371	442	457	516	436	470	517	559	5,037
FY 13	505	513	575	504	468	1,029	400	508	530	584	642	636	6,894
FY 14	715	570	732	650	629	1,028	822	803	795	796	673	911	9,124
FY 15	833	633	758	662	665	867	778	768	877	868	720	857	9,286
FY 16	729	844	1,155	766	773	868	824	776	848	679	773	976	10,011
FY 17	931	727	768	627	639	823							4,515

# Track One Pendency

- Average time from Filing to Petition grant: 1.4 months
- Average time from petition grant to first action: 2.6 months
- Average time from petition grant to final disposition: 6.5 months
- Average time from petition grant to allowance: 5.2 months

# Track One Results



Cumulative through March 31, 2017.

# Questions and Comments

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