

UNITED STATES
PATENT AND TRADEMARK OFFICE



Patent Trial and Appeal Board Boardside Chat: Patent Trial and Appeal Case Tracking System (P-TACTS)

Susan Mitchell, Lead Administrative Patent Judge
Michael Zecher, Lead Administrative Patent Judge
Sonya Arora, Branch Chief for IT Systems & Services Branch

November 10, 2022



UNITED STATES
PATENT AND TRADEMARK OFFICE ®

Question/comment submission

- To send in questions or comments during the webinar, please email:
 - PTABBoardsideChat@uspto.gov

Agenda

1. P-TACTS and MyUSPTO overview
2. User role: staff
3. Petition submission
4. My docket screen
5. Case Viewer screen
6. Take-aways
7. Questions

1. P-TACTS and MyUSPTO

What is P-TACTS?

- On October 11, PTAB E2E was replaced by the new AIA Trials portal in the **Patent Trial and Appeal Case Tracking System (P-TACTS)**.
- For AIA parties, P-TACTS is a more straightforward user interface to file papers and conduct AIA Trial business with PTAB.
- Example improvements available in P-TACTS:
 - Pre-populating paper types with the most relevant choices
 - New user role

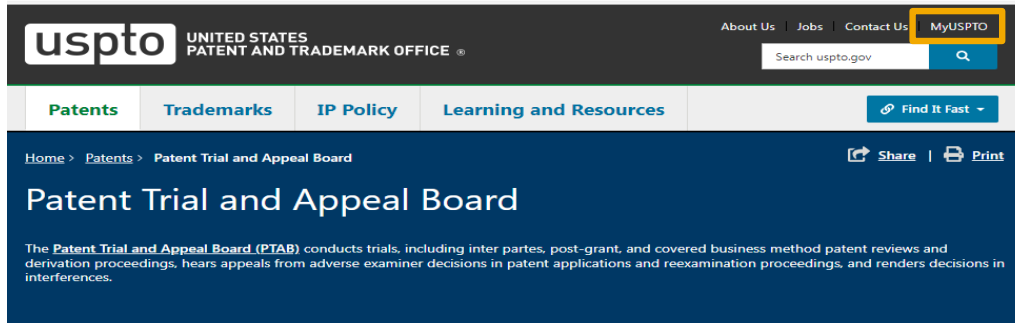


What is MyUSPTO?

- MyUSPTO serves as a hub or portal to access all USPTO public applications/systems.
- MyUSPTO portal provides enhanced cybersecurity measures that protects both your information and our systems.
- Creation of a MyUSPTO account is required to access P-TACTS.

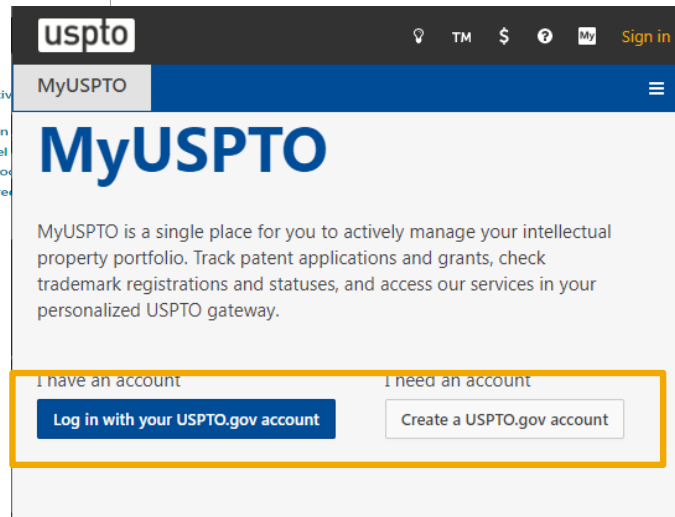


How to access MyUSPTO?



To access MyUSPTO, click on **MyUSPTO** link from the PTAB homepage or click here: <https://my.uspto.gov/?2r315j7PrGTvml9uQLg4spC2DPLgZ0p6>.

-  **Trials and appeals**
 - > Resources and guidance
 - > Trial proceedings
 - > Appeals proceedings
 - > New to PTAB?
 - > Preparing for hearings
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-  **Decisions**
 - > All PTAB decision data
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 - > Precedential and informative decisions
 - > Interim decision circulation
 - > Precedential Opinion Panel
 - > Interim Director review proceedings
 - > Status of Director review proceedings



Once you click on **MyUSPTO**, you will see the log in screen. Log in with your USPTO account information or create a new account.



How to access P-TACTS?

The image shows two screenshots of the USPTO MyUSPTO interface. The top screenshot shows the 'Patents' dropdown menu with 'Patent Trial and Appeal Case Tracking System' highlighted. The bottom screenshot shows the 'Patent Trial and Appeal Case Tracking System (P-TACTS)' landing page with the 'Sign In' link highlighted in the top navigation bar. A yellow arrow points from the 'Sign In' link in the bottom screenshot to the 'Patent Trial and Appeal Case Tracking System' link in the top screenshot.

After successfully logging into MyUSPTO, navigate to the **Patents** link at the top on the page and select the **Patent Trial and Appeal Case Tracking System** link.

You will be taken to the P-TACTS landing page. From here, click on the **Sign In** link and you will see your docket without entering your credentials again.



2. User role: staff

P-TACTS user roles

- Created a new user role for staff.
- Staff can be added by Lead Counsel only.
- Parties cannot see the staff of the opposing party, only their own.
- Going forward, there are now four possible user roles:
(1) Submitter; (2) Lead Counsel; (3) Backup Counsel; and
(4) Staff.
 - All Backup Counsel can now view and submit documents.



How to add staff to a case: step 1

The screenshot shows the USPTO P-TACTS interface for Case # IPR2021-00683. The 'Counsel' section is expanded, displaying two tables: 'Petitioner Side' and 'Patent owner / Respondent Side'. An 'Add staff' button is highlighted with a yellow box in the top right corner of the 'Petitioner Side' table.

Counsel Type	Name	Email	USPTO Reg #	Phone number	Fax number	Account
Lead Counsel	Steven Baughman	test_sbaughman@liberty.com	47414	202-123-4527	202-253-1231	
First Backup Counsel	James R. Myers	test_jrmyers@liberty.com	52718	202-123-1245	202-253-4628	

Counsel Type	Name	Email	USPTO Reg #	Phone number	Fax number	Account
Lead Counsel	John Biemacki	test_jbiemacki@progressive.com	40511	342-534-1234		
First Backup Counsel	Calvin Griffith	test_cgriifith@progressive.com	34831	342-534-2345		

- Lead Counsel can add staff from the **Case Viewer** by clicking on the **Add staff** button in the **Counsel** section.

Adding staff: step 2

Add staff [X]

⚠ By adding a staff person, you acknowledge and agree to the following: The indicated staff person will be authorized in a support capacity in this AIA proceeding, and you grant access through the staff person's own MyUSPTO account, to work under your direction and control in P-TACTS. You are responsible under 37 CFR 11.18 for any actions that are taken under your authority by the staff person using the staff person's own MyUSPTO account. You are also responsible to delete staff that should no longer have such privileges.

Search

Find staff member by email address

[Search] [X]

Cancel [Add]

- Lead Counsel enters the email address of the P-TACTS user to be added as staff and clicks the **Search** button.

Adding staff: step 3

Add staff

By adding a staff person, you acknowledge and agree to the following: The indicated staff person will be authorized in a support capacity in this AIA proceeding, and you grant access through the staff person's own MyUSPTO account, to work under your direction and control in P-TACTS. You are responsible under 37 CFR 11.18 for any actions that are taken under your authority by the staff person using the staff person's own MyUSPTO account. You are also responsible to delete staff that should no longer have such privileges.

Search

Find staff member by email address

Psmith103process@gmail.com

Name

P Smith

psmith103process@gmail.com

Address

Country *

UNITED STATES

Address 1

Address 2

City

State/Province *

Select a state...

Zip

Phone number *

7035551111

Fax number

Cancel Add

- Once the entered user is found, the user information is automatically retrieved. Lead Counsel can make updates to user information.
- Certain information is required (denoted by an *), and the **Add** button is activated only when this information is supplied.
- Once all required fields are completed, the **Add** button will become active.

Adding staff: step 4

uspto Patent Trial and Appeal Case Tracking System (P-TACTS)

Case Viewer My Docket Payments New Submission

Enter exact case or application # Search Advanced search Help

Case # IPR2021-00683 FINAL WRITTEN DECISION Patent # 6422291

AIA review information Mandatory notices Motions Rehearing requests Unsubmitted documents Notifications

Documents & claims Expand all Collapse all

Real party

Counsel

Petitioner Side Updated: 11/15 1:40 PM Add staff

Counsel Type	Name	Email	USPTO Reg #	Phone number	Fax number	Actions
Lead Counsel	Steven Baughman	test_sbaughman@liberty.com	47414	202-123-4527	202-253-1231	
First Backup Counsel	James R. Myers	test_jrmyers@liberty.com	52718	202-123-1245	202-253-4628	
Staff	John Doe	john_doe@liberty.com	--	212-855-9600		

Patent owner / Respondent Side

Counsel Type	Name	Email	USPTO Reg #	Phone number	Fax number
Lead Counsel	John Biemacki	test_jbiemacki@progressive.com	40511	342-534-1234	
First Backup Counsel	Calvin Griffith	test_cggriffith@progressive.com	34831	342-534-2345	

- Once added, the new staff will appear on staff's **Case Viewer** and **My docket** screens.
- Lead Counsel can add up to 3 staff users for each case.
- The parties cannot view each other's staff.

3. Petition submission

Petition submission: customized claims section

IPR Petition

Claims challenged AIA review #: IPR2023-00187

* indicates required field

Challenged claims *

Prior art *

Statutory grounds *

- Select a statutory ground
- 35 U.S.C 102
- 35 U.S.C 103

PGR Petition

Claims challenged AIA review #: PGR2023-00033

* indicates required field

Challenged claims *

Prior art *

Statutory grounds *

- Select a statutory ground
- 35 U.S.C 102
- 35 U.S.C 103
- 35 U.S.C 112
- 35 U.S.C 101

- **Claims challenged** section is customized for each proceeding type to show the available statutory grounds that can be raised in that proceeding (compare statutory grounds for IPR versus PGR).

Petition submission: detailed error messages

The screenshot displays the 'Create new petition' interface. On the left, a sidebar lists various sections with their completion status: Verification (checked), Petition information (checked), Claims challenged (checked), Petition documents (unchecked), Relevant information (checked), Real party (checked), Additional real party (unchecked), and Counsel (unchecked). The main content area shows the petition details for AIA review number IPR2023-00186, with status 'INITIATED', patent number 9435276, art unit 3748, and parties Rockets, Inc. v. -. Below this, a yellow-bordered box highlights three error messages:

- Paper type: Petition Document has not been provided. Please upload a petition document in the documents section in order to proceed with the petition submission.
- Paper type: Power of Attorney has not been provided. Please upload a power of attorney document in the documents section in order to proceed with the petition submission.
- A lead counsel has not been provided in the counsel section. Please provide a lead counsel in order to proceed with the petition submission.

Arrows from the 'Petition documents' and 'Counsel' sections in the sidebar point to the first and third error messages, respectively.

- Review page identifies any errors that prevent the petition from being filed (example include missing papers such as Power of Attorney, Petition Document, Lead Counsel).

Question/comment submission

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 - PTABBoardsideChat@uspto.gov

Recent Requests for Comments

Requests for Comments on



- Expanding Admission Criteria for Registration to Practice in Patent Cases Before the USPTO
- Expanding Opportunities To Appear Before the Patent Trial and Appeal Board
- Published on October 18, 2022
- Comments will be accepted through January 17, 2023
- Federal Register:
 - <https://www.federalregister.gov/documents/2022/10/18/2022-22572/expanding-opportunities-to-appear-before-the-patent-trial-and-appeal-board>
 - <https://www.federalregister.gov/documents/2022/10/18/2022-22569/expanding-admission-criteria-for-registration-to-practice-in-patent-cases-before-the-united-states>



4. My docket

My docket

The screenshot shows the USPTO My docket interface. At the top, there is a navigation bar with the USPTO logo and links for Patents, Trademarks, Fees and payment, Help, MyUSPTO, and a user profile for Baker, Ericson. Below this is the title 'Patent Trial and Appeal Case Tracking System (P-TACTS)'. The main area is titled 'My docket' and features a navigation bar with 'My docket' and 'New submission'. Below the navigation bar are several tabs: 'Pending AIA reviews', 'Notifications', 'Motions', 'Rehearing requests', 'AIA reviews on appeal', 'Unsubmitted petitions', and 'All AIA reviews'. The 'Pending AIA reviews' tab is highlighted. Below the tabs, there is a table with the following columns: AIA Review #, Filing date (mm/dd/yyyy), My role, Party representing, Petitioner application #, Petitioner patent #, Petitioner name, PO/Respondent app #, PO/Respondent patent #, PO/Respondent name, and Status. The table shows two records: IPR2023-00296 and IPR2023-00062. The status for both records is 'Notice of Filing Date Acco...'. There is also a note about milestone information and a search icon.

Showing 1-25 of 55 records

For case milestone information, hover over the dotted underline in the Status column.

AIA Review #	Filing date (mm/dd/yyyy)	My role	Party representing	Petitioner application #	Petitioner patent #	Petitioner name	PO/Respondent app #	PO/Respondent patent #	PO/Respondent name	Status
IPR2023-00296	10/25/2022	Lead Counsel	Petitioner			Infinity & Beyond, Inc.	09897242	6532418	--	Notice of Filing Date Acco...
IPR2023-00062	10/09/2022	Staff	Petitioner			test, test	12114363	8155765	--	Notice of Filing Date Acco...

- **My docket** screen offers various tabs to help a P-TACTS user manage their docket.

My docket: pending AIA reviews

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews Notifications Motions Rehearing requests AIA reviews on appeal Unsubmitted petitions All AIA reviews

Showing 1-25 of 55 records

For case milestone information, hover over the dotted underline in the Status column.

AIA Review #	Filing date (mm/dd/yyyy)	My role	Party representing	Petitioner application #	Petitioner patent #	Petitioner name	PO/Respondent app #	PO/Respondent patent #	PO/Respondent name	Status
IPR2023-00296	10/25/2022	Lead Counsel	Petitioner			Infinity & Beyond, Inc.	09897242	6532418	--	Notice of Filing Date Acco...
IPR2023-00062	10/09/2022	Staff	Petitioner			test, test	12114363	8155765	--	Notice of Filing Date Acco...

- Once logged in, **My docket** screen will default to view the **Pending AIA reviews** tab.
- **Pending AIA reviews** tab displays all cases that are still awaiting an action by the parties or Board.

My docket: notifications

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews **Notifications** Motions Rehearing requests AIA reviews on appeal Unsubmitted petitions All AIA reviews

Showing 1-25 of 1,539 records

AIA Review #	Recipient(s)	Subject ↓	Sent date (mm/dd/yyyy)
PGR2023-00028	To: psmith103process@gmail.com, santhosh.garmilla@skietech.com	PGR2023-00028: Rehearing Request Filed - Test, Test v. Rockets & Sprockets, Co.	10/19/2022 03:02:05 PM ...
PGR2023-00028	To: psmith103process@gmail.com, santhosh.garmilla@skietech.com	PGR2023-00028: Rehearing Decision - Test, Test v. Rockets & Sprockets, Co.	10/19/2022 03:39:10 PM ...

- **Notifications** tab displays all notifications sent from P-TACTS.
- Notifications are emails sent by P-TACTS when a paper is filed, entered, or certain action is taken on a case.

My docket: motions

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews Notifications **Motions** Rehearing requests AIA reviews on appeal Unsubmitted petitions All AIA reviews

Pending 122 All 536 Unsubmitted 11

Expand | Collapse all motions

Showing 1-25 of 122 records

Motion submitted date (mm/dd/yyyy) 1 ↓	AIA review # 2 ↓	Filing party	Party representing	Motion type	Motion status	View motion
> 10/24/2022 04:06 PM ET	IPR2022-04799	Petitioner	Petitioner	Motion	Pending Review	View motion
> 10/09/2022 10:45 PM ET	IPR2023-00062	Petitioner	Petitioner	Motion to Appear Pro Hac Vice	Pending Review	View motion
> 10/09/2022 10:45 PM ET	IPR2023-00062	Petitioner	Petitioner	Motion to Appear Pro Hac Vice	Pending Review	View motion

- **Motions** tab displays all the motions created for all AIA review cases.
- Motions table includes motions pending a decision from the Board, decided motions, and unsubmitted motions that were saved but not submitted by the party.

My docket: rehearing requests

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews Notifications Motions **Rehearing requests** AIA reviews on appeal Unsubmitted petitions All AIA reviews

Pending 24 All 107 Unsubmitted 5 Expand Collapse all rehearings

Showing 1-24 of 24 records

Rehearing submitted date (mm/dd/yyyy)	AIA review #	Filing party	Party representing	Rehearing request type	Rehearing request status	View rehearing request
> 10/24/2022	PGR2022-00629	Petitioner	Petitioner	Final Written Decision	Pending Review	View rehearing
> 09/29/2022	IPR2022-04690	Patent Owner	Patent Owner	Institution Decision	Pending Review	View rehearing

- **Rehearing requests** tab displays all rehearing requests created for AIA review cases on the P-TACTS user's docket.
- Rehearing requests table includes requests pending a decision from the Board, decided requests, and unsubmitted requests that were saved but not submitted by the party.

My docket: AIA reviews on appeal

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews Notifications Motions Rehearing requests **AIA reviews on appeal** Unsubmitted petitions All AIA reviews

Pending 56 All 60 Expand Collapse all AIA appeals

Showing 1-25 of 56 records

Date appeal filed (mm/dd/yyyy)	AIA review #	Filing party	My role	Party representing	Appeal status	View appeal
> 10/06/2022	DER2022-00863	Patent Owner	Lead Counsel	Patent Owner	Awaiting Decision	View appeal
> 10/05/2022	IPR2022-04817	Patent Owner	Back-up Counsel	Petitioner	Awaiting Decision	View appeal
> 09/13/2022	PGR2022-00620	Patent Owner	Lead Counsel	Petitioner	Awaiting Decision	View appeal

- **AIA reviews on appeal** tab displays all notice of appeals submitted for AIA cases.
- AIA reviews on appeal table includes appeals pending a decision from the CAFC and decided appeals.



My docket: unsubmitted petitions

The screenshot shows the USPTO Patent Trial and Appeal Case Tracking System (P-TACTS) interface. The 'My docket' section is active, and the 'Unsubmitted petitions' tab is selected. A table displays three records, each with a 'Continue' button highlighted in orange. A notification at the top of the table states: 'All unsubmitted petitions with no updates within the last 44 days will be automatically deleted by the P-TACTS system.'

AIA Review #	Initiated date (mm/dd/yyyy)	My role	Petitioner application #	Petitioner patent #	Petitioner name	PO/Respondent app #	PO/Respondent patent #	PO/Respondent name	Last updated date (mm/dd/yyyy)	Action
DER2023-00016	10/28/2022	Back-up Counsel	13984893	9512739	body, some	13855988	9365412	--	10/28/2022 11:05:01 AM ET	Continue
IPR2023-00110	10/11/2022				Last, First	59002026	1234567	--	10/11/2022 11:40:28 AM ET	Continue
IPR2023-00115	10/11/2022				--	59002026	1234567	--	10/11/2022 03:04:33 PM ET	Continue

- User may stage petitions for later filing and upload documents related to the petition for later submission.
- **Unsubmitted petitions** tab shows staged documents.
- Clicking the **Continue** button resumes the petition filing process and user is able to add, edit, or delete information and documents associated with the petition.

My docket: all AIA reviews

uspto Patents Trademarks Fees and payment Help MyUSPTO Smith, P

Patent Trial and Appeal Case Tracking System (P-TACTS)

My docket New submission Search P-TACTS Help

My docket

Pending AIA reviews Notifications Motions Rehearing requests AIA reviews on appeal Unsubmitted petitions **All AIA reviews**

Showing 1-10 of 10 records For case milestone information, hover over the dotted underline in the Status column.

AIA Review #	Filing date (mm/dd/yyyy)	My role	Party representing	Petitioner application #	Petitioner patent #	Petitioner name	PO/Respondent app #	PO/Respondent patent #	PO/Respondent name	Status
IPR2022-04776	09/13/2022	Back-up Counsel	Petitioner			Smith, Fred	07530220	123456	James, LeBron	PO preliminary response filed
IPR2022-00838	09/07/2022	Lead Counsel	Petitioner			Chemical Corporation et al.	15156041	9712659	--	Petition filed
IPR2022-04357	09/07/2022	Back-up Counsel	Petitioner			Moore, Roger et al.	11152835	8540520	--	Petition filed
DER2022-00906	09/02/2022	Lead Counsel	Petitioner	14417709	9645321	Sawyer, Tom et al.	14751422	9586442	Big corp. et al.	Final Written Decision - Appealed
IPR2022-04637	08/31/2022	Lead Counsel	Patent Owner			Taylor, Test	15328505	10101010	Myself, Me	Petition filed
IPR2022-04395	08/11/2022	Back-up Counsel	Petitioner			ABC corp.	08183369	5555555	org 1	Final Written Decision - Appealed
IPR2022-00269	08/01/2022	Lead Counsel	Patent Owner			Apple Inc.	14311606	9277436	Rice, Jerry et al.	Final Written Decision
IPR2022-04211	07/27/2022	Lead Counsel	Patent Owner			Time & Chance, Inc.	09135034	6064970	Charles, Ray et al.	Final Written Decision
DER2022-00816	07/21/2022	Pro se	Petitioner	12121212		smith, p	13131313	9439923	--	Notice of Filing Date Accorded
IPR2022-04059	07/15/2022	Pro se	Patent Owner			Seeni Inc et al.	09040171	6064960	smith, p	Trial Instituted

25 per page Page 1 of 1

- **All AIA reviews** tab will display all cases that are pending or decided on the P-TACTS user's docket.

5. Case Viewer

Case Viewer: add button drop-down menu

uspto Patents Trademarks Fees and payment Help MyUSPTO Baker, Ericson

Patent Trial and Appeal Case Tracking System (P-TACTS)

Case Viewer My docket New submission Search P-TACTS Help

Case # IPR2022-04776 FINAL WRITTEN DECISION Patent # 123456

Parties Smith, Fred v. James, LeBron

Tech center 1500 Art unit 1506

AIA review information Motions Rehearing requests Notices of appeal

Expand all | Collapse all

Documents & claims

Documents Claims

Updated: 11/02 12:52PM Export as csv + Add

Papers Next available paper # 11

All 10 Board 4 Petitioner 3 Patent Owner / Respondent 3

Paper #	Filing date	Paper type	Document name	Pages	Filing party	Availability
10	10/31/2022	Final Written Decision original	Final Written Decision original	30	Board	Public
9	10/21/2022	Institution Decision Grant	Institution Decision Grant	36	Board	Public

Exhibits

All 1 1000s 0 2000s 1 3000s 0

Exhibit #	Filing date	Document name	Pages	Filing party	Availability
2001	09/15/2022	ex 2001	89	Patent Owner	Public

Pre-Institution

Export as csv + Add

- Preliminary response
- File a preliminary response
- Motions
- File a motion
- File an opposition
- File a reply
- Rehearing request
- File a rehearing request
- Other
- File other documents

Post-Final Decision

Export as csv + Add

- Motions
- File a motion
- File an opposition
- File a reply
- Rehearing request
- File a rehearing request
- Notice of appeal
- File a notice of appeal
- Other
- File other documents

- **Add** button enables user to upload documents.
- When selecting the Add button, pre- and post- institution options appear depending on the status of the case to eliminate errors.

Case Viewer: file a preliminary response

The image shows a two-part interface. On the left is a vertical menu with a dark blue '+ Add' button at the top. Underneath, there are several categories: 'Preliminary response', 'Motions', 'Rehearing request', 'Notice of appeal', and 'Other'. The 'Preliminary response' category is expanded, and 'File a preliminary response' is highlighted with a yellow box. An orange arrow points from this menu item to the right-hand form.

The form on the right is titled 'File a preliminary response' and includes a close button for 'AIA review # IPR2022-00755'. It contains several fields: 'Filing party *' with a dropdown menu showing 'Patent Owner'; 'Doc type *' with radio buttons for 'Paper' (selected) and 'Exhibit'; 'Document name *' with an empty text input; 'Preliminary response type *' with a dropdown menu showing 'Select a preliminary response type...' and an expanded list with 'POPR: filed' and 'POPR: waiver' highlighted by a yellow box; and 'Document *' with a 'Select file...' button. At the bottom right are 'Clear form' and 'Add to list' buttons.

- From the **Add** button, user can select **File a preliminary response**.
- **File a Preliminary Response** option is only available to the patent owner after a filing date has been accorded.
- There are two options for the preliminary response: (1) **filed** or (2) **waiver**.
- Preliminary response paper and any exhibits can be uploaded.

Case Viewer: file a motion, step 1

The screenshot shows the USPTO Patent Trial and Appeal Case Tracking System (P-TACTS) interface. The top navigation bar includes 'uspto', 'Patents', 'Trademarks', 'Fees and payment', 'Help', 'MyUSPTO', and 'Smith, P'. The main header is 'Patent Trial and Appeal Case Tracking System (P-TACTS)'. Below this, there are tabs for 'Case Viewer', 'My docket', and 'New submission'. The case information section shows 'Case # IPR202- TRIAL INSTITUTED' and 'Patent # 6064980'. The parties are listed as 'Sreeni Inc et al. v. smith, p' with 'Tech center 2700' and 'Art unit 2761'. The interface has tabs for 'AIA review information', 'Motions', 'Rehearing requests', and 'Notices of appeal'. The 'Documents & claims' section is expanded, showing 'Documents' and 'Claims' sub-sections. The 'Papers' table has columns for Paper #, Filing date, Paper type, Document name, Pages, Filing party, and Availability. The 'Exhibits' table has columns for Exhibit #, Filing date, Document name, Pages, and Filing party. A dropdown menu is open over the '+ Add' button, with 'File a motion' highlighted.

Paper #	Filing date	Paper type	Document name	Pages	Filing party	Availability
22	09/13/2022	Notice Exhibit list	Patent Owner's Updated Exhibit List	1	Patent Owner	Public
19	07/20/2022	Rehearing request Institution	psmtin rehearing request 7/20/22	4	Patent Owner	Public
18	07/20/2022	Notice: Mandatory Notice	Notice : Mandatory Notice	3	Patent Owner	Public

Exhibit #	Filing date	Document name	Pages	Filing party
2001	07/15/2022	POPR exhibit	2	Patent Owner
1001	07/15/2022	Ex 1001	1	Petitioner

- Click the **Add** button and then select the **File a motion** option in the dropdown menu.

Case Viewer: file a motion, step 2

File a motion AIA review # IPR202 ✕

Filing party * Select a filing party... ▾

Motion type * Select a motion type... ▾

Doc type *
 Paper Exhibit

Document name *

List of documents

Doc #	Upload date	Doc type	Pa

Motion type dropdown options:
Select a motion type...
Motion
Motion for Additional Discovery
Motion for Adverse Judgment
Motion for Compelling Testimony Outside US Testimony
Motion for Joinder
Motion for Judgment Based on Supplemental Information
Motion for Non-Electronic Filing
Motion for Observations on Cross Examination
Motion for Oral Hearing
Motion to Amend the Claims
Motion to Appear Pro Hac Vice
Motion to Compel Testimony
Motion to Dismiss (Settlement) - Before Institution
Motion to Exclude Evidence
Motion to Expunge Information
Motion to File Supplemental Evidence
Motion to File Supplemental Information
Motion to Seal
Motion to Terminate (Settlement) - After Institution

- Select the **Filing party** box.
- Select the most appropriate **Motion type** box.

Case Viewer: file a motion, step 3

File a motion AIA review # IPR2022-04164 ✕

Filing party * **Motion type ***

Doc type * Paper Exhibit **Paper type ***

Availability *
Select an availability...
Public
Parties and Board
Filing Party and Board
Board

Document name * **Document ***

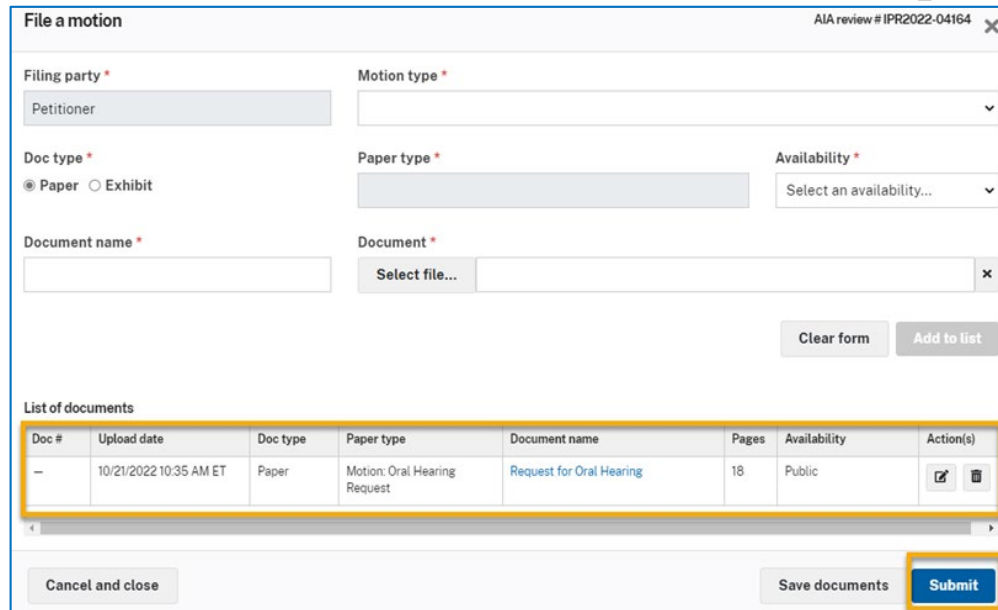
- Select **Availability** box.
- Select **Document name** box and enter the document name.
- Select the **Document** box and click **Select file...** button to select document.
- Once all required fields are completed, **Add to list** button will become active.

Case Viewer: file a motion, step 4

The screenshot shows a web interface for filing a motion. A modal dialog titled "Make document public?" is displayed over the main form. The dialog contains the text: "Documents could be viewed by the public unless a different availability option is chosen." Below this text are two buttons: "No, change availability" and "Yes, make it public". Both buttons are highlighted with a yellow rectangular border. The background form is partially visible, showing fields for "Filing party" (set to "Petitioner"), "Doc type" (with "Paper" selected), and "Document name" (set to "Request for Oral Hearing"). A file upload section shows a "Select file..." button and a file named "8adea2eb-5c4b-4c07-9911-de993985131b.pdf". At the bottom right of the form are "Clear form" and "Add to list" buttons.



- After all documents have been uploaded in P-TACTS, if **Public** is selected for **Availability**, a **Confirmation** box will appear.
- Clicking **No, change availability** will allow the user to make a new selection for availability.
- Clicking **Yes, make it public** will continue the upload process and save data.

Case Viewer: file a motion, step 5



The screenshot shows a web form titled "File a motion" with a close button (X) in the top right corner. The form contains several input fields and buttons:

- Filing party ***: A text input field containing "Petitioner".
- Motion type ***: A dropdown menu.
- Doc type ***: Radio buttons for "Paper" (selected) and "Exhibit".
- Paper type ***: A text input field.
- Availability ***: A dropdown menu with "Select an availability..." selected.
- Document name ***: A text input field.
- Document ***: A "Select file..." button and a text input field.
- Buttons**: "Clear form" and "Add to list" buttons.
- List of documents**: A table with the following data:

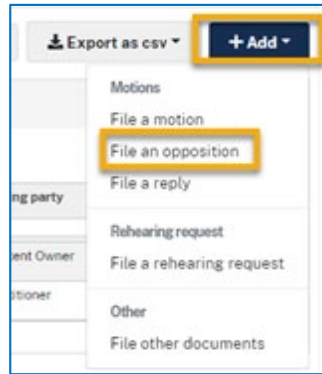
Doc #	Upload date	Doc type	Paper type	Document name	Pages	Availability	Action(s)
-	10/21/2022 10:35 AM ET	Paper	Motion: Oral Hearing Request	Request for Oral Hearing	18	Public	 

At the bottom of the form, there are three buttons: "Cancel and close", "Save documents", and "Submit" (highlighted with a blue border).

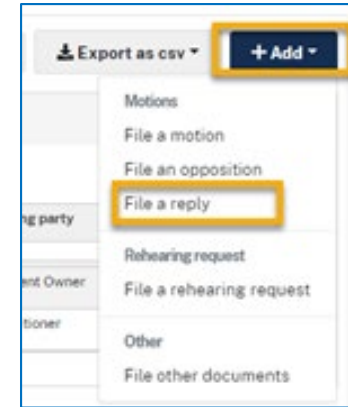
- The motion is uploaded and displayed in the **List of documents** table.
- User can now enter any exhibits related to the motion.
- The **Save documents** button allows the user to stage motions by saving all uploaded documents and data for later submission.
- User will be able to return to this modal for this motion from the **Motions** tab to edit, delete, or add any documents associated with this motion.
- Click the **Submit** button to file the motion and any exhibits.

Case Viewer: file an opposition or reply

File an opposition



File a reply



Select the motion that pertains to the opposition or reply being filed

A screenshot of a web form titled 'File an opposition'. The form has a close button in the top right corner labeled 'AIA review # IPR202'. Below the title, there is a dropdown menu labeled 'Select a motion you are responding to *'. A list of motions is shown below the dropdown, including dates and titles like '07/15/2022 08:52 AM -- Motion to Appear Pro Hac Vice'. To the right of the dropdown is an 'Availability *' dropdown menu with the text 'Select an availability...'. Below these are two text input fields for 'Document name *' and 'Document *', with a 'Select file...' button next to the second field. At the bottom right, there are 'Clear form' and 'Add to list' buttons.A screenshot of a web form titled 'File a reply'. The form has a close button in the top right corner labeled 'AIA review # IPR2022-04211'. Below the title, there is a dropdown menu labeled 'Select a motion you are filing a reply to *'. A list of motions is shown below the dropdown, including dates and titles like '10/03/2022 08:02 PM -- Motion to Appear Pro Hac Vice' and '07/27/2022 02:00 PM -- Motion for Adverse Judgment'. To the right of the dropdown is an 'Availability *' dropdown menu with the text 'Select an availability...'. Below these are two text input fields for 'Document name *' and 'Document *', with a 'Select file...' button next to the second field. At the bottom right, there are 'Clear form' and 'Add to list' buttons.

Note: Unlike motions, oppositions and replies cannot be saved without submitting.

Case Viewer: linking motion documents

uspto Patents Trademarks Fees and payment Help MyUSPTO Smith, P

Patent Trial and Appeal Case Tracking System (P-TACTS)

Case Viewer My docket New submission Search P-TACTS Help

Case # IPR2022-04211 FINAL WRITTEN DECISION Patent # 6064970

Parties Time & Chance, Inc. v. Charles, Ray et al.

Tech center 2800 Art unit 2862

AIA review information Motions Rehearing requests Notices of appeal

Pending 4 All 4 Unsubmitted 0 Expand Collapse all motions

Motion submitted date (mm/dd/yyyy)	Filing party	Party representing	Motion type	Motion status	View motion
> 10/03/2022 08:02 PM ET	Patent Owner	Patent Owner	Motion to Appear Pro Hac Vice	Pending Review	View motion
> 07/27/2022 02:00 PM ET	Patent Owner	Patent Owner	Motion for Adverse Judgment	Pending Review	View motion
> 07/27/2022 11:18 AM ET	Petitioner	Patent Owner	Motion for Joinder	Pending Review	View motion
> 07/27/2022 11:18 AM ET	Petitioner	Patent Owner	Motion to Appear Pro Hac Vice	Pending Review	View motion

- When a user files a motion document, a motion record is created in the **Motions** tabs which is available in **My docket** screen and in **Case Viewer** screen (displayed above).



Case Viewer: linking motion documents

uspto Patents Trademarks Fees and payment Help MyUSPTO Smith, P

Patent Trial and Appeal Case Tracking System (P-TACTS)

Case Viewer My docket New submission Search P-TACTS Help

Case # IPR2022-04211 FINAL WRITTEN DECISION Patent # 6064970

Parties Time & Chance, Inc. v. Charles, Ray et al.
Tech center 2800 Art unit 2862

AIA review information Motions Rehearing requests Notices of appeal

Pending 4 All 4 Unsubmitted 0 Expand Collapse all motions

Motion submitted date (mm/dd/yyyy)	Filing party	Party representing	Motion type	Motion status	View motion
> 10/03/2022 08:02 PM ET	Patent Owner	Patent Owner	Motion to Appear Pro Hac Vice	Pending Review	View motion
> 07/27/2022 02:00 PM ET	Patent Owner	Patent Owner	Motion for Adverse Judgment	Pending Review	View motion
▼ 07/27/2022 11:18 AM ET	Petitioner	Patent Owner	Motion for Joinder	Pending Review	View motion

Documents

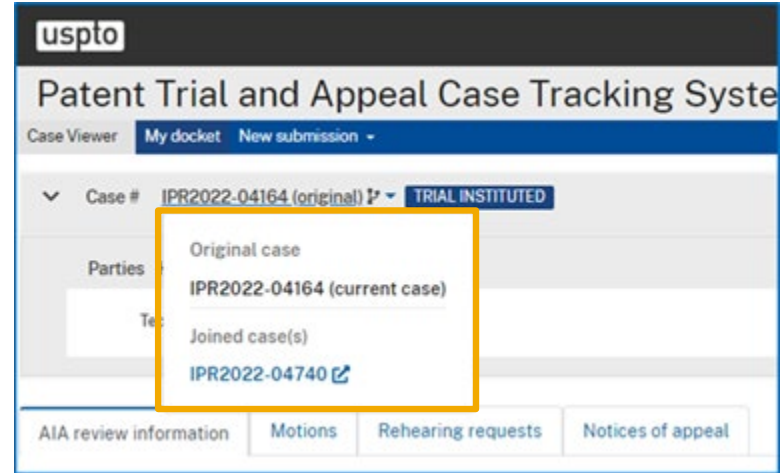
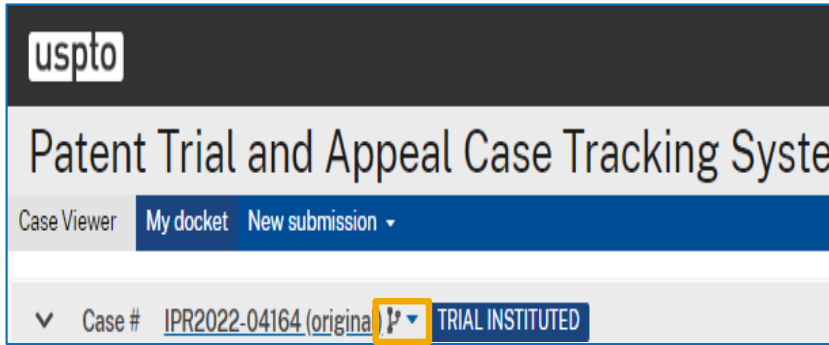
Doc #	Doc type	Paper type	Document name	Pages	Filing party	Availability
4	Paper	Motion: Joinder	Motion: Joinder	50	Petitioner	Public
17	Paper	Opposition	Oppo on Motion for Joinder	30	Patent Owner	Public
2001	Exhibit	—	Ex. 2001 w/ Oppo	28	Patent Owner	Public
26	Paper	Reply to Opposition	Reply to Oppo on Motion for Joinder (Unredacted)	44	Petitioner	Parties and Board
25	Paper	Reply to Opposition	Reply to Oppo on Motion for Joinder (redacted)	32	Petitioner	Public
1002	Exhibit	—	Ex. 1002 filed w/ Reply to Oppo	50	Petitioner	Public

> 07/27/2022 11:18 AM ET Petitioner Patent Owner Motion to Appear Pro Hac Vice Pending Review [View motion](#)

- From the motion record, user can see any motion, opposition, reply, and associated exhibits in one location.



Case Viewer: joinder



- **Case Viewer** tab contains an icon to show all cases joined together and includes links for moving between joined cases more easily.

Case Viewer: file a rehearing request

The image shows a user interface for filing a rehearing request. On the left, a dropdown menu is open under the '+ Add' button, with 'File a rehearing request' highlighted. An arrow points to the main form. The form has fields for 'Filing party' (Petitioner), 'Rehearing type' (dropdown menu with options: Final Written Decision, Institution Decision, Other), 'Doc type' (Paper, Exhibit), 'Document name', and 'Document' (file selection). Buttons for 'Clear form' and 'Add to list' are at the bottom right.

- From the **Add** button, user can select **File a rehearing request**.
- **Rehearing type** menu has been simplified to only 3 options based upon the decision for which rehearing is sought: (1) Final Written Decision; (2) Institution Decision; and (3) Other.
- User has the option to save the rehearing request without submitting. User can make changes and submit at a later session.

Case Viewer: file a notice of appeal

The screenshot shows the 'File notice of appeal' form in the USPTO Case Viewer. The left sidebar contains a menu with the following items: 'Export as csv', '+ Add', 'Preliminary response', 'File a preliminary response', 'Motions', 'File a motion', 'File an opposition', 'File a reply', 'Rehearing request', 'File a rehearing request', 'Notice of appeal', 'File a notice of appeal' (highlighted with an orange box), 'Other', and 'File other documents'. An orange arrow points from the highlighted menu item to the main form area. The main form is titled 'File notice of appeal' and includes the following fields and controls:

- Filing party ***: Text input field containing 'Petitioner'.
- Date appeal filed (mm/dd/yyyy) ***: Date picker field showing 'mm/dd/yyyy'.
- Doc type ***: Radio buttons for 'Paper' (selected) and 'Exhibit'.
- Paper type ***: Text input field containing 'Notice: notice of appeal'.
- Availability ***: Dropdown menu showing 'Select an availability...'.
- Document name ***: Text input field.
- Document ***: File upload control with a 'Select file...' button and a close button (x).
- Clear form** and **Add to list** buttons at the bottom right.

- From the **Add** button, user can select **File a notice of appeal**.
- **File a notice of appeal** option is only available until after a final written decision has been entered.

Question/comment submission

- To send in questions or comments during the webinar, please email:
 - PTABBoardsideChat@uspto.gov

USPTO YouTube Channel



- USPTO has a YouTube channel
<https://www.youtube.com/user/USPTOvideo/>
- View dozens of videos on:
 - USPTO's Invention-Con featuring
 - Tips for registering a trademark
 - Science of Innovation series for kids and teachers
 - Careers at USPTO
 - And a lot more



6. Take-aways

MyUSPTO and P-TACTS

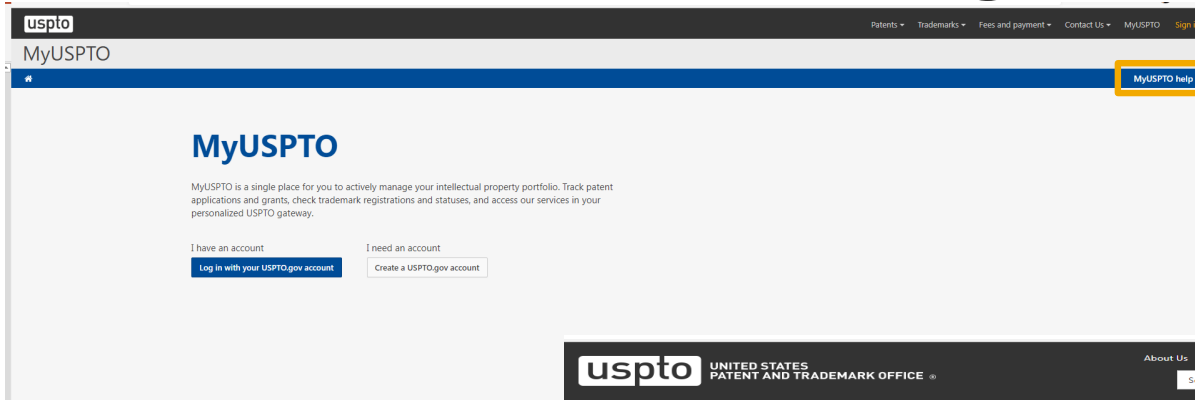
- Creation of a MyUSPTO account is required to access P-TACTS
- If you are experiencing issues with your new P-TACTS account, please contact P-TACTS Admin at:
 - PTABP-TACTSAdmin@uspto.gov or
 - 571-272-8850
- For any unexpected closures or outages, check USPTO or PTAB website for updates

New & improved features in P-TACTS

- **New user role** for staff created to allow them to file all document types
- **Petition submission process** enhanced to reduce common errors
- **My docket** navigation features enhanced to provide multiple ways to get information for a case, including a new notifications tab
- **Case Viewer** offers enhanced functionality:
 - Linking motion, opposition, reply, and any associated exhibits together for easy access
 - Linking of joined cases in the Case Viewer for easy access
 - Pre-populating paper types with the most relevant choices

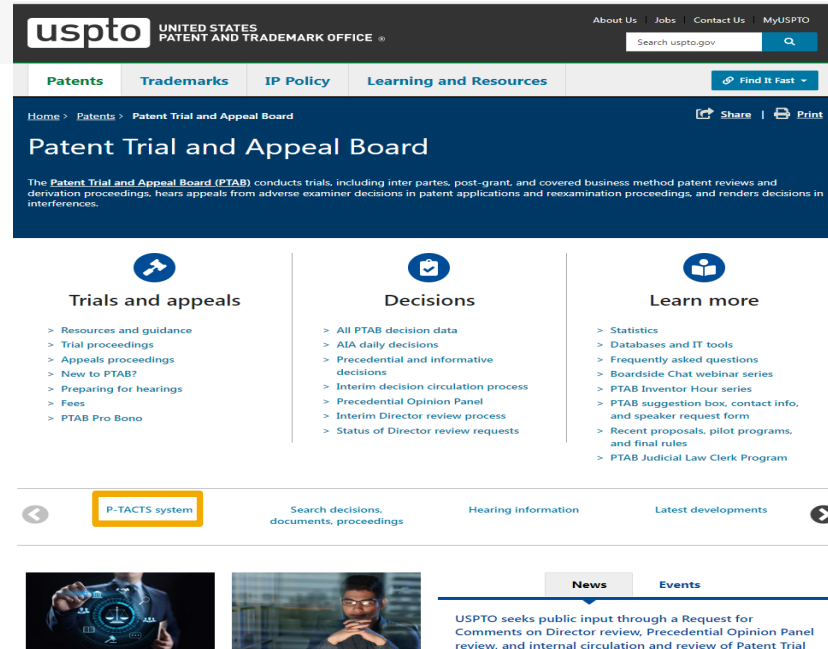


Where to find training resources?



MyUSPTO

For information and support regarding MyUSPTO account, you can access the help page on the main USPTO webpage: <https://www.uspto.gov/learning-and-resources/account-faq>



P-TACTS training

P-TACTS resources (including tutorials, user guides, FAQs and system capabilities), access the P-TACTS training portal: [P-TACTS External User Training Resources | USPTO](#)

How to contact us

- **Technical or P-TACTS system related questions**
 - Contact P-TACTS admin at PTABP-TACTSAdmin@uspto.gov or contact the PTAB at 571-272-8850
- **PTAB business or process related questions**
 - Contact Trials admin at Trials@uspto.gov or contact the PTAB at 571-272-7822



7. Questions



Next Boardside Chat



- November 17, 2022, at 12-1 pm ET
- Topic: Presenting technology in AIA proceedings
 - Practice tips on how to address technology in your briefs and at the oral hearing, along with examples showing effective use of visuals
 - Hear from administrative patent judges and practitioners
 - Panel discussion and Q&A session
- Register for and learn about upcoming Boardside Chats, and access past Boardside Chats at:

<https://www.uspto.gov/patents/ptab/ptab-boardside-chats>



